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A FINITE GROUP BE?**

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How Hamiltonian Can a Finite Group Be?

By

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Introduction. If the finite group G acts on the finite non-empty set X (i.e., G is represented as a group of permutations of X), then

$$P_G(X) = \frac{|\{(g, x) \mid gx = x \text{ for } g \in G \text{ and } x \in X\}|}{|G| \cdot |X|}$$

may be interpreted as the probability that an element chosen at random from G fixes an element chosen at random from X . Setting $G_x = \{g \in G \mid gx = x\}$ we find that the numerator of $P_G(X)$ is equal to $\sum_{x \in X} |G_x| = \sum_{i=1}^k [G : G_{x_i}] \cdot |G_{x_i}| = k \cdot |G|$ where $\{x_1, x_2, \dots, x_k\}$ is a set of representatives of the distinct orbits in X under G . It follows that $P_G(X)$ is the ratio of the number of orbits in X under G to the order of X ; i.e., $P_G(X) = k(X)/|X|$. If we denote the set of orbits of X of length one (the fixed set of G) by $F(X)$ and the set of orbits of length greater than one (the action set of G) by $A(X)$ then,

$$(1) \quad P_G(X) = \frac{|F(X)| + |A(X)|}{|X|}.$$

This ratio has been studied for several group actions. Here are three examples.

(i) G acts on itself by conjugation ([2],[3]): $P_G(G)$ is interpreted as the probability that two elements of G commute. If G is not abelian (i.e., $P_G(G) \neq 1$), then $P_G(G) \leq 5/8$.

(ii) G acts on its set of subsets 2^G by conjugation [8]: $P_G(2^G)$ is interpreted as the probability that an element of G normalizes a subset of G . If G is not abelian (i.e., $P_G(2^G) \neq 1$), then $P_G(2^G) \leq 7/16$.

(iii) The automorphism group A of G acts on G ([5],[7]). If $G \neq Z_2$ (i.e., $P_A(G) \neq 1$), then $P_A(G) \leq 3/4$.

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We are concerned with the action of G on its set of subgroups $S = S(G)$ by conjugation. Let $C = C(G)$, $NS = NS(G)$ and $NC = NC(G)$ denote the cyclic, the normal and the normal cyclic subgroups of G , respectively. From (1) we have $P_G(S) = (|NS| + |A(S)|)/|S|$ and, by restricting the action of G on S to C , $P_G(C) = (|NC| + |A(C)|)/|S|$. Setting $P_G^-(S) = |NS|/|S|$ and $P_G^-(C) = |NC|/|C|$ we have that $P_G(S) = P_G^-(S) = P_G(C) = P_G^-(C) = 1$ if, and only if, each subgroup of G is normal. Such a group is referred to as a Hamiltonian group. A group is Hamiltonian if, and only if, it is an abelian group or the direct product of the quaternion group of order eight, an elementary abelian 2-group and an abelian group of odd order [4].

Let $\mu = \mu(G)$ denote any one of $P_G(S)$, $P_G^-(S)$, $P_G(C)$ or $P_G^-(C)$. The preceding examples suggest the following question, which was first posed in [7] for $P_G(S)$: If G is not Hamiltonian (i.e., $\mu \neq 1$), does there exist $\rho = \rho(\mu) < 1$, independent of G , such that $\mu \leq \rho$? In this paper we show that the answer to this question is no. Indeed, we prove

Theorem. *For each $r \in [0, 1]$ there exists a sequence of groups $\{G_n\}$ such that $\lim_{n \rightarrow \infty} \mu(G_n) = r$.*

Proof of the Theorem. It suffices to show that for each $\epsilon > 0$ there exists a group G such that $|\mu(G) - r| < \epsilon$. We will construct G as a direct product of groups of the form

$$G(p, n) = \langle a, b | a^{p^{n-1}} = b^p = e \text{ and } bab^{-1} = a^{p^{n-2}+1} \rangle,$$

where p is an odd prime and $n \geq 3$ is an integer. $G(p, n)$ is of order p^n .

Here are some facts concerning the subgroup lattice of $G(p, n)$ which are necessary for the proof of our theorem.

Fact 1: $|S(G(p, n))| = (p + 1) \cdot n - (p - 1)$ [1].

Fact 2: $G(p, n)$ has $p + 1$ subgroups of order p^j for $1 \leq j \leq n - 1$. This follows from Fact 1 and the fact that in a non-cyclic p -group of odd order the number of subgroups of order p^j , $1 \leq j \leq n - 1$, is congruent to $p + 1 \pmod{p^2}$ ([9], page 154).

Fact 3: $|C(G(p, n))| = (n - 1)p + 2$. Since $|\langle a \rangle| = p^{n-1}$ there is at least one cyclic subgroup of order p^j for $1 \leq j \leq n - 1$. The number of cyclic subgroups of order p^j ,

$2 \leq j \leq n - 1$, in a non-cyclic p -group of odd order is divisible by p ([9], page 154). Therefore, Fact 2 implies there are exactly p cyclic subgroups of order p^j , $2 \leq j \leq n - 1$. All of the subgroups of order p are cyclic.

Fact 4: $|NS(G(p, n))| = (n - 2)(p + 1) + 3$. Passman [6] has shown that the only non-normal subgroups of $G(p, n)$ are of order p . Since $\langle b \rangle$ is not normal $\langle a^{p^{n-2}} \rangle$ is the only normal subgroup of order p .

Fact 5: $|k(S)| = |NS(G(p, n))| + 1 = (n - 2)(p + 1) + 4$.

Fact 6: $|NC(G(p, n))| = (n - 2)p + 2$.

Fact 7: $|k(C)| = |NC(G(p, n))| + 1 = (n - 2)p + 3$. Facts 5, 6 and 7 follow because the only non-normal subgroups of $G(p, n)$ are the p cyclic subgroups in the orbit of $\langle b \rangle$.

Thus

$$P_G(S) = \frac{(n - 2)(p + 1) + 4}{(n - 1)(p + 1) + 2},$$

$$P_G^-(S) = \frac{(n - 2)(p + 1) + 3}{(n - 1)(p + 1) + 2},$$

$$P_G(C) = \frac{(n - 2)p + 3}{(n - 1)p + 2},$$

$$P_G^-(C) = \frac{(n - 2)p + 2}{(n - 1)p + 2}.$$

Therefore $\lim_{n \rightarrow \infty} \mu(G(p, n)) = 1$ and $\lim_{p \rightarrow \infty} \mu(G(p, n)) = (n - 2)/(n - 1)$

Lemma. Let $\mu : N^+ \times N^+ \rightarrow (0, 1)$ be such that

- (i) $\lim_{m \rightarrow \infty} \mu(m, n) \searrow s_n$ where $0 < s_n < 1$ for each n ,
- (ii) $\lim_{n \rightarrow \infty} \mu(m, n) = 1$ for each m ,
- (iii) $\lim_{n \rightarrow \infty} s_n = 1$.

Then for each $r \in [0, 1]$ and for each $\epsilon > 0$ there exist positive integers m and n such that $|\prod_{i=1}^m \mu(i, n) - r| < \epsilon$.

Proof. If $r = 1$, then some $\mu(1, n)$ will do. If $r = 0$, then some $\prod_{i=1}^m \mu(i, n)$ will do because $\prod_{i=1}^m \mu(i, n) \leq (\mu(1, n))^m$.

Let $0 < r < 1$. We claim that for each k there exist $m = m(k)$ and $n = n(k)$ such that

$$(2) \quad 1 \geq r / \prod_{i=1}^m \mu(i, n) > s_k.$$

If $r \geq s_k$, choosing n so large that $1 > \mu(1, n) > r \geq s_k$ yields $1 \geq r / \mu(1, n) > s_k$. If $r < s_k$, choosing $n = k$ and m such that

$$\prod_{i=1}^m \mu(i, k) \geq r \quad \text{and} \quad \prod_{i=1}^{m+1} \mu(i, k) < r$$

implies

$$1 \geq r / \prod_{i=1}^m \mu(i, k) > \mu(m+1, k) > s_k.$$

It follows from (2) and (iii) that $\lim_{k \rightarrow \infty} (r / \prod_{i=1}^m \mu(i, n)) = 1$; i.e., $\lim_{k \rightarrow \infty} (\prod_{i=1}^m \mu(i, n)) = r$.

Indexing the odd primes with the positive integers enables us to apply the lemma to each choice of μ . Thus, for $r \in [0, 1]$ and $\epsilon > 0$ there exist groups $G(p_i, n)$, $1 \leq i \leq m$, such that $|\prod_{i=1}^m \mu(G(p_i, n)) - r| < \epsilon$. It is straight forward to verify that if the orders of the groups K and H are relatively prime, then $\mu(K \times H) = \mu(K) \cdot \mu(H)$ for each choice of μ . Thus $\prod_{i=1}^m \mu(G(p_i, n)) = \mu(\prod_{i=1}^m (G(p_i, n)))$, so $G = \prod_{i=1}^m G(p_i, n)$ satisfies the condition of the theorem.

A problem. A natural measure of 'Hamiltonianess' which we have yet to consider is given by

$$P_G^+(G) = \frac{|\{(x, y) | x^{-1}yx = y^k \text{ for some positive integer } k\}|}{|G|^2}$$

because $P_G^+(G) = 1$ if, and only if, each cyclic subgroup of G is normal; i.e., G is Hamiltonian. Thus, since the normalizers of $\langle x \rangle$ and $\langle y \rangle$ are equal when $\langle x \rangle = \langle y \rangle$, we have

$$(3) \quad P_G^+(G) = \frac{\sum_{\langle x \rangle \in \mathcal{C}} \phi(|\langle x \rangle|) |N(x)|}{|G|^2}$$

where ϕ is the Euler phi function and $N(x)$ is the normalizer of $\langle x \rangle$.

Does the theorem hold for $P_G^+(G)$? Setting $G = G(p, n)$ and using (3) yields

$$\begin{aligned} P_G^+(G(p, n)) &= \frac{\sum_{i=2}^{n-1} p \cdot \phi(p^i) \cdot |G| + p \cdot \phi(p) \cdot (|G|/p) + \phi(p) \cdot |G| + |G|}{|G|^2} \\ &= \frac{p^n - p^2 + 2p - 1}{p^n} \end{aligned}$$

since there are p normal cyclic subgroups of order p^i , $2 \leq i \leq n-1$, p cyclic subgroups of order p each with normalizer index p and there is one normal cyclic subgroup of order p and the trivial subgroup. Clearly $\lim_{n \rightarrow \infty} P_G^+(G(p, n)) = \lim_{p \rightarrow \infty} P_G^+(G(p, n)) = 1$. It is easy to verify that $P_{H \times K}^+(H \times K) \leq P_H^+(H) \cdot P_K^+(K)$; i.e. that $P_G^+(G)$ is sub-multiplicative. Thus for $G_k = \prod_{i=1}^k H$, $P_{G_k}^+(G_k) \leq (P_H^+(H))^k$ implies that $\lim_{k \rightarrow \infty} P_{G_k}^+(G_k) = 0$ for any non-Hamiltonian group H . However, the construction of the theorem will not work for $0 < r < 1$ because $\lim_{p \rightarrow \infty} P_G^+(G(p, n)) = 1$.

Problem: For each $r \in (0, 1)$ does there exist a sequence of groups $\{G_n\}$ such that $\lim_{n \rightarrow \infty} P_{G_n}^+(G_n) = r$?

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