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Felipe Rincon
Universidad de los Andes, ed-rinco@uniandes.edu.co

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A Labelling of the Faces in the Shi Arrangement

Felipe Rincón*

Universidad de los Andes, Colombia
ed-rinco@uniandes.edu.co

Abstract

Let $F_n$ be the face poset of the $n$-dimensional Shi arrangement, and let $P_n$ be the poset of parking functions of length $n$ with the order defined by $(a_1, a_2, \ldots, a_n) \leq (b_1, b_2, \ldots, b_n)$ if $a_i \leq b_i$ for all $i$. Pak and Stanley constructed a labelling of the regions in $F_n$ using the elements of $P_n$. We show that under this labelling, all faces in $F_n$ correspond naturally to closed intervals of $P_n$, so the labelling of the regions can be extended in a natural way to a labelling of all faces in $F_n$. We also explore some interesting and unexpected properties of this bijection. We finally give some results that help to characterize the intervals that appear as labels and consequently to obtain a better comprehension of $F_n$. As an application we are able to count in a bijective way the number of one dimensional faces.

1 Introduction

The combinatorial theory of hyperplane arrangements was originated by problems like: What is the maximum number of portions in which a piece of cheese can be cut using $n$ straight cuts? Such questions motivated the development of a general and complete theory, with many examples and applications.

The Shi arrangement is a hyperplane arrangement that has proven to have very interesting combinatorial properties. Shi studied it using techniques from combinatorial group theory, and found that the number of regions of this arrangement could be expressed by a very simple formula. However, long time passed until a bijective proof of this fact was given by Pak and Stanley, which involved parking functions. In this paper we explore deeply the structure of this bijection, and give some results that show how it behaves around the faces of the arrangement. This allows us to extend the labelling of the regions to a labelling of all the faces. We also find some interesting properties of this new labelling, some of which could help us find a complete combinatorial description of the face poset of the Shi arrangement. As an application, we give a bijective enumeration of all one dimensional faces.

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2 Preliminaries

2.1 The Shi arrangement

An $n$-dimensional (real) hyperplane arrangement is a finite collection of affine hyperplanes in $\mathbb{R}^n$. Any hyperplane arrangement $A$ cuts $\mathbb{R}^n$ into open regions that are polyhedra (called the regions of $A$), so they have faces. More specifically, faces of $A$ are nonempty intersections between the closure of a region and some or none hyperplanes in $A$. The poset consisting of all these faces ordered by inclusion is called the face poset of $A$.

The $n$-dimensional Shi arrangement $\mathcal{S}_n$ consists of the $n(n-1)$ hyperplanes

$$\mathcal{S}_n : \quad x_i - x_j = 0 \quad \text{and} \quad x_i - x_j = 1 \quad \text{for} \quad 1 \leq i < j \leq n.$$

Let $\mathcal{F}_n$ be the face poset of $\mathcal{S}_n$, and let $\mathcal{R}_n$ be the set of maximal ($n$-dimensional) faces in $\mathcal{F}_n$. Notice that $\mathcal{R}_n$ is the set of closures of the regions of $\mathcal{S}_n$. However, we will identify the regions of $\mathcal{S}_n$ with their closure, so we will make no distinction between the elements of $\mathcal{R}_n$ and the regions of $\mathcal{S}_n$. This arrangement was first considered by Shi [4], who showed that $|\mathcal{R}_n| = (n+1)^{n-1}$.

Faces of any hyperplane arrangement $A$ can be described by specifying for every $H \in A$, which side of $H$ contains the face. That is, for any $H \in A$ define $H^+$ and $H^-$ as the two closed halfspaces determined by $H$ (the choice of which one is $H^+$ is arbitrary), and let $H^0 = H$. Then the faces of $A$ are precisely the nonempty intersections of the form

$$F = \bigcap_{H \in A} H^{\sigma_H}$$

where $\sigma_H \in \{+,-,0\}$. Thus every face $F$ is encoded by its sign sequence $(\sigma_H)_{H \in A}$, where $\sigma_H \neq 0$ if and only if $F \subseteq H^{\sigma_H}$ and $F \not\subseteq H$.

For the Shi arrangement it is useful to represent this sequence as a matrix. We will assume as convention that for $i < j$ if $H : x_i = x_j$ then $H^- : x_i \geq x_j$, and if $H : x_i = x_j + 1$ then $H^- : x_i \leq x_j + 1$. Denote by $\mathcal{M}_n$ the set of all $n \times n$ matrices whose entries belong to $\{+,-,0\}$. Then for any $F \in \mathcal{F}_n$ consider its sign sequence $(\sigma_H)_{H \in \mathcal{S}_n}$, and define its associated matrix $M_F \in \mathcal{M}_n$ as follows:

$$(M_F)_{i,j} = \begin{cases} \sigma_H & \text{if } j < i, \text{ where } H : x_j = x_i \\ \sigma_H & \text{if } i < j, \text{ where } H : x_i = x_j + 1 \\ 0 & \text{if } i = j. \end{cases}$$

For example, the matrix associated to the region defined by $x_n \leq x_{n-1} \leq \cdots \leq x_1 \leq x_n + 1$ has all entries equal to $-\cdot$, except for the diagonal ones which are $0$. In general, if $F \in \mathcal{F}_n$ then $F$ is a region if and only if all non-diagonal entries of $M_F$ are different from zero. And if $F, G \in \mathcal{F}_n$ then $F \subseteq G$ if and only if $M_G$ has the same entries as $M_F$ except for some non-diagonal zero entries of $M_F$ which become $-\cdot$ or $+\cdot$ in $M_G$.

However, faces of the Shi arrangement can be represented in another way that will be very useful for us. To simplify the notation, if $n$ is a positive integer let $[n] = \{1,2,\ldots,n\}$. Now, if $F \in \mathcal{F}_n$, we will say a function $X : [n] \rightarrow \mathbb{R}$ is an interval representation of $F$ if the point $(X(1),X(2),\ldots,X(n)) \in \mathbb{R}^n$ belongs to $F$ and not to any other face properly contained in $F$. We will denote by $\mathcal{X}_n$ the set of all functions from $[n]$ to $\mathbb{R}$. Two interval representations $X, X' \in \mathcal{X}_n$ will be called equivalent if they represent the same face. We can imagine these
interval representations as ways in which \( n \) numbered intervals of length 1 can be placed on the real line: any \( X \in \mathcal{X}_n \) can be thought as the collection of the \( n \) intervals \([X(i), X(i) + 1]\) for \( i \in [n] \). Interval \([X(i), X(i) + 1]\) will be referred as the \( i \)-th interval of \( X \). Notice that the face represented by \( X \) is determined only by the relative position of the endpoints of the intervals of \( X \).

2.2 Parking functions

A parking function of length \( n \) is a sequence \( P = (P_1, P_2, \ldots, P_n) \in [n]^n \) such that if \( Q_1 \leq Q_2 \leq \cdots \leq Q_n \) is the increasing rearrangement of the terms of \( P \), then \( Q_i \leq i \). Parking functions were first considered by Konheim and Weiss [3] under a slightly different definition, but equivalent to ours. Let \( \mathcal{P}_n \) be the poset of parking functions of length \( n \) with the order defined by \((P_1, P_2, \ldots, P_n) \leq (Q_1, Q_2, \ldots, Q_n)\) if \( P_i \leq Q_i \) for all \( i \in [n] \).

Pak and Stanley constructed a bijection between \( \mathcal{R}_n \) and the parking functions of length \( n \) (thus giving a bijective enumeration of the regions of the Shi arrangement) as follows [5]:

Let \( R_0 \in \mathcal{R}_n \) be the region defined by \( x_n \leq x_{n-1} \leq \cdots \leq x_1 \leq x_n + 1 \), and define its label \( \lambda(R_0) = (1, 1, \ldots, 1) \in \mathbb{Z}^n \). If \( R, R' \in \mathcal{R}_n \), \( R \) is labelled and \( R' \) is unlabelled, \( R_0 \) and \( R \) are on the same side of \( H \), and \( R \) and \( R' \) are only separated by the hyperplane \( H : x_i = x_j \) (\( i < j \)); define \( \lambda(R') = \lambda(R) + e_i \) (\( e_i \in \mathbb{Z}^n \) is the \( i \)-th vector of the canonical basis). If under the same hypothesis \( R \) and \( R' \) are only separated by the hyperplane \( H : x_i = x_j + 1 \) (\( i < j \)), define \( \lambda(R') = \lambda(R) + e_j \).

Figure 1 shows the projection of the arrangement \( S_3 \) on the plane defined by \( x + y + z = 0 \), and the labelling of the regions in a simplified notation.

![Figure 1: Arrangement \( S_3 \) and the labelling \( \lambda \)](image)

Notice that in our convention, if \( R \in \mathcal{R}_n \) then we have that \( \lambda(R) = (a_1 + 1, a_2 + 1, \ldots, a_n + 1) \) where \( a_i \) is the number of + entries in the \( i \)-th column of \( M_R \). Stanley showed that this labelling is in fact a bijection between \( \mathcal{R}_n \) and \( \mathcal{P}_n \), that is, he showed that these labels are parking functions, and that each parking function appears as a label exactly once.
3 The labelling of $\mathcal{F}_n$

We will now extend this labelling to all faces in $\mathcal{F}_n$. First we prove a lemma that will allow us to define the labelling.

**Lemma 3.1.** Let $F \in \mathcal{F}_n$. Then there exist two unique regions $F^-, F^+ \in \mathcal{R}_n$ such that $F \subseteq F^-$, $F \subseteq F^+$ and for any region $R \in \mathcal{R}_n$, if $F \subseteq R$ then $\lambda(F^-) \leq \lambda(R) \leq \lambda(F^+)$ in $\mathcal{P}_n$. Moreover, $F^- \cap F^+ = F$.

**Proof.** Consider an interval representation $X \in \mathcal{X}_n$ of $F$. Clearly the lemma is true if $F \in \mathcal{R}_n$, that is, if there are no equalities in $X$ of the form $X(i) = X(j)$ or $X(i) = X(j) + 1$ with $i < j$, because in this case $F^- = F^+ = F$. In other case, let $r$ be the maximum $X(i)$ for which there exists a $j > i$ such that $X(i) = X(j)$ or $X(i) = X(j) + 1$. Take $k$ as the maximum $i$ such that $X(i) = r$. Define a new interval representation $X' \in \mathcal{X}_n$ by

$$X'(i) = \begin{cases} X(i) & \text{if } i \neq k \\ X(i) + \epsilon & \text{if } i = k, \end{cases}$$

where $\epsilon$ is a sufficiently small positive real number so that for all $j$, if $X(k) < X(j)$ then $X(k) + \epsilon < X(j)$, and if $X(k) < X(j) + 1$ then $X(k) + \epsilon < X(j) + 1$. Notice that $X'$ is the same interval representation as $X$ but with its $k$-th interval moved a little bit to the right. Let $F' \in \mathcal{F}_n$ be the face represented by $X'$.

By the definition of $X'$ it is clear that inequalities in $X$ remain unchanged in $X'$, and also equalities that do not involve $X(k)$. That is, if $X(i) < X(j)$ then $X'(i) < X'(j)$, if $X(i) < X(j) + 1$ with $i < j$ then $X'(i) < X'(j) + 1$, and if $X(i) > X(j) + 1$ with $i < j$ then $X'(i) > X'(j) + 1$. Also if $X(i) = X(j)$ and $i, j \neq k$ then $X'(i) = X'(j)$, and if $X(i) = X(j) + 1$ with $i < j$ and $i, j \neq k$ then $X'(i) = X'(j) + 1$. Notice as well that there are no equalities in $X$ of the form $X(i) = X(k) + 1$ with $i < k$ because it would be a contradiction with the maximality of $r$, nor equalities of the form $X(k) = X(i)$ with $k < i$ because they contradict the choice of $k$. Then all equalities in $X$ involving $X(k)$ must be of the form $X(k) = X(k) + 1$ with $k < i$, or $X(i) = X(k)$ with $i < k$. In the first case we have that $X'(k) > X(i) + 1 = X'(i) + 1$, so $(M_{F'})_{k,i} = +$. In the second case $X'(i) = X(i) < X'(k)$, so $(M_{F'})_{k,i} = +$. All this shows that $M_{F'}$ has the same entries as $M_F$ except for all non-diagonal zero entries in the $k$-th row and $k$-th column of $M_F$, which become $+ \in M_{F'}$.

If we repeat this construction starting with the face $F'$ we obtain a face $F''$, satisfying that $M_{F''}$ has the same entries as $M_{F'}$ except for some non-diagonal zero entries in $M_{F'}$ that become $+ \in M_{F''}$. And continuing with this process we finally get a face $F^+$, such that $M_{F^+}$ is the same matrix as $M_F$ except for all its non-diagonal zero entries, which are replaced by $+$. Consider now the same construction, but define $X'$ by moving the $k$-th interval of $X$ a little bit to the left. The non-diagonal zero entries in the $k$-th row and $k$-th column of $M_F$ become now $- \in M_{F'}$, and repeating the process we finally get a face $F^-$ such that $M_{F^-}$ is the same matrix as $M_F$ but with all its non-diagonal zero entries replaced by $-$. The description of $M_{F^+}$ and $M_{F^-}$ clearly implies that $F^+ \cap F^- = F$. Now let $R \in \mathcal{R}_n$ be any region containing $F$. Remember that $M_R$ must be the same matrix as $M_F$ but with its non-diagonal zero entries changed for $- \in M_R$, and then for every $i \in [n]$ the number of $+$ entries in the $i$-th row of $M_R$ must be at least the number of $+$ entries in the $i$-th row of $M_{F^-}$, and at most the number of $+$ entries in the $i$-th row of $M_{F^+}$. Hence $\lambda(R) \in \mathcal{P}_n$ must satisfy...
the relation \((\lambda(F^-))_i \leq (\lambda(R))_i \leq (\lambda(F^+))_i\) for all \(i\), that is, \(\lambda(F^-) \leq \lambda(R) \leq \lambda(F^+)\) in \(\mathcal{P}_n\). This property clearly implies the uniqueness of \(F^-\) and \(F^+\), so the proof is complete. \(
\)

The last lemma is interesting by itself, as the following result shows.

**Corollary 3.2.** Let \(R_1, R_2, \ldots, R_k \in \mathcal{R}_n\), and define \(P^i = (P^i_1, P^i_2, \ldots, P^i_n) = \lambda(R_i)\) for \(1 \leq i \leq k\). If
\[
Q = \left( \max_i P^i_1, \max_i P^i_2, \ldots, \max_i P^i_n \right)
\]
is not a parking function then \(\bigcap_{i=1}^k R_i = \emptyset\).

**Proof.** If \(F = \bigcap_{i=1}^k R_i \neq \emptyset\) then \(F \in \mathcal{F}_n\). Hence by the previous lemma we have that \(P^i \leq \lambda(F^+)\) for all \(i\), but this implies that \(Q\) is a parking function. \(\square\)

We will now define the labelling of the faces in \(\mathcal{F}_n\). Denote by \(\text{Int}(\mathcal{P}_n)\) the set of all closed intervals of \(\mathcal{P}_n\).

**Definition 3.3.** The labelling \(\lambda : \mathcal{F}_n \to \text{Int}(\mathcal{P}_n)\) is defined by \(\lambda(F) = [\lambda(F^-), \lambda(F^+)]\).

We will use \(\lambda\) also for this labelling because it can be considered as an extension of the labelling we had for regions (by identifying \(\lambda(R)\) with \(\{\lambda(R)\}\)).

Notice that different faces have different labels because \(F^- \cap F^+ = F\) for all \(F \in \mathcal{F}_n\). Unfortunately, not all closed intervals of \(\mathcal{P}_n\) are labels of some face. In the next section we will see some interesting facts that will help us know which intervals are labels of a face.

### 4 Properties of the labelling

The main property of this labelling is stated in the following surprising theorem.

**Theorem 4.1.** Let \(F \in \mathcal{F}_n\). Then \(\lambda(F) = \{\lambda(R) \mid R \in \mathcal{R}_n\ \text{and}\ F \subseteq R\}\).

**Proof.** Let \(I(F) = \{\lambda(R) \mid R \in \mathcal{R}_n\ \text{and}\ F \subseteq R\}\). Lemma 3.1 tells us that \(I(F) \subseteq \lambda(F)\). Notice that
\[
|\lambda(F)| = \prod_{i=1}^n \left( (\lambda(F^+))_i - (\lambda(F^-))_i + 1 \right)
\]
because \(P = (P_1, P_2, \ldots, P_n)\) is a parking function in \(\lambda(F)\) if and only if \((\lambda(F^-))_i \leq P_i \leq (\lambda(F^+))_i\) for all \(i\). Now let \(X \in \mathcal{X}_n\) be an interval representation of \(F\), and define \(A(F, i) = \{j \in [n] \mid j > i\ \text{and}\ X(i) = X(j)\}\) and \(B(F, i) = \{j \in [n] \mid j < i\ \text{and}\ X(j) = X(i) + 1\}\). Then \(c(F, i) := |A(F, i)| + |B(F, i)|\) is the number of non-diagonal zero entries in the \(i\)-th column of \(M_F\), so \(c(F, i)\) is the difference between the number of + entries in the \(i\)-th column of \(M_{F^+}\) and the number of + entries in the \(i\)-th column of \(M_{F^-}\). Hence \(c(F, i) = (\lambda(F^+))_i - (\lambda(F^-))_i\), and
\[
|\lambda(F)| = \prod_{i=1}^n (c(F, i) + 1).
\]

We will prove that \(|I(F)| \geq \prod_{i=1}^n (c(F, i) + 1)\), which is equivalent to the equality between \(I(F)\) and \(\lambda(F)\) by a cardinality argument. Notice that \(|I(F)|\) is the number of regions that contain \(F\).
as a face. That is, $|I(F)|$ is the number of ways (up to equivalence) in which the intervals of $X$ can be moved a little bit, changing all equalities in $X$ of the form $X(i) = X(j)$ or $X(i) = X(j) + 1$ ($1 \leq i < j \leq n$) to inequalities. We will prove there are at least $\prod_{i=1}^{n} (c(F,i) + 1)$ different ways of doing this.

The proof is by induction on $n$. If $n = 2$ there are 5 faces in $\mathcal{F}_n$, and it is easy to check that the equality holds for each one of them. Now assume the assertion is true for $n - 1$. Consider $F \in \mathcal{F}_n$ and let $X \in \mathcal{X}_n$ be an interval representation of $F$. Let $r$ be the minimum $X(i)$, and let $k$ be the minimum $i$ such that $X(i) = r$. By the choice of $k$ there is no $i$ such that $i < k$ and $X(i) = X(k)$, or $i > k$ and $X(k) = X(i) + 1$. That is, for all $i \neq k$ we have that $k \notin A(F,i)$ and $k \notin B(F,i)$. Then, ignoring the $k$-th interval, by induction hypothesis there are at least $\prod_{i \neq k} (c(F,i) + 1)$ different ways of moving (as explained before) all intervals of $X$ except for the $k$-th interval. Consider one of these ways in which these intervals can be moved, and for $i \neq k$ let $X'(i)$ be the new position of the $i$-th interval. We can assume without loss of generality that the intervals were moved very little, so that there exists an open interval $U$ around $X(k) + 1$ such that $X'(i) + 1 \in U$ if and only if $X(k) + 1 = X(i) + 1$, and $X'(i) \in U$ if and only if $X(i) = X(k) + 1$. Then the $c(F,k)$ points of $\{X'(i) + 1 \mid i \in A(F,k)\} \cup \{X'(i) \mid i \in B(F,k)\}$ divide the interval $U$ in $c(F,k) + 1$ disjoint open intervals $U_0, U_1, \ldots, U_{c(F,k)}$. For every $j$ such that $0 \leq j \leq c(F,k)$ let $z_j$ be some point inside interval $U_j$, and define $Y_j \in \mathcal{X}_n$ as follows:

$$Y_j(i) = \begin{cases} X'(i) & \text{if } i \neq k \\ z_j & \text{if } i = k. \end{cases}$$

Notice that $Y_j$ is an interval representation obtained by moving all intervals of $X$ a little bit (as explained before). Since $U$ was chosen sufficiently small, $Y_j$ represents a region in $\mathcal{R}_n$ that contains $F$. Moreover, if $i \neq j$ then $Y_i$ and $Y_j$ represent different regions, because $Y_j(k) \in U_i$ and $Y_i(k) \in U_j$. We have proved that for every way of moving all intervals of $X$ except for the $k$-th interval, there are at least $c(F,k) + 1$ different regions in $\mathcal{R}_n$ that contain $F$. Hence

$$|I(F)| \geq (c(F,k) + 1) \prod_{i \neq k} (c(F,i) + 1) = \prod_{i=1}^{n} (c(F,i) + 1)$$

as we wanted, and the proof is complete.

The last theorem can also be stated as follows.

**Corollary 4.2.** Let $R_1, R_2, \ldots, R_k \in \mathcal{R}_n$, and let $F \in \mathcal{F}_n$ be such that $F \subseteq \bigcap_{i=1}^{k} R_i$. Define $P_i = (P_i^1, P_i^2, \ldots, P_i^n) = \lambda(R_i)$ for $1 \leq i \leq k$. If $R \in \mathcal{R}_n$ is such that

$$\left( \min_i P_i^1, \min_i P_i^2, \ldots, \min_i P_i^n \right) \leq \lambda(R) \leq \left( \max_i P_i^1, \max_i P_i^2, \ldots, \max_i P_i^n \right)$$

then $F \subseteq R$.

Another important consequence is stated in the next corollary.

**Corollary 4.3.** Let $F, G \in \mathcal{F}_n$. Then $F \subseteq G$ if and only if $\lambda(F) \geq \lambda(G)$.
In other words, if we define \( \mathcal{I}_n \) as the poset of all intervals of \( \mathcal{P}_n \) that are labels of some face, ordered by reverse inclusion, then \( \lambda \) is an isomorphism between \( \mathcal{I}_n \) and \( \mathcal{J}_n \). This means that a characterization of all intervals in \( \mathcal{J}_n \) will give us a complete combinatorial description of \( \mathcal{I}_n \). We already know that all intervals of \( \mathcal{P}_n \) consisting of exactly one element are in \( \mathcal{J}_n \).

Now, every \( F \in \mathcal{I}_n \) has a dimension, which determines the rank of \( F \) in the poset \( \mathcal{I}_n \). To see how this dimension is represented in \( \mathcal{J}_n \), we need the following definition.

Let \( X \in \mathcal{X}_n \). A chain of \( X \) is a \( k \)-tuple \((a_1, a_2, \ldots, a_k) \in [n]^k\) constructed as follows:

- Choose \( a_1 \) so that there is no \( i < a_1 \) such that \( X(i) = X(a_1) + 1 \), nor \( i > a_1 \) such that \( X(a_1) = X(i) \).
- Once \( a_j \) has been chosen, if there exists some \( i < a_j \) such that \( X(i) = X(a_j) \) then \( a_{j+1} = \max \{ i < a_j \mid X(i) = X(a_j) \} \). If this \( i \) does not exist, but there exists some \( l > a_j \) such that \( X(a_j) = X(l) + 1 \), then \( a_{j+1} = \max \{ l > a_j \mid X(a_j) = X(l) + 1 \} \).
- The chain ends when there are no such \( i \) nor \( l \) as in the last step.

\( X \) can have several different chains, but the definition implies that all of them must be disjoint, and every \( i \in [n] \) must belong to some chain of \( X \). It is easy to see that chains represent sets of intervals that are bound one to another in \( X \). That is, if we move the \( j \)-th interval a little bit to obtain a new interval representation \( X' \in \mathcal{X}_n \), then for all \( i \) in the same chain as \( j \) we must also move the \( i \)-th interval in the same way in order to assure that \( X' \) and \( X \) represent the same face. Hence, the number of chains of \( X \) is the dimension of the face represented by \( X \).

**Proposition 4.4.** Let \( F \in \mathcal{I}_n \), and suppose \( \lambda(F) = [P, Q] \). Then \( \dim(F) = |\{i \in [n] \mid P_i = Q_i\}| \).

**Proof.** Let \( X \in \mathcal{X}_n \) be an interval representation of \( F \). Remember the definitions of \( A(F, i) \), \( B(F, i) \) and \( c(F, i) \) given in the proof of Theorem 4.1. Notice that if \( H = (a_1, a_2, \ldots, a_k) \in [n]^k \) is a chain of \( X \) then \( c(F, a_j) = 0 \) if and only if \( j = 1 \), because \( a_{j-1} \in A(F, a_j) \cup B(F, a_j) \). Then the number of chains of \( X \) is equal to the number of integers \( i \in [n] \) such that \( c(F, i) = 0 \). But we had seen that \( c(F, i) = Q_i - P_i \), so the proof is complete.

Continuing with the same ideas we can prove the following proposition.

**Proposition 4.5.** Let \( F \in \mathcal{I}_n \), and suppose \( \lambda(F) = [P, Q] \). Then

\[
\{Q_1 - P_1, Q_2 - P_2, \ldots, Q_n - P_n\} = \{0, 1, 2, \ldots, m\}
\]

for some \( m \in \mathbb{N} \).

**Proof.** Let \( X \in \mathcal{X}_n \) be an interval representation of \( F \). Notice that if \( H = (a_1, a_2, \ldots, a_k) \in [n]^k \) is a chain of \( X \) then \( A(F, a_{j+1}) \cup B(F, a_{j+1}) \subseteq A(F, a_j) \cup B(F, a_j) \cup \{a_j\} \) for all \( j \), so \( c(F, a_{j+1}) \leq c(F, a_j) + 1 \). Then \( Q_{a_{j+1}} - P_{a_{j+1}} \leq Q_{a_j} - P_{a_j} + 1 \) for all \( j \). Since \( Q_{a_1} - P_{a_1} = 0 \) we have that \( \{Q_{a_1} - P_{a_1}, Q_{a_2} - P_{a_2}, \ldots, Q_{a_k} - P_{a_k}\} = \{0, 1, \ldots, m_H\} \) for some \( m_H \in \mathbb{N} \). Therefore, if we take the union over all chains of \( X \), the proof is finished.

The last proposition restricts a lot the intervals that can be labels, and it is a first step in the characterization of the elements of \( \mathcal{J}_n \).

We will now characterize the possible sizes of the intervals that are labels of faces of a fixed dimension.
Proposition 4.6. The set \( \{ |\lambda(F)| \mid F \in \mathcal{F}_n \text{ and } \dim(F) = k \} \) is the set of all positive numbers \( d \) such that \( d = 2^{a_1} 3^{a_2} \cdots (m+1)^{a_m} \) for some \( m \in \mathbb{N} \), where \( a_i > 0 \) for all \( i \leq m \), and \( a_1 + a_2 + \cdots + a_m = n - k \).

Proof. Let \( F \in \mathcal{F}_n \) be a face such that \( \dim(F) = k \), and let \( \lambda(F) = [P,Q] \). Define \( a_i = \sum_{j \in \{ Q_j - P_j = i \}} \). Proposition 4.5 tells us that there is an \( m \in \mathbb{N} \) such that \( a_i > 0 \) if and only if \( i \leq m \). Then
\[
|\lambda(F)| = |\{P,Q\}| = \prod_{i=1}^{n}(Q_i - P_i + 1) = 2^{a_1} 3^{a_2} \cdots (m+1)^{a_m}.
\]

It is clear that \( a_0 + a_1 + \cdots + a_m = n \) so, by Proposition 4.4, we have that \( a_1 + a_2 + \cdots + a_m = n - k \).

On the other hand, if we take \( a_0, a_1, \ldots, a_m \) such that \( a_i > 0 \) for all \( i \leq m \) and \( a_0 + a_1 + \cdots + a_m = n \), then it is easy to construct an interval representation \( X \) of a face \( F \in \mathcal{F}_n \) satisfying \( a_i = \sum_{j \in \{ c(F,j) = i \}} \). Therefore, remembering that if \( \lambda(F) = [P,Q] \) then \( c(F,j) = Q_j - P_j \), the proposition follows.

Notice that if \( F \in \mathcal{F}_n \) then \( |\lambda(F)| = |\{R \in \mathcal{F}_n \mid F \subseteq R\}| \), so this proposition gives us also some geometrical information about the Shi arrangement.

Finally, we will characterize the labels of 1-dimensional faces.

Proposition 4.7. Let \( I = [P,Q] \) be an interval of \( \mathcal{F}_n \). Then \( I \) is the label of a 1-dimensional face if and only if the following statements hold:

- \( Q \) is a permutation of \( [n] \).
- \( P \) is determined by \( Q \) in the following way:
  Denote \( (a_1, a_2, \ldots, a_n) = (Q^{-1}(1), Q^{-1}(2), \ldots, Q^{-1}(n)) \), and let \( 0 = i_0 < i_1 < i_2 < \cdots < i_k = n \) be the numbers such that
  \[
  \{i_1, i_2, \ldots, i_{k-1}\} = \{j \in [n] \mid a_j < a_{j+1}\}.
  \]
  Then for all \( r \in [n] \), if \( j \) is such that \( i_j < r \leq i_{j+1} \) we have that
  \[
  P_{a_r} = i_{j+1} + |\{l \in [n] \mid i_{j-1} < l \leq i_j \text{ and } a_l > a_r\}| + 1,
  \]
  where \( i_{-1} = 0 \).

Proof. To see that the conditions are necessary, let \( F \in \mathcal{F}_n \) be a 1-dimensional face such that \( \lambda(F) = [P,Q] \), and let \( X \in \mathcal{X}_n \) be an interval representation of \( F \). Then \( X \) consists only of one chain \( H = (b_1, b_2, \ldots, b_n) \). Remember that \( Q_i - 1 \) is the number of non-diagonal + or 0 entries in the \( i \)-th column of \( M_F \), that is,
\[
Q_i = |\{j \in [n] \mid j > i \text{ and } X(j) \geq X(i)\}| + |\{j \in [n] \mid j < i \text{ and } X(j) \geq X(i) + 1\}| + 1.
\]

But all intervals of \( X \) are on the same chain, so we have that for all \( i \)
\[
\{j \in [n] \mid j > b_i \text{ and } X(j) \geq X(b_i)\} \cup \{j \in [n] \mid j < b_i \text{ and } X(j) \geq X(b_i + 1)\} = \{b_1, b_2, \ldots, b_{i-1}\},
\]
hence \( Q_{b_i} = i \). This shows that \( Q \) is a permutation of \( [n] \), and that \( a_i = b_i \) for all \( i \).
Notice that the numbers $i_0, i_1, \ldots, i_k$ satisfy that for all $m$, $i_j < m \leq i_{j+1}$ if and only if $X(a_m) = X(a_1) - j$. Then $i_j = |\{l \in [n] \mid X(l) > X(a_1) - j\}|$. Remember also that $P_i - 1$ is the number of + entries in the $i$-th column of $M_F$, that is,

$$P_i = |\{j \in [n] \mid j > i \text{ and } X(j) > X(i)\}| + |\{j \in [n] \mid j < i \text{ and } X(j) > X(i) + 1\}| + 1.$$ 

Let $r \in [n]$, and let $j$ be such that $i_j < r \leq i_{j+1}$, so $X(a_r) = X(a_1) - j$. Therefore, since $X$ consists only of the chain $H$,

$$P_{a_r} = |\{l \mid l > a_r \text{ and } X(l) > X(a_r)\}| + |\{l \mid l < a_r \text{ and } X(l) > X(a_r) + 1\}| + 1$$

$$= |\{l \mid l > a_r \text{ and } X(l) = X(a_r) + 1\}| + |\{l \mid X(l) > X(a_r) + 1\}| + 1$$

$$= |\{l \mid l > a_r \text{ and } X(l) = X(a_1) - (j - 1)\}| + |\{l \mid X(l) > X(a_1) - (j - 1)\}| + 1$$

$$= |\{m \mid a_m > a_r \text{ and } i_{j-1} < m \leq i_j\}| + i_{j-1} + 1,$$

as we wanted.

On the other hand, it is easy to see that if $[P, Q]$ is an interval of $\mathcal{P}_n$ satisfying the previous conditions, then it is the label of a 1-dimensional face. In fact, the function $X \in \mathcal{X}_n$ defined by

$$X(Q^{-1}(i)) = -|\{l \in [n] \mid l < i \text{ and } Q^{-1}(l) < Q^{-1}(l + 1)\}|$$

represents a 1-dimensional face $F$ with $\lambda(F) = [P, Q]$.

The last characterization has an interesting corollary.

**Corollary 4.8.** Each region $R \in \mathcal{R}_n$ such that $\lambda(R)$ is a permutation of $[n]$ contains a unique 1-dimensional face, and each 1-dimensional face is contained in a unique region $R \in \mathcal{R}_n$ such that $\lambda(R)$ is a permutation of $[n]$.

**Proof.** Suppose $R$ is a region satisfying $Q := \lambda(R)$ is a permutation of $[n]$. By the last characterization we know that there is a unique $P \in \mathcal{P}_n$ such that $[P, Q]$ is the label of a 1-dimensional face $F$. Theorem 4.1 implies that $F \subseteq R$. Moreover, if $F'$ is a 1-dimensional face contained in $R$ then $Q \in \lambda(F')$, and since $Q$ is a maximal element of $\mathcal{P}_n$ we have that $\lambda(F') = [P', Q]$ for some $P' \in \mathcal{P}_n$. Therefore $P = P'$ and $F = F'$, so the face $F$ is unique.

Now, if $F$ is a 1-dimensional face then the last characterization implies that $\lambda(F) = [P, Q]$, with $Q$ a permutation of $[n]$. By Theorem 4.1, if $R$ is the region such that $\lambda(R) = Q$ then $F \subseteq R$. Moreover, if $R'$ is a region that contains $F$ then $Q' = \lambda(R') \in [P, Q]$. Therefore, if $Q'$ is a permutation of $[n]$ then $Q' = Q$ because $Q'$ is a maximal element of $\mathcal{P}_n$. Hence $R = R'$, and the region $R$ is unique.

**Corollary 4.9.** The number of 1-dimensional faces of $S_n$ is $n!$.

This is a particular example of a general result first stated by Athanasiadis [1]. However, this bijective proof allows a better comprehension of the geometry of the Shi arrangement.
5 Perspectives

After developing these results, it seems clear that there are still many aspects to understand about this labelling. We are now working on three main problems. In first place, we are trying to achieve a total and simple characterization of the intervals of $I_n$. This would give us a complete combinatorial description of the poset $\mathcal{F}_n$, thus a better comprehension of the geometry of the Shi arrangement. We are also trying to generalize the way in which 1-dimensional faces were counted to higher dimensions, obtaining a similar result to the one given by Athanasiadis [1]. Finally, we want to apply all these results to the theory of random walks on hyperplane arrangements, as defined by Brown and Diaconis in [2].

References


