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Optimization of the Production of Long-Chain Dicarboxylic Acids from Distillers Corn Oil Using Candida viswanathii

Jennifer Ann Mobley *Rose-Hulman Institute of Technology*

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Optimization of the Production of Long-Chain Dicarboxylic Acids from Distillers Corn Oil

Using *Candida viswanathii*

A Thesis

Submitted to the Faculty

of

Rose-Hulman Institute of Technology

by

Jennifer Ann Mobley

In Partial Fulfillment of the Requirements for the Degree

of

Master of Science in Chemical Engineering

August 2018

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ABSTRACT

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M.S.Ch.E.

Rose-Hulman Institute of Technology

August 2018

Optimization of the Production of Long-Chain Dicarboxylic Acids from Distillers Corn Oil Using *Candida viswanathii*

Thesis Advisor: Dr. Irene Reizman

This thesis explores the viability of using the yeast *Candida viswanathii* to convert distillers corn oil, a byproduct of the ethanol industry, into long-chain α , ω -dicarboxylic acids used in lubricants, cosmetics, and biopolymers. Glucose, xylose, and glycerol were used as carbon sources for the determination of growth parameters of this strain, of which it was found that growth on glucose resulted in the highest specific growth rate of 0.482 hr^{-1} and the lowest biomass yield coefficient of 0.566 - 0.754 g DCW per g substrate on average. A prior developed analytical method for determining feed and product concentrations in fermentation broth using gas chromatography was gradually improved throughout this study. However, it was found that repeatability issues still occurred with the method. The production of diacids was studied with different feedstocks and co-substrates, where it was found that diacid production occurred with all combinations, except methyl oleate and glucose. It was observed that both methyl oleate and oleic acid had solubility issues, which could be further improved within the fermentation broth. Keywords: Chemical Engineering, Dicarboxylic Acid Production, Renewable Resources

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I am grateful to say that this research is funded by the Indiana Corn Marketing Council. I am also proud to say that this research has the support of the National Corn Growers Association, which awarded me 3rd place in their poster competition at the 2018 Corn Utilization and Technology Conference.

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1. INTRODUCTION

1.1 Project Impact on the Production of Specialty Chemicals from Renewable Resources

Long-chain α,ω-dicarboxylic acids (LCDCAs) are known to have a variety of applications in the lubricant, fragrance, and cosmetic industries¹. Recent studies also suggest that LCDCAs are useful in the production of biodegradable polyesters and polyamides^{2,3}. This application alone increases the market demand for LCDCAs and projects a 7.0% compound annual growth rate for their production in the next nine years⁴. These acids can be obtained from reactions with petrochemicals and oleochemicals or with microbial fermentation⁵.

A common method of producing dicarboxylic acids (DCAs) in industry is oxidative ozonolysis. Monounsaturated fatty acids with long chain lengths, such as palmitic and oleic acid, are reacted with ozone to form smaller chain length dicarboxylic acids, like azaleic acid^{2,5}. While generally resulting in high yields ($>90\%$), ozonolysis poses many issues with downstream purification of the product since it is a strong oxidant and highly reactive with many other compounds in solution². Fatty acids of varying chain lengths are usually present in renewable oil feedstocks, and these could react with ozone to introduce more impurities. Ozone can also be highly explosive due to its reactive nature and acutely toxic when inhaled⁶. Utilizing a biotransformation process is an intrinsically safe method that can avoid the addition of such hazardous chemicals.

Metathesis is another method that involves reactive chemistry, but with the use of a Grubbs catalyst to convert biodiesel and free fatty acids (FFAs) into long and short chain diesters and DCAs. The feedstock must include a double bond for the catalyst to induce an exchange of functional groups, like in the conversion of methyl oleate into C18:1 diester and 9-octadecene⁷. This hinders production efficiency because two molecules of methyl oleate are required to produce one molecule of the C18:1 diester. Polyunsaturated esters and FFAs can also produce a variety of other byproducts since all double bonds are subject to cross-metathesis. For example, linoleic acid, a component of corn oil⁸, can be converted to C18 DCA and C18 triene via metathesis at the 9,10 double bond, and can also be converted to C24 DCA and C12 monoene at the 12,13 diacid bond⁹. The complexity of reactions can be avoided by using a biotransformation of the FFAs, which is not dependent on the number of double bonds present and produces no byproducts.

In addition to the reduced complexity of the process and the removal of inherent safety concerns, using a biotransformation for the production of LCDCAs is becoming increasingly popular for sustainability reasons. The objective of this thesis is to evaluate whether *C. viswanathii* is a promising yeast strain for the production of LCDCAs, and if it would be reasonable to implement this process in a bioprocess facility where distillers corn oil (DCO) could be used as the feedstock. Using a renewable resource, such as corn oil, to produce specialty chemicals is a sustainable alternative to petrochemicals, and other byproducts of the bioprocess industry could be used to fuel the fermentation. Not only can simple sugars like glucose be obtained from corn, but there has been recent research exploring the breakdown of corn stover, which could produce xylose that could also be used to give the yeast a carbon source. In fact, Liu and Chen found that corn stover can be a great source of these sugars, with yields of glucose and xylose reaching 77.3% and 62.8% respectively of the total available sugars when exposed to a steam explosion treatment and enzymatic digestion¹⁰. Additionally, if this

process were to be added on to a biodiesel plant, excess glycerol produced from transesterification reactions can also be used as a carbon source by the yeast. This study explores the use of these carbon substrates as suitable media constituents for both the growth and LCDCA production phases of *C. viswanathii*.

The study herein also aims to contribute to the idea that LCDCA production via microbial fermentation would be economically viable, especially if the process can be added on to a preexisting dry milling biofuel plant. GE conducted a study to see if it would be worth investing in a process that converted methyl myristate to 1,14-tetradecanedioic (C14) acid with *C. tropicalis*. With preliminary production data at a lab scale and a rigorous analysis of a downstream purification section, they found that they would have to sell the DCA for \$5.89/lb in order to have an ROI of 20% over 10 years¹¹. While the price seems reasonable considering that this specialty chemical is more difficult to manufacture than sebacic acid, a medium chain DCA which sells for around \$1.85/lb, the report considered that the majority of its production cost came from the chemicals used in the process, where at least 37% was attributed to the cost of methyl myristate feed¹². By using an abundant renewable resource such as DCO, an excessive feed cost can be mitigated. At Rose-Hulman, a senior chemical engineering design team worked on designing a process to produce C18 LCDCAs from DCO that could be added on to a biorefinery that produced 50 MMgal of ethanol per year. They assumed that the LCDCAs could be sold for the price of sebacic acid, which is assumed to be a low estimate, and found in an initial unpublished report that they had a payback period of around 2.4 years at an ROI of 27.4%. Comparatively to the GE group, they found that their DCO feedstock was only about 28.5% of the total production cost, even though there were more unit operations in the final design due to first converting the corn oil to its methyl ester derivative¹³. In summary, bioprocessing plants

could benefit from the research in this thesis if high yields of LCDCAs are demonstrated from a biotransformation of DCO or its derived biodiesel with *C. viswanathii*, so that the process could be considered for further large-scale development.

1.2 Summary of Objectives

First, the growth of *C. viswanathii* was investigated aerobically on multiple carbon substrates such as glucose, glycerol, xylose, and their mixtures to determine which substrate was more suitable for industrial biomass accumulation. The criteria for an optimal carbon source are a high specific growth rate and a high biomass yield coefficient, which is the amount of biomass produced relative to the amount of substrate consumed. It was hypothesized that growth on glucose would have the highest specific growth rate since it is a simple sugar that does not need to undergo any modifications or alternate pathways before being metabolized in glycolysis. As for the biomass yield coefficient, glycerol was expected to have the largest value since it is a nonfermentable carbon source in anaerobic conditions, so there would be no production of ethanol if oxygen limitations are present. If glucose was to be mixed with either glycerol or xylose, it was thought that the glucose would selectively be consumed first since it is easier to metabolize.

Secondly, the production of LCDCAs with *C. viswanathii* was studied aerobically using components that are expected to be in corn oil and sugars that are found in either corn stover or other byproducts of a biorefinery. Ideally, corn oil itself would have been used in this study, but in order to supply proof of concept, oleic acid, one of the main constituents of corn oil after hydrolysis of triglycerides, and methyl oleate, the biodiesel derivative of oleic acid, were used as the feedstocks. Methyl oleate is of particular interest because it remains a liquid at room temperature and the conditions used within the fermentation, so it would be a desirable feedstock in industry in order to prevent clogging within pipes and bioreactors. Few studies have investigated whether methyl esters or FFAs are more soluble in the fermentation conditions used, so it is hypothesized that oleic acid would be the more suitable feedstock since it would retain a negative charge when added to basic aqueous broth and likely dissolve to a greater extent. The carbon substrates used in the growth study were varied with the above feedstock conditions as well to determine which allowed for more production of LCDCAs without catabolite repression. Glucose is well known to cause catabolite repression in many genetic systems, so a higher and lower bound concentration were tested for each substrate to gauge whether the higher concentration increased this repression. It was thought that glucose would have a drastic negative effect on production at higher concentrations while the other substrates would not have much of an effect with varying concentration. Glycerol was hypothesized to have the highest turnover of product due to it being nonfermentable in anaerobic conditions, leading to less ethanol formation and possibly reducing the inhibition of LCDCA production if oxygen limitations persist.

Lastly, an analytical method was devised from prior studies involving the production of LCDCAs with *C. viswanathii* to determine the concentration of LCDCAs, FFAs, and methyl esters in solution. Gas chromatography appeared to be a promising method as many studies have successfully used it to either qualitatively or quantitatively determine LCDCA concentration. Many derivatization procedures reviewed also concurred that converting the LCDCAs to their trimethylsilyl analogs helped to greatly decrease the boiling point so that this type of method could be used within reasonable column limitations. This study explored whether any of the

methods could be improved and if ideas in the literature review could be combined to form a more simple and accurate method. High-performance liquid chromatography was also investigated in order to determine if more accurate results could be obtained without the extra derivatization step used in gas chromatography.

2. BACKGROUND

2.1 Contemporary Production of LCDCAs in Industry and Academia

Microbial fermentation converts FFAs and esters into LCDCAs by manipulation of the ω-oxidation pathway in yeast and genetically engineered *Escherichia coli*. The enzymes used in the ω-oxidation pathway are shown in Figure 2.2.1, where the cytochrome P450 (CYP450) used varies between organisms¹⁴. It is widely known that the CYP450 enzyme complex is responsible for the oxidation of the FFAs and esters at the terminal ω position, and many groups have genetically engineered strains of *Yarrowia lipolytica*, *Candida maltosa*, and *Candida tropicalis* to increase turnover with this pathway¹⁵. Of these yeasts, *C. tropicalis* is used more often in studies of the production of DCAs since Picataggio et al. succeeded in blocking its competing βoxidation pathway by sequential disruption of genes that code for acyl-CoA oxidase. Picataggio et al. further amplified the genes that coded for the CYP450 complex in order to increase the biotransformation yield by 30% for a range of FFA chain lengths, mainly methyl myristate¹⁶.

Figure 2.1.1: Summary of the ω-oxidation pathway present in the engineered *E. coli* **used by Sathesh-Prabu and Lee. The CYP450 is expected to be different for other organisms, but has the same function¹⁴ .**

Many academic studies have used the resulting strain, H5343 (commercially produced as $ATCC^{\circledast} 20962^{TM}$, as a starting point for their further genetic recombination. For this study, this strain was also used, but it should be noted that it has been reclassified as *Candida viswanathii*

after being deposited and registered by the American Type Culture Collection¹⁷. Lu et al. described deleting 16 genes within the ω-oxidation pathway in order to stop the ω-oxidation pathway short and produce ω -hydroxyfatty acids, which illustrates the versatility of this strain¹⁸. Fabritius et al. used another mutant strain of *C. tropicalis* in order to convert oleic acid (90% purity) into 3-hydroxy- Δ^{9} -cis-1,18-octadecenedioic acid¹⁹. Similarly, Funk et al. recently used the original H5343 strain to produce 1,18-cis-octadec-9-enedioic acid from oleic acid (94.7% purity). Alkanes such as n-dodecane, n-tridecane, and n-tetradecane were converted to their diacid forms in a study by Liu et al., where they used a strain of *C. tropicalis* that effectively could not use the alkanes as a carbon-source for growth²⁰.

Although many of these studies did not completely optimize growth conditions or determine scale-up parameters for bioreactors, many companies in China and Japan have already started implementing this biotechnology into their production of LCDCAs. Cognis, acquired by BASF in 2010, was one of the first to produce and commercialize *C. tropicalis* strains in 1990 for this application¹⁵. Arkema France has produced a similar patent in which unsaturated DCAs are produced by fermentation and then are subject to metathesis to form DCAs with the desired chain length²¹. Henkel filed a patent for the production of azelaic acid by fermenting oleic acid with a co-substrate to produce 9-octadecenedioic acid, a LCDCA of interest, and then further oxidizing it with ozone²². Many of these companies use alkanes and esters for their feedstocks, but very few have considered a lucrative and sustainable resource such as renewable vegetable oils. Verdezyne, a company in California that ferments many of their products, was one of the first companies to use vegetable oils when producing DCAs, and the first in the world to create dodecanedioic (C12) acid in this manner²³. However, it was shut down after a couple of years of operation due to bankruptcy from withdrawal of investors 24 .

2.2 Justification for Using Distillers Corn Oil as a FFA Feedstock

The research conducted in this thesis will determine the viability of using a renewable vegetable oil feedstock, distillers corn oil (DCO), in a biotransformation with *C. viswanathii* to produce LCDCAs. Corn oil is obtained as a byproduct of ethanol plants in the dry milling process, after milling, cooking, and fermenting corn²⁵. The resulting broth is then distilled to produce fuel-grade ethanol and whole stillage. This whole stillage is then centrifuged to remove a wet cake, which is dried and sold as distillers dried grains with solubles $(DDGS)^{26}$. Thin stillage, the liquid separated from the wet cake, is heated and sent to a secondary centrifuge to extract the corn oil from the syrup. Processing this thin stillage results in a recovery of 30% of the oil present within the corn. This recovery can be increased to 60-70% by washing the wet cake in the whole stillage before sending it to the primary centrifuge²⁵.

Distillers corn oil is comprised of a majority of mono-, di-, and triglycerides, with only about 10-15% FFAs by weight^{27,28}. According to Greenshift, a typical crude corn oil contains about 2.26% monoglycerides, 27.22% diglycerides, and 53.44% triglycerides, with an additional 1.34% of unsaponifiable matter²⁹. In order to obtain a feedstock suitable for the ω -oxidation pathway, the oil must undergo a transesterification reaction to convert all of the glycerides into methyl or ethyl esters, or a hydrolysis reaction to convert the glycerides into FFAs. It is assumed that these glycerides have a similar composition to the FFAs that were originally in the crude corn oil. DCO has a variable FFA composition, but Winkler-Moser et al. suggest that thin stillage stored at room temperature, manufactured by Poet LLC., contains mainly C18 saturated and unsaturated FFAs, as shown in Table 1. The same study found that the oils that were extracted from thin stillage and DDGS had a similar FFA makeup to corn oil²⁶. This FFA

composition is desirable for this study since C18 FFAs, specifically stearic and oleic acid, are

frequently at the center of previous research papers around microbial fermentation for DCAs.

3. LITERATURE REVIEW

3.1 Exploration of Feedstocks and the Chain Lengths of DCAs from Biotransformations

C. viswanathii is able to use its ω-oxidation pathway to metabolize many different feedstocks, including alkanes, FFAs, hydroxyacids, and methyl esters into their respective LCDCAs. This organism is also quite flexible with the chain-length of these compounds and can transport a variety of long-chain feedstocks into the cell. Although there are many articles related to the production of LCDCAs or uncommon fatty acids with genetically modified organisms, only eight will be reviewed in this particular section and Section 3.2 since they are considered the most unique and applicable of the resources examined. Of these eight studies, Cao et al.., Picataggio et al., and Sathesh-Prabu and Lee used dodecane as one the primary substrates in order to produce dodecanedioic $(C12:0)$ acid^{14,16,30}. Liu et al. and Picataggio et al. were able to use dodecane in addition to longer chain alkanes, such as tetradecane and tridecane, to produce their respective $DCAs^{16,20}$. Mobley and Picataggio et al. also experimented with various methyl esters, including methyl myristate (C14:0), methyl palmitate (C16:0), and methyl stearate (C18:0), while Rimmel used a shorter length methyl ester, methyl laurate (C12:0), in her study^{11,16,31}. Shorter chain FFAs such as lauric acid (C12:0) and myristic acid (C14:0) were used in the study by Sathesh-Prabu and Lee, while longer chain FFAs such as palmitic acid (C16:0), stearic acid (C18:0), oleic acid (C18:1), and linoleic acid (C18:2) were used by Mobley^{11,14}. The use of oleic acid is more common in LCDCA production studies, where Fabritius et al. and Funk et al. used it for their experiments as well.

3.2 Bioreactor and Shake Flask Conditions Tested for LCDCA Production

Tables 3.2.1 through 3.2.8 give a detailed description of the media that were used in each study discussed in the prior section and includes the co-substrates that were used during the production phase. Funk et al., Mobley, and Rimmel all used a variation of OPT1 media, which is an undefined media containing yeast extract used in this study^{1,11,31}. Other experiments involved a more defined media with fewer components such as a phosphate buffer with Tween-80, an antibiotic, and trace elements solution like Sathesh-Prabu and Lee used, or incorporated more components along with multiple carbon and nitrogen sources in the form of corn steep liquor, such as in the studies of Cao et al., Fabritius et al., Liu et al. and Mobley^{11,14,19,20,30}. The carbon substrates are varied, but were most often glucose or xylose. More discussion on these cosubstrates is included in the next section.

Table 3.2.9 gives a summary of the conditions used for the biotransformation in each study. Most studies held a constant basic pH and a temperature around 30°C, but Rimmel kept her bioreactor at a slightly acidic pH of 5.8 for a long period of time in the biotransformation phase³¹. Fabritius et al. started off with a natural acidic pH for the growth phase, but then added sodium hydroxide to increase the pH after a few hours of biotransformation, while Liu et al. gradually increased pH throughout each trial to ensure that the LCDCAs were dissolved in solution^{19,20}. A more detailed discussion on pH control is given in Section 3.5. As for the fermentation volume, aeration and agitation rate, they varied significantly depending on whether the fermentation was done in a shake flask or bioreactor. Only Sathesh-Prabu and Lee extensively experimented with shake flasks, like in this study, but they did look at scaling up to a bioreactor as well¹⁴. Most bioreactors were run with a fed-batch configuration where substrate

was added either continuously or in increments. The only study besides the one done by

Sathesh-Prabu and Lee that used a batch fermentation method was Cao et al.³⁰.

Specification	Cao et al.			
	4.0 g/L yeast extract			
Undefined Components	1.5 g/L dry powder of corn steep liquor			
	0.5 g/L Tween 60			
	8.0 g/L KH ₂ PO ₄			
Defined Components	60.0 g/L sucrose			
	4.0 g/L sodium acetate			
	3.0 g/L KNO ₃			
	1.0 g/L NaCl			
	2.0 g/L urea			
	60 g/L of glucose, sucrose, xylose, or			
Co-substrates	arabinose			
	8 M NaOH			
Acid and/or Base for pH Adjustments	$5 M H_2SO_4$			

Table 3.2.1: Summary of the medium composition used for the production of LCDCAs in the study by Cao et al.³⁰

Table 3.2.2: Summary of the medium composition used for the production of LCDCAs in the study by Fabritius et al.¹⁹ .

Table 3.2.3: Summary of the OPT1 medium composition used for the production of LCDCAs in the study by Funk et al.¹ .

Table 3.2.4: Summary of the medium composition used for the production of LCDCAs in the study by Liu et al.²⁰ .

Table 3.2.5: Summary of the medium composition used for the production of LCDCAs in the study by Mobley¹¹ .

Table 3.2.6: Summary of the medium composition used for the production of LCDCAs in the study by Picataggio et al.¹⁶ .

Specification	Rimmel				
Undefined Components	5 g/L yeast extract				
	8 g/L (NH ₄) ₂ SO ₄				
	1 g/L K ₂ HPO ₄				
Defined Components	2 g/L KH ₂ PO ₄				
	0.1 g/L NaCl				
	0.132 g/L CaCl ₂ · H ₂ O				
	4 mM MgSO ₄				
	1 mL/L trace element solution				
	30 g/L glucose, stock added during				
Co-substrates	production: 500 g/L				
Acid and/or Base for pH Adjustments	6 M NaOH				

Table 3.2.7: Summary of the OPT1 medium composition used for the production of LCDCAs in the study by Rimmel³¹ .

Specification	Sathesh-Prabu and Lee				
Undefined Components	None, this is a phosphate buffered minimal solution				
Defined Components	0.1 M potassium phosphate buffer 1 X trace element solution $30 \mu g/mL$ chloramphenicol 0.5% Tween 80 1% (w/v) glycerol 0.4% (w/v) glucose				
Co-substrates					
Acid and/or Base for pH Adjustments					

Table 3.2.8: Summary of the medium composition used for the production of LCDCAs in the study by Sathesh-Prabu and Lee¹⁴ .

Specification	Cao et al.	Fabritius et al.	Funk et al.	Liu et al.	Mobley	Picataggio et al.	Rimmel	Sathesh- Prabu and Lee
\mathbf{p} H	8.0	$6.4 \rightarrow 8.0$ after 24 hrs	8.0	$7.2 \rightarrow 8.1$ Gradually	>7	$7.8 - 8.3$	$5.8 \rightarrow 8$ after 90 hours	
Temperature	30° C	32° C	30° C	30° C		30° C	30° C	30° C
Agitation	800 rpm	600 rpm	$600 - 1200$ rpm	700 rpm	900 rpm	1300 rpm	$500 - 1200$ rpm	200 rpm
Aeration	2 L/min	3 vvm	6 sL/hr	4 L/min	1.2 vvm	1 vvm	$6 - 16$ sL/hr	
Bioreactor or Shake Flask	7.5L Bioreactor	Bioreactor	8 x 1 L Parallel Bioreactors	5L Bioreactor	5L Bioreactor	15L Bioreactor	8 x 1.4 L Bioreactors	250 mL Shake Flask
Fermentation Volume	1.4L	1 _L	280 mL	3.2 L	$2.5 - 3.0$ L	5L	$300 - 700$ mL	
Configuration	Batch	Fed-Batch	Fed-Batch	Fed-Batch	Fed-Batch	Fed-Batch	Fed-Batch	Batch

Table 3.2.9: Summary of the broth conditions used for the production of LCDCAs in the most referenced literature sources1,11,14,16,19,20,30,31 . Modified yeast strains were used in each study, except Sathesh-Prabu and Lee used *E. coli***.**
With the conditions described in the reported tables and the feedstocks used in the previous section, a wide range of titers were produced. Although this study aims to provide a proof of concept rather than an optimal titer, some of the titers obtained in other studies should be reviewed to obtain an idea of what production concentrations could be made with this strain and others. Cao et al. found that they were able to achieve one of the highest titers of dodecanedioic acid among a few other studies, including that of Liu et al., Picataggio et al. and Sathesh-Prabu et al., as seen in Table 3.2.10. The maximum titer and productivity that Cao et al. obtained was much higher than the value obtained from genetically modified *Escherichia coli* in the study of Sathesh-Prabu and Lee, but they did not note in their report that the latter had only allowed 48 hours to pass for their biotransformation, while they had tried to let the transformation go to completion by running for 114 hours^{14,30}. Picataggio et al. stopped their biotransformation at about 92 hours, where they were able to obtain a larger titer than Cao et al., but a lower maximum productivity¹⁶. Liu et al. had the highest values for titer and productivity, but they had a more complex process with their intricate pH control and had operated for over 120 hours²⁰.

Table 3.2.10: Comparison of C12 DCA titers between the studies of Picataggio et al., Sathesh-Prabu et al., Cao et al., and others as presented by Cao et al.³⁰ .

Comparison of DC ₁₂ production with different substrates by different strains. C _{max} : maximal product concentration, R _{max} : maximal production rate of DC ₁₂ .				
Substrate	$C_{\rm max}$ (g/L)	$R_{\text{max}} g/(lh)$	Strain	Ref.
Dodecane, Glucose	140	0.9	C. tropicalis H5343	Picataggio et al. (1992)
Dodecane	0.3	0.0025	Corynebacterium sp. ATCC19067	Broadway et al. (1993)
Dodecane, Sucrose	166	1.38	C. tropicalis CGMCC 356	Liu et al. (2004)
Dodecane, Glucose		0.056	Y. lipolytica MTLY37	Smit et al. (2005)
Dodecane, 5-aminolevulinic acid, thiourea	0.159	0.013	E coli REO	Sathesh-Prabu and Lee (2015)
Dodecane, wheat straw hydrolysates	129.7	1.13	C. viswanathii ipe-1	This study

As for the studies that used oleic acid for a feedstock, Funk et al. found that they were able to obtain a maximum 1,18-*cis*-octadec-8-enedioic acid titer of 42.0 g/L with a volumetric productivity of 0.56 g/L/hr. This utilized a glucose feed rate of 0.4 g/hr and oleic acid feed of 1

 $g/L/hr¹$. Comparatively, Fabritius et al. obtained a maximum titer of about 22 g/L with their *C*. *tropicalis* DSM 3152 strain after about 85 hours. The concentration appeared to decrease with time as well as more 3-hydroxy-Δ 9 -*cis*-1,18-octadecenedioic acid was formed. The feed rate of oleic acid that they used was much smaller at 5.8 mL/hr to a total of 70 mL. Their other strain of C. tropicalis, M 25, produced higher concentrations of the hydroxyl acid than the LCDCA¹⁹. These concentrations are not expected to be reached when using a semi fed-batch fermentation, such as what is used in this study. Only glucose would be added, not further oleic acid feedstock, so production would have to be compared to these studies relative to the amount of oleic acid that was added in total. The same applies to the use of methyl oleate. Methyl esters were used in the studies by Rimmel and Mobley, but few conclusions can be drawn from the latter to compare to Rimmel. Since Mobley's report was made for GE, units for concentration and time on his production curves are not listed. In general, he found that the titers obtained for oleic acid enriched feed and tallow fatty acids were much higher than for stearic acid, and the titers obtained from using methyl myristate (C14:0) for the feedstock were much higher than for methyl palmitate and methyl stearate, which are two of the components that are expected to be a part of the biodiesel produced from $DCO¹¹$. Lastly, for Rimmel's conversion of lauric acid methyl ester to DDS, the highest titer she obtained was about 66 g/L after 188 hours of production. This occurred with a feed flowrate of 0.9 g/L/hr. The maximum productivity of 0.54 g/L/hr was found when using a feed flowrate of 1.2 g/L/hr³¹.

3.3 Growth of *C. viswanathii* and *C. tropicalis* on Common Carbon Substrates

C. viswanathii, like most organisms, is known to grow well on glucose and is capable of breaking down sucrose²⁰. However, little research has been done to explore its ability to

metabolize xylose and glycerol. One of the first studies relating to the growth of *C. tropicalis* on xylose looked at xylitol production from sago trunk hydrolysate. Although the uses described in the article are not pertinent for this study, there were specific growth rates mapped out for *C. tropicalis* using the Monod equation³². This provides a basis for comparison when looking at the specific growth rates at the particular strain used in this study, even though the values obtained here are anticipated to be larger due to the addition of yeast extract to the media. Graphics for their findings can be seen in Figure 3.3.1 below.

Figure 3.3.1: The specific growth rate of *C. tropicalis* **related to the xylose concentration via the Monod equation in a simple medium without the sago trunk hydrolysate as found by Mohamad et al.³² .**

Another article explored the production of dodecanedioic acid (C12) from the fermentation of wheat straw hydrolysates and n-dodecane, and experimented with glucose, xylose, sucrose, and arabinose as the carbon substrate. They found that xylose was very similar to glucose in terms of the rate of consumption and biomass yield. However, as shown in Figure 3.3.2, glucose was slightly better for the production of DCAs. Another interesting finding was that arabinose was not metabolized well in comparison to the other substrates, which is the primary justification for not using it in this study³⁰.

Figure 3.3.2: The production of dodecanedioic acid (A), biomass yield (B), and substrate consumption (C) of sucrose, glucose, xylose, and arabinose with *C. viswanathii* **as investigated by Cao et al³⁰ .**

Lastly, Fabritius et al. used glycerol as their carbon source when using *C. tropicalis* to produce 3-hydroxyl-Δ 9 -*cis*-1,18-octadecenedioic acid, but they did not discuss the reasons behind their choice¹⁹. A possible justification comes from an article by Mishra et al., where they conducted a genome-scale metabolic model analysis on *C. tropicalis.* They found that it was optimal to use glycerol in the production of dodecanedioic acid since their flux-sum analysis illustrated that it had the highest turn-over of FFA feedstocks and other cofactors comparatively to both glucose and xylose. Overall glycerol uptake was observed to be much lower in the production phase when compared to the other substrates as well, making it desirable from a costsavings standpoint³³.

3.4 Analytical Methods for Quantifying LCDCAs in Fermentation Broth

The majority of the literature articles reviewed used gas chromatography (GC) as the standard for quantification or identification of LCDCAs. In order to prep the samples for GC loading, Fabritius et al., Funk et al., Mobley, Picataggio et al., Rimmel, and Sathesh-Prabu and Lee had all first extracted the fermentation broth into an organic solvent, and then converted the LCDCAs and FFAs to either trimethylsilyl (TMS) derivatives or esters^{1,11,14,16,19,31}. More information on how this works and why this method of preparation was chosen for this project is given in Section 5.4.1. Most articles did not disclose details on the extraction and derivatization times, but they all used hydrochloric acid to first reduce the pH for the extraction phase. Then they all used an ether for the organic solvent, except for Sathesh-Prabu and Lee, who used ethyl acetate for extraction¹⁴. Few GC specifications are given, except by Rimmel, Sathesh-Prabu and Lee, and Funk et al., but in general each research group used relatively nonpolar and capillary $GCs^{1,11,14,16,19,31}$. Although a more comprehensive review of the analytical protocol used in this thesis compared to Rimmel and Funk et al. is given in Section 5.4.2, a brief summary of the specifications given for the GC program in those studies and in Mobley and Sathesh-Prabu and Lee's work is given in Table 3.4.1.

A few other methods have been proposed for quantifying DCAs and FFAs in fermentation broth, but few have been replicated in many studies to the extent that the GC protocol has undergone. HPLC is a method that would bypass all of the extraction and derivatization steps, but of the articles reviewed, only Rimmel explored the possibility of using it 31 . Thin layer chromatography could also be used to get a qualitative estimate of the concentration of LCDCAs and FFAs, and was explored to a small extent by Rimmel as well³¹. Lastly, titrations of the acids could be done with a standardized solution of sodium hydroxide, as shown by Liu et al. and Cao et al. However, the procedure for filtering, washing, and precipitating out the LCDCAs to purify them for the titration is more complicated than the extraction method and requires more time 20,30 .

Specification	Funk et al.	Mobley	Rimmel	Sathesh-Prabu and Lee
Column	Rxi®-5Sil MS	WCOT CP-Sil 5CB	BPX5	Agilent 7890A
Components	$1,18\text{-}cis$ -Octadec-9-	$C12 - C19$ DCAs	Lauric Acid (C12)	C12 and C14 DCA
Tested	enedioic acid $(C18:1)$		C ₁₂ DC _A	
Temperature	90° C for 3.5 min,	100°C,	180°C,	120° C for 2 min,
Ramp	50° C/min to 210° C,	8°C/min to 155°C,	8° C/min to 245 $^{\circ}$ C,	10° C/min to 220 $^{\circ}$ C
	10° C/min to 220 $^{\circ}$ C,	155 $\mathrm{^{\circ}C}$ for 1 min,	30°C/min to 300°C	
	15° C/min to 280 $^{\circ}$ C,	5° C/min to 225 $^{\circ}$ C,		
	60° C/min to 330 $^{\circ}$ C,	15° C/min to 300 $^{\circ}$ C,		
	300° C for 1.5 min	300°C for 5 min		
Detector Type	FID	FID	FID	FID
Internal Standard				Methyl Nonadecanoate

Table 3.4.1: Summary of the gas chromatography specifications highlighted in the reports of Funk et al., Rimmel, and Sathesh-Prabu and Lee1,14,31 .

3.5 Difficulties Encountered During Production and Analysis

Many unique difficulties occurred in the literature when attempting to get the highest yield of LCDCAs with *C. viswanathii*. Some issues dealt with the product itself, and the ability to store it and even collect it from the broth samples. For example, the pH of the broth matters when it comes to the optimal production of DCAs and the easy removal of them from the solution. Liu et al. conducted an entire study that revolved around finding a pH range that would allow the feedstocks used and the DCAs produced to stay dissolved in the broth. They found that an incremental increase in pH from 7.2 to 8.1 was more effective at avoiding limiting the production of LCDCAs during 120 hours of biotransformation due to the accumulation of product in the cells. It also helped with avoiding any negative impacts on cell physiology at higher pH ranges. A depiction of their findings can be reviewed in Figure 3.5.1. This control strategy resulted in higher yield of LCDCAs than just keeping a constant pH of 8 in the fermentor²⁰. As for the collection of the LCDCAs from the broth, Körner and Deerberg show that the LCDCAs can precipitate in different ways. For a pH just below 7, a top floating solids phase occurs. As the pH continuously decreases, a secondary solids phase tends to form on the biomass pellet. Then once the pH gets below 5.3, the top floating phase completely dissociates or sinks to the bottom³⁴. It is thought that there would be no issue of a precipitate forming if the broth pH can be kept above 8.

Another issue that deals with the LCDCAs themselves is the fact that their TMS derivatives, along with those of FFAs, break down rapidly. Cho et al. found that adding pyridine can help to slow down hydrolysis of the TMS derivatives from the presence of water in the organic solvent³⁵. A drying phase over magnesium sulfate could also be used to remove the water before adding the derivatization reagent, like what was used in the study by Fabritius et al.¹⁹.

Otherwise, Judefeind et al. found that the TMS derivatives were stable for up to 22 hours on average. If the samples were stored at -20°C without being derivatized, they would be stable for up to 2 months³⁶.

Figure 3.5.1: The improved effects on productivity and activity of CYP450s in *C. tropicalis* **as found by Liu et al. The unfilled points represent the productivity measurements and the filled points correspond to the activity of CYP450. Circles are used for the devised pH strategy, while squares are used for a constant pH of 8.**

Other issues explored by researchers in the biotransformation field are the limitations of using *C. viswanathii* itself. Funk et al. found that oleogenious yeasts, such as this species, were prone to forming lipid bodies when exposed to an excess of glucose. This was found to be a problem when using oleic acid as a feedstock for the conversion to LCDCAs. Another pathway that they found interfered with production was the fact that yeast naturally produce ethanol via the Crabtree effect, also due to an excess of glucose being present. When they conducted the biotransformation in a fed-batch mode, they found that the ethanol concentration accumulated to be as high as 3.2 g/L. They recommended not using high concentrations of glucose if a fedbatch fermentation is desired¹. Lastly, as with many fermentations with yeast, oxygen diffusion limitations occur frequently when growing up this culture. If there are no resources to improve the sparging rate or conformation of the fermentor used, another study says that hydrogen peroxide was shown to be a suitable oxygen source for yeasts such as *C. tropicalis* 37 .

4. DESCRIPTION OF THE MODEL

4.1 Derivation of the Growth Rate Model

One of the objectives of this thesis is to quantify the specific growth rate of *C. viswanathii* on multiple different substrates to determine which results in faster exponential growth. The experiments were conducted in shake flasks with a single injection of substrate, which fits the criteria for a batch fermentation. To model the exponential growth of the yeast in a batch culture, the following equation is used 38 :

$$
\frac{dX}{dt} = \mu_{net} X \tag{4.1-1}
$$

where X represents the biomass concentration in g/L , μ is the net specific growth rate for the fermentation in hr⁻¹, and t is the time in hours. In many cases, μ_{net} is substrate limited, and there are a variety of correlations that can account for that fact, such as the common Monod equation³⁸.

$$
\mu_{net} = \frac{\mu_{max} S}{K_s + S} \tag{4.1-2}
$$

where μ_{max} is the maximum possible specific growth rate in hr⁻¹, S is the substrate concentration in g/L , and K_s is the half-velocity constant in g/L , which is the concentration of substrate at which the specific growth rate has reached half its maximum value. For this experiment, it can be assumed that the half-velocity constant is negligible compared to the substrate concentration, since a large excess of substrate is being added for the batch process. It is also assumed that

30

substrate inhibition is insignificant. The model in Equation 4.1-1 can then be simplified to the following:

$$
\frac{dX}{dt} = \mu X \tag{4.1-3}
$$

The specific growth rate is now only dependent on the type of substrate used in the fermentation. Integrating this equation accounting for an initial biomass concentration of X_0 and an initial time of zero results in Equation 4.1-4.

$$
\ln\left(\frac{X}{X_0}\right) = \mu t \tag{4.1-4}
$$

where X_0 is the initial biomass concentration in g/L. Rearranging to solve for the biomass concentration results in the final simplified exponential growth curve:

$$
X = X_0 e^{\mu t} \tag{4.1-5}
$$

4.2 Derivation of the Substrate Consumption Model

The other growth study objective is to determine what the biomass yield coefficients are for *C. viswanathii* with respect to each substrate. Using the fundamental equation for substrate consumption devised by Shuler et al.³⁸:

$$
\Delta S = \Delta S_{assimilateral} + \Delta S_{assimilateral} + \Delta S_{growth} + \Delta S_{maintenaance}
$$

\n_{into into an}
\n_{biomass}
\n_{product}
\n
$$
T = \Delta S_{assimilateral} + \Delta S_{growth} + \Delta S_{maintenaance}
$$

\n_{energy}
\n
$$
T = \Delta S_{assimilateral}
$$

\n
$$
T = \Delta S_{mass}
$$

where S is still the substrate concentration in g/L. Converting the different components into their derivative forms gives:

$$
\frac{dS}{dt} = -\frac{1}{Y_{X/S}} \frac{dX}{dt} - \frac{1}{Y_{P/S}} \frac{dP}{dt} - mX
$$
\n(4.2-2)

where $Y_{X/S}$ is the biomass yield coefficient with units of g/L biomass per g/L substrate, $Y_{P/S}$ is the product yield coefficient with units of g/L product per g/L substrate, and m is a maintenance coefficient in g/L substrate per g/L biomass \cdot hr. It is assumed that the growth energy term is lumped into the maintenance energy term. To further simplify this model, it is assumed that the maintenance term is significantly smaller than the biomass term, since only the exponential growth regime is of interest and the cells would be focusing on assimilating the substrate into biomass. Next, the product formation term is assumed to be negligible as well, since the growth studies do not add any feedstock to form the product of interest. There is a possibility that the Crabtree effect could be occurring, since the yeast are grown with a large excess of substrate; however steady-state conditions are typically needed to induce ethanol formation and since this is a batch process, the amount of ethanol formation should be relatively small after the concentration of sugars is reduced within the first couple of hours³⁹. Future studies should be conducted to verify that the ethanol concentration is small comparatively to the substrate concentration. The substrate consumption rate equation is therefore reduced to one term:

$$
\frac{dS}{dt} = -\frac{1}{Y_{X/S}} \frac{dX}{dt}
$$
\n(4.2-3)

Substituting in Equations 4.1-3 and 4.2-4, a simple ordinary differential equation can be formed:

$$
\frac{dS}{dt} = -\frac{\mu X_0}{Y_{X/S}} e^{\mu t} \tag{4.2-4}
$$

Integrating Equation 4.2-4 while accounting for an initial substrate concentration of S_0 and an initial time of zero gives:

$$
S - S_0 = -\frac{X_0}{Y_{X/S}} e^{\mu t} + \frac{X_0}{Y_{X/S}}
$$
(4.2-5)

Rearranging for substrate concentration and simplifying the right-hand side results in the final form of the substrate consumption curve:

$$
S = S_0 + \frac{X_0}{Y_{X/S}} (1 - e^{\mu t})
$$
\n(4.2-6)

4.3 Further Development of Models for Analysis in RStudio

The parameters of interest in the growth study are the specific growth rates of each substrate tested and the biomass yield coefficients, which were modeled using RStudio with the R code presented in Appendix B. The biomass yield coefficients are only applicable with the media used in this experiment, so in order to replicate the values, OPT1 medium should be used with the chemicals in Appendix C and the recipe in Appendix A.

In order to derive a regression model for each of the above analytical models, nonlinear mean modeling will be used with indicator variables that capture the variability between trials. The models will be derived in a similar manner that is done with mixed effects modeling, which is built from two parts: an individual-level model and a population-level model. In the individual model, instead of capturing the biological variability of flasks like in mixed effects modeling, the model aims to encompass all replicates conducted under the same condition. The parameters at the individual level may then be expanded on with the population-level model, where the between-trial variation can be added 40 .

For the biomass growth curves, the following individual-level regression model is used, based on Equation 4.1-5:

$$
X_{ij} = \beta_{0,i} e^{\beta_{1,i} t_{ij}} + \epsilon_{ij}
$$
\n
$$
(4.3-1)
$$

where β indicates a placeholder parameter that will be expanded on by the population-level model, ϵ represents any measurement error in collecting the data, subscript *i* denotes the culture flask that was sampled, and subscript j indicates a specific data point used for a given sample time. This individual model is an average over all the time points at which data was collected within the same trial conditions. In this case, ϵ_{ij} is considered negligible compared to the variance seen between cultures grown at different conditions. The placeholder variables can be expanded with the population-level model, reflected in Equations 4.3-2 and 4.3-3.

$$
\beta_{0,i} = X_0 + \alpha_4 \cdot (GluGly) + \alpha_5 \cdot (GluXy) \tag{4.3-2}
$$

$$
\beta_{1,i} = \mu_1 \cdot (Glucose) + \mu_2 \cdot (Glycerol) + \mu_3 \cdot (Xylose) + \mu_4 \cdot (GluGly) + \mu_5 \tag{4.3-3}
$$

$$
\cdot (GluGly)
$$

 X_0 represents the initial biomass present in each culture on average, and α_1 and α_5 are terms that account for the variation of the initial biomass concentration due to using one of the multicomponent media mixtures. Glucose, Glycerol, Xylose, GluGly, and GluXy are indicator variables that are converted to a 1 when that particular carbon substrate or substrate mix is included in the media. Indicator variables allow for the same parameter to be solved for many different subjects, letting them have their own unique values. The numerical subscripts for the specific growth rate indicate that it is the specific growth rate of *C. viswanathii* on the carbon substrate it was grown on, where 1 is glucose, 2 is glycerol, 3 is xylose, 4 is a 2:1 mixture of glucose and glycerol, and 5 is a 2:1 mixture of glucose and xylose. The reason for creating separate terms for the multicomponent substrate mixtures will be discussed further when analyzing the results in 7.1 of the Discussion section.

For the substrate consumption curves, the following individual-level regression model was used based on Equation 4.2-4:

$$
-\frac{dS}{dt_{ij}} = \beta_{2,i}e^{\beta_{3,i} \cdot t_{ij}} + \epsilon_{ij}
$$
\n(4.3-4)

where the subscripts fit the same description from the previous equations, and the β terms are placeholders for new parameters that are given in the population-level model. Once again, there are no parameters that capture variability because the specific growth rate is assumed to not vary with time for a single culture. The biomass yield coefficient is calculated as an average with all of the data that has been given over time, so no extra terms are given in the individual model to allow it to vary with time. The measurement error is assumed to be negligible again compared to the variability observed between trial conditions. Expanding out the placeholder variable gives the population-level model, illustrated in Equations 4.3-5 and 4.3-6.

$$
\beta_{2,i} = \frac{\mu_1 \cdot X_0 \cdot (Glucose)}{Y_{X/S}} + \frac{\mu_2 \cdot X_0 \cdot (Glycerol)}{Y_{X/S}} + \frac{\mu_3 \cdot X_0 \cdot (Xylose)}{Y_{X/S}} + \frac{\mu_4 \cdot (X_0 + \alpha_1) \cdot (Gludly)}{Y_{X/S}} + \frac{\mu_5 \cdot (X_0 + \alpha_2) \cdot (GluXy)}{Y_{X/S}} + \frac{\mu_6 \cdot (X_0 + \alpha_2) \cdot (GluXy)}{Y_{X/S}} + \frac{\mu_7 \cdot (Glucose)}{Y_{X/S}} + \frac{\mu_8 \cdot (Kose)}{Y_{X/S}} + \frac{\mu_8 \cdot (Kose)}{Y_{X/S}} + \frac{\mu_9 \cdot (GlucS)}{Y_{X/S}} \tag{4.3-6}
$$
\n
$$
\cdot (GlucS)
$$

The subscripts on the yield coefficients have the same meaning as those for the specific growth rates. However, the intercept term is now more complicated than in the biomass growth regression model due to the biomass yield coefficients and specific growth rates varying between the utilization of each carbon substrate.

After combining the individual-level and population-level models to create two overall regression models, RStudio can then be used to determine the values of the parameters for all of the substrates and compare them to see if they are statistically different from one another. The code can be reviewed in Appendix B, but a brief overview of the successes and challenges will be highlighted here. Note that some of the functions used in this code may not be preset in the library of RStudio, but could be found in outside sources. A repository of functions was uploaded from Dr. Eric Reyes for the Biostatistics course at the Rose-Hulman Institute of Technology⁴⁰.

In RStudio, indicator variables can easily be created for the substrate type and the model can then be typed out with all of the indicator variables and parameters of interest. The difficulty then comes from determining starting values for these parameters. For this project, all data was first graphically analyzed on Microsoft Excel to obtain an estimate for all of the parameters for the starting value vector. The last step consists of setting up a nonlinear least squares function, which regresses the model that was derived with the starting values that were chosen. This process was done for the growth and substrate consumption curves separately. In order to determine whether the parameters significantly deviated from one another based on the substrate type (p-value < 0.05), a matrix was set up to test each of the hypotheses. A unique function, ParamTest, could then be used to compute p-values based on the characteristic variancecovariance matrix of the model.

Using the ParamTest function led to statistically sound results for comparing the specific growth rates; however, the p-values recorded for the yield coefficients cannot be interpreted due to a number of reasons. First, using the integrated model for substrate versus time would have led to an analysis that would have had a more clear interpretation of the results, which would be

in the context of the substrate concentrations rather than the derivative of them with respect to time. However, with the limitations observed in RStudio, at least with the available library of functions, it was found not to be analytically possible to regress the integrated model due to its complexity. Referencing Equation 4.2-6, the initial substrate concentration varied across the subjects due to analytical measurement error and how only glucose was added at a lower concentration in the mixture trials. This would mean that five indicator variables would have to be included to allow for the initial substrate concentration to vary. However, if the exponential term shown in Equation 4.3-5 were integrated, then there would be another intercept term with its own set of indicator variables. This caused the nonlinear least squares function not to converge when it attempted to find a solution to the regression model. Another issue occurred when the specific growth rates in Equations 4.3-5 and 4.3-6 were considered to be equal to the values in Equation 4.3-3. Theoretically, only the substrate consumption curve data would have to be regressed to get values for specific growth rates, but this model was already too complicated due to the number of parameters that were included. For the purposes of extracting at least approximate estimates for the yield coefficients from the regression model, the specific growth rates found in the growth curve model were substituted into this substrate consumption model. This completely omits any ability to make statistical inferences from the results to accurately compare the yield coefficients between the substrates.

For all of the equations that were derived in this section, it is assumed that the models were defined correctly from scientific principles. Another assumption is that the errors resulting from the model fit have a mean of zero and constant variance, and do not conform to any particular correlation structure. Lastly, it is assumed that the biological variability is much smaller than the variability seen in the trials conducted at different conditions. This is the

greatest limitation observed, which could be overcome by using a more complex mixed effects model that accounts more for that particular variability. Although this method has less statistical power than if a nonlinear mixed effects model was used, it is thought to be adequate for the comparison of growth parameters between the conditions used.

5. MATERIALS AND METHODS

5.1 Description of the Major Equipment Used

For the experiments conducted, there are two major pieces of equipment that were utilized. First is the YSI bioanalyzer, which was used to determine substrate concentrations for glucose, xylose, and glycerol. This instrument was important for constructing the substrate consumption curves during the growth studies of *C. viswanathii* and for checking that the concentration of substrate was held approximately constant for the production studies. Secondly, gas chromatography with mass spectrometry (GC-MS) was used to determine the concentration of various metabolites and products in the production study. Components in the solution are separated by boiling point and then fragmented with electrons to record a series of mass-tocharge ratios (m/z) that can be used to identify the components. Another instrument that was used, but is not considered a focus of this project, is a high-performance liquid chromatography column (HPLC). HPLC was used in order to analyze the production samples and compare the results to those obtained from GC-MS in order to see if the method could be verified. It separates the components on the basis of polarity instead of their boiling points, which eliminated the need for a derivatization step in that section of the analysis. For a list of all chemicals used in this experiment, except for those used to operate the bioanalyzer, see Appendix A. The YSI bioanalyzer specific chemicals are presented in the following section.

5.1.1 YSI Bioanalyzer

The instrument used to determine substrate concentration was a YSI 2900 Series Biochemistry Analyzer. It uses various membranes containing immobilized enzymes to turn over a specific substrate, which generates a voltage that can be correlated to a concentration in g/L using internal algorithms. A typical sample takes about a minute on average to process, and the injected sample size can range from 10 μ L to 50 μ L. A maximum of six metabolites can be measured in a single sample, but the instrument was used in this experiment with a maximum of two enzymatic membranes active at one time, allowing for only two metabolites to be tested for simultaneously. Some membranes require a unique buffer to be used, as in the case of galactose oxidase, but the system was set up so that only one buffer could be used at once. Therefore, glycerol concentration was always sampled independently of glucose and xylose. Glucose and xylose can be measured simultaneously or separately with the materials and parameters given in Table 5.1.1.1 since they require the same buffer. The xylose membrane, pyranose oxidase, is responsive to the presence of glucose in solution, so when cultures were tested that were grown on xylose stock solution, it was assumed that there was no glucose present in the samples so that the xylose membrane could be used independently. The materials and parameters for glycerol measurement can also be reviewed in Table 5.1.1.1⁴¹.

Note that the YSI 2705 buffer is sensitive to light and must be stored in an opaque bottle. However, an opaque YSI 2935 bottle was not available, so the general buffer bottle was covered in aluminum foil along with the tubing when using the glycerol membrane and buffer.

Substrate	Glucose	Xylose	Glucose +	Glycerol
Tested			Xylose	
Buffer	YSI 2357	YSI 2357	YSI 2357	YSI 2705
Calibration	YSI 2776	YSI 2767	YSI 2776 $\overline{\&}$	YSI 7141
Standard	2.50 g/L	20.0 g/L	YSI 2357	25.0 g/L
	YSI 2365	YSI 2761	YSI 2365 &	YSI 7140
Membrane	glucose oxidase	pyranose oxidase	YSI 2761	galactose oxidase
Detection	$0.05 - 18.0$ g/L	$0.5 - 30.0$ g/L	similar to xylose	$0.75 - 40.0$ g/L
Range				
Sample Size	$10 \mu L$	$13 \mu L$	$13 \mu L$	$10 \mu L$
	2% or 0.02 g/L,	2% or 0.5 g/L,	2% or 0.1 g/L	
Precision	whichever is	whichever is	whichever is	3% at calibration
	greater	greater	greater	point
Linearity			$\pm 5\%$ or 0.5 g/L,	$\pm 5\%$ or 0.75 g/L,
	±5%	±10%	whichever is	whichever is
			greater	greater

Table 5.1.1.1: List of materials and parameters for all membrane configurations to determine substrate concentration on the YSI 2900 Bioanalyzer⁴¹ .

5.1.2 GC-MS

The column used was an Elite 5MS capillary column, which was 30 m long with a 0.25 mm inner diameter and 0.25 μ m film thickness. The stationary phase was made of 1,4bis(dimethylsiloxy)phenylene dimethyl polysiloxane, which has low polarity and bleed and is

relatively inert so it works well for the separations of derivatized DCAs and FFAs. Helium gas was used for the mobile phase⁴². Elite 5MS columns can be operated within temperature limitations of -60°C and 330-350°C, but it was recommended by the technician not to run the column over 250° C for extended periods of time⁴³.

The GC-MS system used was a QP2010S manufactured by Shimadzu of Japan. The maximum oven and injection port temperature that can be reached is 450°C, while the maximum pressure that can be reached with the advanced flow controller is 970 kPa. The mass spectrometer system is directly connected to the capillary column. It can be operated with temperatures between 50°C and 350°C, while the ion source temperature can range from 140°C to 260°C with an electron energy of 10 to 200 eV. The mass analyzer is a metal quadrupole mass filter with a pre-rod that can detect m/z values between 1.5 and 1000 with electron impact ionization. The high-speed scan rate can reach 10000 amu/sec. The resolution is 2 m/z units at full-width half maximum⁴⁴. The specific parameters used for these experiments will be discussed further in Section 5.4.2.

5.1.3 HPLC

The column used for the HPLC system was an Aligent TC-C18 (2), which was 250 mm long with an internal diameter of 4.6 mm and a particle size of 5 µm. The stationary phase support is made up of ultra-pure octadecyl silica with a very high surface area and a pore size of about 170 Å. It can handle a wide pH range and can be well-suited for the use of reversed-phase liquid chromatography. An Aligent Infinity 1220 II LC system was used equipped with two different detectors. A refractive index detector (RID) and a diode array detector (DAD) were used to determine concentration^{45,46}.

5.2 Preparation of Cell Bank

A sterile loop was used to remove cells from the surface of a cell stock of ATCC® 20962^{TM} , which was stored at -80°C. This loop was then used to streak a plate containing YPD media in order to form isolated colonies. Formulation of the YPD media is given in Appendix A. The plate was then put in an incubator at 30°C for 24 hours. A single colony was then used to inoculate a Falcon™ tube containing 3 mL of OPT1 medium, for which the recipe is also given in Appendix A. After another 24 hours of growth at 30°C and 250 rpm, two 250 mL plastic baffled flasks were inoculated with 300μ L of culture grown in the tube. One was used to check the optical density of the yeast, to estimate the measurement of growth, without perturbing the other flask. After 8 hours of growth at 30°C and 250 rpm, the optical density at 600 nm (OD600) was determined to be 2.02 at a dilution of 50%, which was considered high enough for cell bank preparation, but low enough that the yeast would still be in the exponential growth phase. For the cell bank, 30 cryovials were filled with 500 µL of culture from the unperturbed flask. A 30% glycerol solution was then added to dilute each sample by half. The cryovials were stored in a -80°C freezer for the remainder of the study, where they were used at the rate of one vial per week for each set of experiments.

5.3 Growth Study Method and Conditions

The growth of *C. viswanathii* was studied on five different carbon sources: glucose, xylose, glycerol, a mixture of glucose and xylose, and a mixture of glucose and glycerol. Each experiment was run with at least three biological replicates to account for any chance mutations or variability in the culture to adapt to the media. To prepare the biological replicates, a seed flask would be inoculated the night before with 300 µL of cell bank culture into 30 mL of the OPT1 media, with all of the components added including the substrate. The volume of the substrate added was always kept constant at 5 mL, even though the solution of 150 g/L xylose contained a smaller mass of available carbon than the solutions of 180 g/L glucose and 180 g/L glycerol. The mixtures of glucose with xylose and glucose with glycerol were always on a 2:1 volume basis, so that 3.3 mL of glucose was always added to 1.7 mL of xylose or glycerol.

After growing the seed culture for approximately 18 hours at 30° C and 250 rpm in a 250 μ L plastic baffled flask, three flasks would be given a 1% inoculum, where 300 μ L of the seed culture would be added to 30 mL of the same media composition. In the case of a mixture of glucose with xylose or glycerol, the seed culture would just contain glucose so that an additional set of three glucose flasks could be inoculated and studied for comparison. An original optical density at 600 nm (OD600) was determined by measuring the apparent absorbance of the seed culture and then accounting for the inoculum dilution.

Optical density is the measurement of the reduction of light intensity, accounting for the refraction or scattering of light⁴⁷. It is often approximated by an absorbance value recorded at 600 nm, which must be below the value of 1 to get an accurate approximation for concentration. This requires a serial dilution of the samples to be within the appropriate range. The dilution factor was gradually increased as samples were taken over time, starting at 1/4 for after two or three hours of growth, and ending at 1/200 for after 18 or more hours of growth. Cuvettes with a path length of 1 mm were used to contain the samples while they were placed in a Thermo Scientific NanoDrop One^C spectrophotometer for OD600 measurements. This type of UV-Vis spectrophotometer has a relatively high accuracy of $\pm 3\%$ and a repeatability of 0.002 absorbance

units, so absorbance measurements were only taken once for each sample⁴⁸. A linear calibration curve could be created to correlate the adjusted absorbance value after serial dilutions to a concentration of dry cell weight per liter of medium. Dry cell weights were determined by drying 1.7 mL microcentrifuge tubes for 48 hours, then adding random samples of culture over time to them with a known OD600, then removing the supernatant with the process described below and further drying the pellet for another 48 hours at 80°C.

The growth study flasks were then grown for the next 10 to 12 hours at 30 $^{\circ}$ C and 250 rpm, with samples for OD600 measurements taken every 1-2 hours. A final OD600 was measured the next day after 24 hours of growth. With every OD600 measurement, 1 mL aliquots were additionally taken from each flask to determine the substrate concentration. These aliquots were put in 1.7 mL microcentrifuge tubes and then put in a centrifuge for 5 minutes at 15,000xg and room temperature. The supernatant was collected using an Eppendorf pipette and transferred to another tube for storage. The tubes were frozen at -20° C until all samples were collected, so that a given trial could be tested all at once.

5.3.1 YSI Bioanalyzer Setup and Calibration

Once the samples were all prepared, they were thawed out and shaken by hand to ensure they were homogenous. After various attempts at troubleshooting, it was found that aiming for concentrations below 10 g/L for glucose and xylose would produce the most accurate results. Since the starting concentration was 30 g/L for glucose, it was determined that diluting the samples 4-fold was conservative enough to bring the concentration down to the desired level. Xylose samples were also diluted to the same degree, even though the starting concentration of 25 g/L was lower than the upper value of the detectable range. Glycerol had a high range of

detectability, so the samples were not diluted for the analysis. However, in the case of a mixture of glucose and glycerol, half of each sample was taken and diluted 4-fold for the glucose measurement, while the other half was left undiluted. If a mixture of glucose and xylose was used, the entire sample was diluted 4-fold and tested with the simultaneous glucose and xylose measurement.

Before processing the prepared samples, the membrane viability was checked. If a membrane was more than a month old, it was switched out for a new one. On average, the membranes have a life of about 1-2 weeks if the machine is regularly calibrated. It was determined that the membranes could still be used after this if standards were tested at regular intervals. The membrane would gradually deteriorate with time, but the standards would help to make a calibration curve to determine the activity loss. For each combination of substrates tested, 3-5 standards were produced with concentrations that covered the range of expected values from the samples. The standards were loaded first, followed by 8-12 samples. Although the sampling tray could hold up to 24 samples, fewer samples were tested at a time since the machine recalibrated itself after analyzing 10 samples. This allowed for the standards to be measured again after each calibration to adjust for the loss of membrane activity.

5.4 Production Study Method and Conditions

The production of LCDCAs was studied by varying the carbon source, the concentration of the carbon source, and the feedstock provided to the yeast. A list of the experimental conditions varied is given in Table 5.4.1. Oleic acid, C18:1, was chosen to be representative of DCO because a large percentage of the oil is comprised of unsaturated C18 fatty acids. Methyl oleate was used because it is the ester form of oleic acid and is a liquid at the room temperature

and other temperatures typically used for yeast fermentations. The same carbon sources were used from the growth experiments, except the mixtures were omitted. A higher bound and a lower bound concentration were tested in order to show whether substrate inhibition was occurring during LCDCA production. The feedstock concentration was kept constant at 10 g/L. Note that the technical grade methyl oleate that was used contained about 71-90% C18 esters, and only about 65% of that was methyl oleate. An average purity of 52.3% was assumed, and the amount of the technical grade stock added was based on a total amount of 10 g/L of the methyl oleate component being added. The same concept applies to the oleic acid used, which has a purity of 90%. A complete list of chemicals used and their manufacturers can be reviewed in Appendix C.

Each trial conducted lasted a week and tested two sets of conditions in which only the carbon source concentration differed. This was done partly to increase the number of flasks managed at once. By only varying the substrate concentration, there was also no need to worry about accounting for an extended lag phase in the growth since the type of carbon source was unchanged from the seed culture. The seed cultures were prepared similarly to those made in the growth studies, except they were grown at 30°C and 250 rpm for 24 hours. A single seed culture would be used to inoculate seven 250 mL plastic baffled flasks the next day with 300 μ L transferred to 30 mL of medium, another 1% inoculum. These seven flasks consisted of three biological replicates for a carbon source concentration of 10 g/L and three more for a concentration of 25 g/L. The remaining flask was considered a control that would be analyzed to ensure that no product was being formed.

Carbon Source	Carbon Source Concentration	Feedstock
Glucose	10 g/L	Methyl Oleate
Glucose	25 g/L	Methyl Oleate
Xylose	10 g/L	Methyl Oleate
Xylose	25 g/L	Methyl Oleate
Glycerol	10 g/L	Methyl Oleate
Glycerol	25 g/L	Methyl Oleate
Glucose	10 g/L	Oleic Acid
Glucose	25 g/L	Oleic Acid
Xylose	10 g/L	Oleic Acid
Xylose	25 g/L	Oleic Acid
Glycerol	10 g/L	Oleic Acid
Glycerol	25 g/L	Oleic Acid

Table 5.4.1: List of experimental conditions for all trials conducted in the production of LCDCAs with *C. viswanathii***.**

After shaking for another 24 hours at 30°C and 250 rpm, the cells in the production flasks had reached the desired stationary phase. The biomass concentration was assumed to have reached a maximum, and the biotransformation was then induced. First, 250 µL aliquots were obtained from each flask to serve as a check that the carbon substrate had been completely consumed. They were collected and the pellets were spun down and removed according to the process described in Section 5.3. Then the appropriate amount of carbon substrate was added to each of the flasks to reach the desired concentration, with the control flask being held at an arbitrary concentration of 10 g/L. Methyl oleate or oleic acid was then added to give six

experimental flasks an initial concentration of 10 g/L, based off of the original media volume of 30 mL, which correlates to 0.3 g of material added. All components were considered in terms of concentration and not mass due to how the calibration curves generated for the gas chromatography analysis relate peak area to concentration, see Section 6.1. The control flask was not given any feedstock in each trial.

Once the feedstock had been added, initial production samples were taken to give a more accurate estimate of how much feed was in the medium and what impurities are present. The production samples were 400μ L aliquots, which were then separated from the biomass pellet as described earlier. Sometimes solubility issues of fatty acids and DCAs occurred where solids or oil floated on top of the supernatant, so those immiscible components were carefully pipetted with the supernatant to the new microcentrifuge tube. Formation of these solids and oil phases could sometimes be mitigated with the adjustment of culture pH. The pH of each flask was adjusted twice a day with 1 N sodium hydroxide, once before samples are taken and then around 7-8 hours later. Enough base was added so that the pH was about 7.5-8 but no more than 9. A higher pH resulted in cell lysis, while a lower pH could reduce the solubility of feed and products, which was known to affect the rate of the biotransformation. Lastly, an OD600 measurement was taken for each of the flasks to see if product precipitate had begun forming. Each sample required a 1:200 dilution to be within the appropriate range discussed to test for the optical density.

After 24 and 48 hours of biotransformation, the pH would be adjusted for the first time each day using 1 N sodium hydroxide to about 7.5-8. Then another set of 250 μ L and 400 μ L of samples would be taken from each flask, including the control. Once the sample tubes were stored in the freezer at -20°C, the same volume of carbon substrate as last time would be added

to each flask to maintain a close to constant concentration. Optical density would once again be checked by using an OD600 measurement. Finally, the pH would be adjusted later after 7-8 hours to help with solubility issues. Cell viability was optionally checked after 24 hours by taking a 10 μ L sample from each flask and diluting it into 990 μ L of water. A serial dilution would be done so that samples would be plated on YPD medium with a 1:100 dilution, 1:10,000 dilution, and a 1:1,000,000 dilution. The water and microcentrifuge tubes used in this case were sterilized to prevent any contamination. The plates would be grown for 24-48 hours or until small, defined colonies appeared. This method was used at any time where flask contamination was a concern based on a significant change in OD600 or biomass pellet size and appearance when collecting samples. As a reference below, *C. viswanathii* is shown to have circular, light cream colored colonies that are mainly smooth and glistening.

Figure 5.4.1: *C. viswanathii* **is plated on YPD agar to determine cell viability in the first production study conducted. The dilutions of the samples from left to right are 1:100, 1:10,000, and 1:1,000,000. A simple circular and smooth morphology is observed.**

After 72 hours of biotransformation, the process of adjusting pH, collecting samples, and

checking optical density was done again, but this time the addition of carbon substrate was

omitted. LCDCA production is assumed to be occurring at a much slower rate at this point since

the fermentation is occurring in a batch fashion where the feedstock and other micronutrients have not been replenished. The cultures were allowed to grow without the addition of substrate or sodium hydroxide for the next 48 hours, simulating LCDCA production with nutrient and substrate depletion for the batch fermentation cycle. Final $250 \mu L$ and $400 \mu L$ samples were taken after a total of 120 hours of biotransformation. The pH was adjusted immediately beforehand if needed, and the optical density was checked again immediately afterward. Each culture was plated to determine if cells were still living after the long period of environmental stress with metabolite buildup. After the flasks were cleaned that day, another starter culture would be prepared with new conditions since each study lasted an entire week.

5.4.1 Original Extraction and Derivatization Method of Production Samples

LCDCAs, fatty acids, and methyl esters first need to be extracted from the aqueous fermentation broth to an organic solvent prior to analysis. It is known that acidifying the broth causes the LCDCAs to precipitate, which favors the partitioning of the acids into the organic phase so that they can dissolve³¹. Therefore, the 400 μ L production study samples were mixed with 160 μ L 1 N HCl and 800 μ L methyl tert butyl ether (MTBE) in the microcentrifuge tubes. In order to make ensure that phase and chemical equilibrium were obtained, the tubes are shaken for 3 hours at a setting of 2.5 out of 10 on a VWR Standard Heavy-Duty Vortex Mixer. It is estimated that the analog setting of 5 corresponds to 900 rpm, so the setting used in this study is assumed to be close to 450 rpm based on that heuristic 49 .

Prior to derivatizing the components in the organic phase, the tubes were first removed from the shaker and placed in a centrifuge at 15,000xg for a minute in order to expel any water that was entrained in the organic phase. GC autosampler vials were then labeled and $125 \mu L$ of the organic phase were transferred from the respective microcentrifuge tube. To each extracted sample, 12.5 µL pyridine and 50 µL N-methyl-N-(trimethylsilyl)trifluoroacetamide (MSTFA) were added to derivatize them. Derivatization, in this case, is the reaction of the carboxyl groups of the LCDCAs and FFAs into silyl groups in order to reduce the boiling point of the compounds by a significant degree. For example, literature sources state that the boiling points of oleic acid and similar length FFAs are around 162-383°C at atmospheric pressure, which could exceed the limit of the column used in this study⁵⁰. The creation of trimethylsilyl (TMS) derivatives allows for all of the components of interest to boil under 280°C.

Derivatization with MSTFA requires some heating of the samples and mixing in order for the reaction to go to completion. Based on the reported protocol from Rimmel, the samples for this experiment were either vortexed or shaken vigorously for 10 seconds³¹. This study then derivatized the LCDCAs and other components at 60°C for 20 minutes, which was determined to be a short enough time to produce calibration curves while preventing the breakdown of longchain components, as discussed in Section 7.2. Once the derivatization reaction was complete, 462.5 µL MTBE was added to each sample to dilute the concentration and bring the liquid level in the vials to the half-way mark so that the GC syringe could reach the sample. Then $25 \mu L$ of a 2.6 g/L stock of methyl pentadecanoate (PME, C15:0) and 2.6 g/L stock of methyl heptadecanoate (HME, C17:0) were added as internal standards (IS).

5.4.2 Gas Chromatography and Mass Spectrometry Parameters

A summary of all of the GC-MS parameters is given in Tables 5.4.2.1 and 5.4.2.2. The temperature ramp devised was set up to be slower than the ramps used by Rimmel and Funk et al. to make sure the peaks had good resolution for all of the media components^{1,31}. A rapid

increase in temperature at the end was implemented in order to help remove any LCDCAs that may have been stuck on the column. The column flow, total flow, purge flow, and split ratio for the column were selected based off of other methods used on the instrument that were devised by the lab technician. Injection temperatures of 275°C and 300°C were used on each sample, although Restek recommends not going above 200°C in order to avoid breakdown of TMS derivatives⁵¹. It was found that the lower temperature was not high enough to volatize the majority of the chemicals analyzed in Section 7.3.

All samples were injected with an autosampler that used a medium speed for the suction and injection of the liquid, along with five solvent rinses before and after contacting the sample and a single sample rinse before injecting the sample. An injection size of $2 \mu L$ was used, which was determined to be close to the maximum injection volume to prevent excessive back flash, as shown by the solvent expansion calculator in Figure 6.2.8 in Section 6.2 programmed by Restek⁵². Since the gradient ramp for temperature took 34 minutes per sample, and the amount of time it took the column to cool from 280°C to 160°C with ambient convection was about 15 to 20 minutes, it took almost an hour to process each sample. The autosampler used had 12 slots available, so throughout the experiment, the samples were analyzed by GC-MS overnight so that the instrument would be available the next morning for others to use.

A list of the retention times for all of the chemicals tested can be reviewed in Appendix D and the final calibration curves used for octadecanedioic acid (C18:0 DCA), methyl oleate (MO), oleic acid (OA), and stearic acid (SA) can be found in Section 6.2. A summary of the differences in the initial method used in this study, devised from the original work of Rimmel's dissertation, can be seen in Table 7.2.1.

Table 5.4.2.1: Summary of the parameters set for the gas chromatography column, after the modifications made in this study.

Parameter	Set Value
Ion Source Temperature	220° C
Interface Temperature	280° C
Solvent Cut Time	4 min
Micro Scan Width	0 m/z
Detector Voltage	0.2 kV
	Relative to the tuning result
Threshold	500
Operation Times	Start: 4 min
	End: 34 min
Acquisition Mode	Scan
Event Time	0.5 s
Scan Speed	909 m/z units per second
Range	$25 - 450$ m/z units

Table 5.4.2.2: Summary of the parameters set for the mass spectrometry data collection.

5.4.3 High-Performance Liquid Chromatography (HPLC) Parameters

In order to determine if the GC-MS method was valid, select samples from each trial conducted were also analyzed on an HPLC column post-extraction. Derivatization was not necessary with the operation of this column. After each sample was extracted, another 100 µL of the organic phase was diluted with 400 µL MTBE in an autosampler vial. The samples were then run for 30 minutes on the column with the parameters shown in Table 5.4.3.1. Xin Tang operated the column and developed the method used in this study. Methanol mixed with water

and acetic acid was found to be compatible with the MTBE solvent used and chosen to be the isocratic mobile phase used throughout the analysis. Data was collected from both the DAD and RID detectors for the comparison, much like how two internal standards were used in the GC-MS method to account for new sources of variability. Calibration curves were made with the same standards used for the GC-MS, and the unsaturated C18:1 DCA peak was determined by comparing the resulting chromatographs of samples to GC chromatographs of the same samples reporting that the C18:1 DCA was present.

Table 5.4.3.1: Summary of the HPLC parameters used for the secondary analysis of the extracted production study samples.

Parameter	Set Value
	90 % (v/v) Methanol
Mobile Phase	9.9 % (v/v) Water
	0.1% (v/v) Acetic Acid
Flow Rate	1.0 mL/min
Injection Volume	$5 \mu L$
Column Oven Temperature	35° C
RID Temperature	32° C
Column Pressure	94 bar
DAD UV Wavelengths	210 nm
	250 nm
6. RESULTS

6.1 Growth Characterization of *C. viswanathii*

C. viswanathii was grown multiple times in OPT1 medium supplemented with either 30 g/L of glucose, 30 g/L of xylose, 25 g/L of xylose, a mixture of 19.8 g/L glucose and 10.2 g/L glycerol, or a mixture of 19.8 g/L glucose and 8.5 g/L xylose. Multiple trials were done for certain substrates due to observed cell lysis and no change in cell biomass. Growth on glycerol was tested the most times for that precise reason. Table 6.1.1 displays the total number of trials run, encompassing the biological replicates, for each substrate given.

Substrate	Number of Trials	Total Number of Cultures Grown
Glucose	3	Q
Glycerol	3	8
Xylose		3
$Glucose + Glycerol$		3
$Glucose + Xylose$	3	9

Table 6.1.1: Summary of the trials conducted for the *C. viswanathii* **growth study.**

It is important to note that not all of the trials recorded were used in the statistical analysis of the specific growth rate and biomass yield coefficient due to data being omitted. Some of the cases in which this occurred were for the third trial for glycerol, where no samples were taken for

substrate concentration, and for the flasks in which the cells had died before the end of the 24 hour growth period.

6.1.1 Specific Growth Rate

Figure 6.1.1.1 shows the resulting data from the study with regards to biomass concentration, measured from dry cell weight (DCW), versus time. The data was plotted in RStudio, where it was also analyzed with the code in Appendix B. Most of the data points show an exponential trend in growth, which can be fit to Equation 4.3-1 well. From an observational standpoint, the specific growth rates for glucose, glucose and glycerol, and glucose and xylose should be much higher than those for glycerol and xylose. Characteristic samples of a typical culture grown on glucose, xylose, and glycerol can be compared in Figure 6.1.1.2. For reference, a calibration curve for the optical density and biomass concentration can be reviewed in Appendix E.

Figure 6.1.1.1: Raw data of *C. viswanathii* **grown on various carbon substrates.**

Figure 6.1.1.2: Comparison of typical growth rates of *C. viswanathii* **on the three separate carbon sources. Glucose appears to have the highest specific growth rate in the exponential term, followed by xylose and then glycerol.**

When the data was fixed to the previously described mixed effects model, the model appeared to be a good estimate over time for all combinations of substrate tested, as shown in Figure 6.1.1.3. There is some slight deviation in the data from the model for glycerol and glucose mixed with glycerol, where there is a noticeable departure from the average around 7 hours of growth and 9 hours of growth respectively. Table 6.1.1.1 provides a summary of the specific growth rates found for each substrate and also presents p-values for the hypothesis testing conducted to see whether the specific growth rates are significantly different from the value found for glucose. Glycerol, xylose, and the mixture of glucose and xylose had specific growth rates that were all found to be significantly different on average from that of glucose with a p-value < 0.05 .

Figure 6.1.1.3 : Growth study data overlaid with the nonlinear mixed effects model derived and fit in RStudio. The specific growth rate on glycerol appears to be much smaller than the other substrates. The mixtures containing glucose and the pure glucose substrate have the highest values, however, the curves are not overlaid as they would be if they had identical specific growth rates.

6.1.2 Biomass Yield Coefficient

Figure 6.1.2.1 illustrates the raw data for the change in consumption rate of carbon substrates with respect to time, which was modeled for ease of analysis in RStudio as opposed to the change of substrate concentration with time. Note that the substrate plotted for the mixture trials is glucose, as the other components were found not to change with time. As the biomass concentration increases with time, it is expected that the rate of substrate consumption also increases to accommodate the needs of the multiplying cells. The consumption rate should follow an exponential trend according to Equation 4.3-4, and that trend is clearly observable for growth on glucose and glucose mixed with glycerol. Data for growth on xylose, glycerol, and glucose mixed with xylose appear more scattered and linear. A closer look at the change in substrate concentration is shown in Figure 6.1.2.2 for a typical culture grown on each substrate.

Significant scatter occurred mostly likely due to the constant recalibration of the YSI bioanalyzer. Although the change in substrate concentration with time is negative, the consumption rate should always be positive, but the following graphic shows a few outliers that have negative values. Once again, negative values should be nonexistent and this error could be attributed to the membrane degradation and the constant recalibration of the YSI bioanalyzer needed to help adjust for the membrane uncertainty and hysteresis effects. The xylose membrane in particular had a consistently high uncertainty of about ± 5% of the total concentration. However, many of the negative substrate consumption rates appear to be for the glucose substrate. These data points are from one of the first trials conducted where the systematic recalibration of the YSI bioanalyzer and the serial dilution methods had not been developed yet, which could have contributed more error to the analysis compared to the other trials tested.

Figure 6.1.2.1: Raw data of the substrate consumption of *C. viswanathii* **on various substrates.**

Figure 6.1.2.2: Characteristic substrate consumption curves for each type of carbon substrate.

The mixed effects model discussed in Section 4.3 was used to fit the data in RStudio, and the resulting model was plotted on top of the data in Figure 6.1.2.3. Cultures grown on glucose and a mixture of glucose and glycerol have a confirmed exponential substrate consumption rate, while the other substrates do not appear to conform to the model. Hypothesis testing was not conducted on this part of the study due to the removal of innate variance in the model, as discussed in Sections 4.3 and 7.1. However, an alternate method to calculating the yield coefficient was done by subtracting the values of the sixth data point, around 8 hours of growth, and first data point, around 2 hours of growth, in order to provide another estimate for comparison to the statistical model. The results are summarized in Table 6.1.2.1, where it is clearly shown that the biomass yield coefficients are considerably different between the methods for xylose and glucose mixed with xylose trials.

Figure 6.1.2.3: Substrate consumption rate data overlaid with the nonlinear mixed effects model derived and fit in RStudio. It appears that growth on glucose mixed with glycerol has the highest biomass yield coefficient and glycerol has the lowest by a large degree.

Table 6.1.2.1: Summary of the values for the biomass yield coefficient on each substrate determined by both statistical modeling and numerical calculations.

From the above table, it would appear that the biomass yield coefficient is high for xylose, and comparable to that of glycerol. However, when the final biomass and substrate concentrations are used for the analysis instead, Table 6.1.2.2 illustrates that the xylose trial resulted in a much lower biomass yield coefficient that was more similar to glucose than glycerol. The biomass yield coefficients that were reported for the mixture trials are similar to what resulted from using glucose and xylose. Each trial that was run is reported in the table, and the trials that did not have data collected for the substrate consumption curves do not have biomass yield coefficients included. The trial number represents the date at which the substrate was studied, and if two different substrates were studied, then their data collection began with the same starter culture.

Table 6.1.2.2: Summary of the biomass yield coefficients calculated using the final values for biomass concentration and substrate concentration, with average final biomass concentration given for comparison between each trial. Glycerol is shown again to have a considerably higher biomass yield coefficient than the other substrates on average.

6.2 Analytical Method Development

In this section, the individual changes made to the original analytical method will be illustrated in a chronological fashion. However, the discussion in Section 7.2 will be presented in a step-oriented organization so that the changes are grouped by whether they occurred for the extraction, derivatization, or GC-MS analysis steps. Figure 6.2.1 illustrates the experiment in

which the yield for the extraction step was determined, and based off of the slopes obtained by correlating the different standard concentrations, the approximate yield was around 72%. During this part of the study, major repeatability issues were discovered with the GC-MS, which is illustrated in Figure 6.2.2 with multiple concentrations of methyl oleate sampled three times, and Figure 6.2.3 with a single sample tested twelve times. In order to account for this possible inconsistency in injection volume, internal standards were added to the controls and unknown sample for the rest of the study. The internal standards were methyl pentadecanoate (PME) and methyl heptadecanoate (HME). More information is given on the internal standards chosen in Section 7.2.

Figure 6.2.1: Illustration of how the calibration curve determined for methyl oleate changed by 72% based off of the change in slope when the extraction step was included. This is assumed to be equal to the yield of the extraction, where another method of determining minimum yield is to compare the points of the highest concentration standard, which comes out to a difference of 71%.

Figure 6.2.2: Repeatability issues are illustrated with the injection volume by how methyl oleate standards at four different concentrations either exhibited a high or low value for the peak integration when tested three times each. The actual injection volumes are unknown, but the parameter was kept constant at 5 µL.

Figure 6.2.3: The inconsistency in the injection volume is clearly illustrated where a single sample was tested four times, and for each component there was an upper and lower bound. Minimal scatter is observed. MO is methyl oleate, LAME, is linoleic acid methyl ester, SAME is stearic acid methyl ester, and the MO isomer is an unidentified compound that forms a doublet peak with MO in the technical grade solution.

Once the internal standards were found to work rather well in accounting for the shift in peak areas in the chromatographs, the concentrations of MSTFA and pyridine added were improved with the experiments shown in Figures 6.2.4, 6.2.5, and 6.2.6. It was found that doubling the amount of MSFTA added improved the calibration curves obtained for oleic acid and C18:0 DCA. Adding pyridine for a MSTFA to pyridine ratio of either 4:1 or 6:1 did not seem to significantly affect the calibration curve, so the 4:1 ratio was chosen for the duration of the production trial analysis. Then, the derivatization time was varied to see if a shorter time could be used to help prevent the breakdown of fatty acid methyl esters. Figure 6.2.7 shows that the derivatization time could be reduced to 20 minutes and produced better results than the control samples derivatized for 40 and 60 minutes. Lastly, Figure 6.2.8 shows the results of using the Restek solvent expansion calculator to determine the maximum injection volume size. The injection volume was later changed from $5 \mu L$ to $2 \mu L$ to prevent excessive back flash as recommended by this online tool.

Figure 6.2.4: By doubling the amount of MSTFA added, which was already in excess, the calibration curve for oleic acid improved significantly and there was less scatter in the data. This improvement was observed for both internal standards used in the study.

Figure 6.2.5: By doubling the amount of MSTFA added, which was already in excess, the calibration curve for C18 DCA improved so that there was more of a substantial slope in the calibration curve. This improvement was observed for both internal standards used in the study. The data points for the highest concentration of C18 DCA for the 50µL trials are not included since no peaks appeared in the resulting chromatographs.

Figure 6.2.6: By increasing the ratio of MSTFA to pyridine from 4:1 (12.5 µL of pyridine) to 6:1 (8.33 µL of pyridine), there appeared to be no significant difference besides a slight increase in slope, representing a slightly heightened sensitivity.

Figure 6.2.7: Decreasing the derivatization time at 60°C improves the sensitivity of the calibration curve significantly, while longer time periods appear to break down the components since only one concentration was detectable for the 40 minute and 60 minute samples.

RESTÈK

(A)

Solvent Expansion Calculator

Adjust solvent, conditions and liner specifications to meet your requirements then "inject" and see what happens. Volumes will calculate automatically.

(B)

Solvent Expansion Calculator

Adjust solvent, conditions and liner specifications to meet your requirements then "inject" and see what happens. Volumes will calculate automatically.

Figure 6.2.8: Calculations done on the solvent expansion calculator by Restek to show that the maximum injection volume to prevent excessive back flash is 2.2 µL as shown in (B), but the 5 µL injection volume used previously produces a vapor cloud double the size of the volume of the liner as shown in $(A)^{\bar{52}}$ **.**

Some of the key chromatographs that summarized how the process improved with time are shown in the subsequent figures. Figure 6.2.9 shows how a sample with the original injection temperature of 275°C does not show up in the chromatograph, but when the temperature is increased to 300°C, a noticeable improvement occurs, but not all of the time as illustrated in Figure 6.2.10. The chromatograph in Figure 6.2.11 shows a really good example of what a sample tested looks like when it has a very clear baseline and high resolution at the new temperature. When the injection temperature gets too high, sometimes random artifact peaks appear like in Figure 6.2.12, most likely from the degradation of TMS derivatives. There are also artifact peaks that show up more often than others like the one observed in Figure 6.2.13. Due to its long retention time, it is possible that it is a degradation product of the derivatized C18:0 and C18:1 DCAs. Often when oleic acid is derivatized, even in standardized samples, a small peak will occur around 26.4 minutes as shown in Figure 6.2.14, where the C18:0 DCA peak occurs. This could be an artifact, but it could also just be DCA that is stuck on the column.

Figure 6.2.9: GC-MS chromatograph representing a production trial sample that is expected to have oleic acid (OA) in it. The internal standards at 11.3 min (PME) and 15.4 min (HME) are barely present, indicating that either the injection volume or injection temperature was too low. The injection temperature set was the original value of 275°C.

Figure 6.2.10: The same sample in Figure 6.2.9 was tested again at 300°C twice and found to have the oleic acid peak appear at 18.9 min. However, it is clear that in trial (A) there was a little bit of C18:0 DCA present at 26.4 min but not in trial (B). Trial (B) also has a much cleaner baseline.

Figure 6.2.11: Example of a high-resolution chromatograph using an injection temperature of 300°C to study an unknown with oleic acid and C18:1 and C18:0 DCAs present with a trace amount of stearic acid.

Figure 6.2.12: Example of a chromatograph of an unknown studied at an injection temperature of 300°C that has random artifact peaks that are not usually seen between 12.5 min and 13.5 min. They are most likely degradation products of the TMS derivatives or methyl oleate.

Figure 6.2.13: Example of a chromatograph of an unknown studied at an injection temperature of 300°C that has artifact peaks that occasionally occur around 20.0 min and 23.3 min. They are most likely degradation products of the C18:0 and C18:1 DCA TMS derivatives due to their higher retention time and boiling point.

Figure 6.2.14: Example of a chromatograph of a standard containing technical grade methyl oleate and oleic acid studied at an injection temperature of 275°C. An artifact peak that regularly occurs when oleic acid standards are derivatized is present at 26.4 min. This overlaps with the retention time for C18:0 DCA, indicating that it may not be an artifact at all but the presence of DCA that was still stuck on the column.

With the improvements described in the analytical method in this section and Section 7.2, Figure 6.2.15 and shows how the newly developed method can clearly pick up all the components of interest. In addition, the C18:1 DCA is believed to be present in the samples as shown in Figure 6.2.16, which was never seen in the production samples tested with the old method. Figure 6.2.17 is presented in the study by Judefeind et al. and is included in order to compare the mass spectra for the new peak in Figure 6.2.16 to their observation of the C18:1 DCA mass spectra that they had found.

Figure 6.2.15: Example of how the new analytical method can give high resolution for the four main components of interest with a standard comprising of from left to right: 0.5 g/L PME, 0.5 g/L HME, 1 g/L methyl oleate (MO), 1 g/L oleic acid (OA), 1 g/L stearic acid (SA), and 1 g/L C18:0 DCA.

Figure 6.2.16: A zoomed in picture of a chromatograph with the newly discovered peaks from using the new method. With the mass spectrum shown, it is thought that the first peak is most likely C18:1 DCA.

Figure 6.2.17: A mass spectrum for C18:1 DCA as found by Judefeind et al.³⁶ .

Lastly, all of the calibration curves used for determining the concentrations of broth components in the production study are presented in Figures 6.2.18, 6.2.19, 6.2.20, and 6.2.21.

Figure 6.2.18: Calibration curves for methyl oleate using both internal standards, PME and HME.

Figure 6.2.19: Calibration curves for oleic acid using both internal standards, PME and HME.

Figure 6.2.20: Calibration curves for stearic acid using both internal standards, PME and HME.

Figure 6.2.21: Calibration curves for C18:0 DCA using both internal standards, PME and HME.

6.3 LCDCA Production Studies

Examples of three separate cultures grown within the same trial are illustrated in the following figures. The results presented in Figure 6.3.1 are for a control flask given 10 g/L of glucose, while Figures $6.3.2 - 6.3.5$ are for biological replicates given 10 g/L of glucose and 10 g/L of methyl oleate. Methyl oleate is not present at 0 hours of biotransformation in those figures due to the fact that the cultures were sampled before the feedstock was added on that day. The DCA denoted in the legends of each graph is the saturated C18:0 DCA since there were no calibration curves produced for the unsaturated C18:1 DCA. Figures 6.3.1, 6.3.2, and 6.3.3 are constructed using data from the GC-MS, while Figures 6.3.4 and 6.3.5 are constructed using data with the HPLC method. These graphs will be compared in Section 7.3 in order to explore the validity of the analytical methods.

Figure 6.3.1: The change in concentration of four key components over time measured by GC-MS in Flask RCA, a control which was given 10 g/L of glucose for the co-substrate and no feedstock. At 24 hours, there appears to be a considerable amount of C18:0 DCA produced, but this trend was observed for all samples tested that day, indicating a possible issue with standard carryover.

Figure 6.3.2: The change in concentration of four key components over time measured with GC-MS in Flask R2A, which was given 10 g/L of methyl oleate as feedstock and 10 g/L of glucose for the co-substrate. There appears to be an increase in methyl oleate concentration after 48 hours, indicating possible solubility issues.

Figure 6.3.3: The change in concentration of four key components over time measured with GC-MS in Flask R3A, which was given 10 g/L of methyl oleate as feedstock and 10 g/L of glucose for the co-substrate. There appears to be a large discrepancy in the oleic acid concentration at the end of the study, where running the sample twice on the GC produced very different results.

Figure 6.3.4: The change in concentration of four key components over time measured by HPLC in Flask R2A, which was given 10 g/L of methyl oleate as feedstock and 25 g/L of glucose for the co-substrate. Samples at all time periods were tested once and concentrations were estimated with refractive index detection and absorbance detection at 210 nm. There still appears to be an increase in methyl oleate concentration at 48 hours, and there is no product formed throughout the trial.

Figure 6.3.5: The change in concentration of four key components over time measured with HPLC in Flask R3A, which was given 10 g/L of methyl oleate as feedstock and 10 g/L of glucose for the co-substrate. Samples at all time periods were tested once and concentrations were estimated with refractive index detection and absorbance detection at 210 nm. There appears to be no consistent consumption of methyl oleate and no product formed throughout the trial. There is a slight discrepancy in the oleic acid concentration at 120 hours.

7. DISCUSSION

7.1 Optimal Carbon Substrate for Growth of *C. viswanathii*

Before the results are analyzed, the substrate concentration choices are first justified for the study. Glucose is the simple sugar that most carbohydrates are broken down to in order to be metabolized, so it is important to test the yeast growth on this substrate to compare to others as an industrial standard. The concentration of 30 g/L was chosen based on what Mobley used in his OPT1 medium recipe¹¹. In order to keep the volume across cultures constant, stock solutions for glycerol and xylose were made so that 5 mL could be added to give the same concentration. This was easily done for glycerol; however, xylose had a much lower solubility in water. Once dissolving the xylose became difficult even after heating up the solution to 60°C, the concentration was left at 150 g/L, translating to a concentration of 25 g/L in the media.

When mixing glucose with either glycerol or xylose, it was thought that keeping a higher concentration of glucose would help to keep the growth rate high initially, due to the yeast preferentially consuming the glucose first. The glucose would run out after 12 hours or longer, but before the 24-hour time limit given in this study. In this case, it was believed that the biomass concentration after glucose was consumed would be high enough that once 24 hours of growth was reached, the final biomass concentration after metabolizing the other substrate would still be comparable to the value obtained on pure glucose. An optimal growth phase would reach the stationary growth phase in a shorter time span and leave the largest biomass concentration for the initiation of the production phase, so these mixtures have the potential to compete with pure glucose in both categories and also cut down the cost of the feedstock. It was thought that a ratio

of 3:1 glucose to xylose or glycerol might not have been very cost effective, but a ratio of 1:1 would not be as effective in reaching a higher final biomass concentration. Therefore, a ratio of 2:1 was chosen to be a good compromise between the two qualities.

For glycerol, it is not well documented what the highest concentration should be when growing *C. viswanathii*, so 30 g/L could have been too high and caused many issues with culture growth. Throughout the study, it was found that cell cultures were more prone to dying when glycerol was used as the carbon source. When comparing the initial and final concentrations of glycerol during the growth studies, only about 60% of the substrate was used on average, where the other substrates were completely metabolized after 24 hours. Glycerol was added with the same mass concentration; however, it was added at twice the molar concentration of xylose and glucose. This could have led to issues with cell lysis resulting from an increase in osmotic pressure, which is dependent on the number of molecules and not their cumulative mass. Another issue was that the metabolism of glycerol required more oxygen for the concentration that was given. To completely oxidize a molecule of glucose, six molecules of oxygen are required. Xylose requires at least 5 molecules and glycerol requires 3.5 molecules of oxygen. Since the number of glycerol molecules added was doubled, that would mean that 7 molecules of oxygen would be required for each glycerol molecule to be metabolized. This could have led to oxygen limitations occurring more rapidly in the cultures. More research should be done to look at reducing the concentration of glycerol added by half to be more cost effective and increase cell viability.

Another issue encountered was the accuracy of the YSI bioanalyzer used to monitor substrate concentration. When measuring higher concentrations, the bioanalyzer had a precision around ±2% and a linearity of about ±5%. Based on linearity alone, an initial concentration of 30 g/L could vary from about 28.5 g/L to 31.5 g/L when measured. The system would attempt to reduce this variability by regularly recalibrating itself after every 10 samples. However, as the membrane activity and sensitivity decreased, the values obtained for concentration would tend to decrease as well with time, so calibration standards were used often to create calibration curves for after each recalibration. Even with this calibration method in place, there would still be quite a bit of scatter in the data, especially for flasks where the concentration of substrate was not expected to change much, like when using a secondary substrate in the glucose mixtures. That is how negative values can be seen for consumption rate, particularly in the first few hours of growth, where the concentrations are at their highest. Using statistical modeling may help to account for the variability over time in the samples, but another method for measuring substrate concentration should be considered to obtain more accurate values of biomass yield coefficients.

Even with these limitations, there are clearly substrates that have much higher specific growth rates and yield coefficients than others. As expected, growth on glucose gives the highest specific growth rate of 0.482 hr^{-1} comparatively to xylose at 0.460 hr^{-1} and glycerol at 0.417 hr^{-1} . To put these values in perspective, after 12 hours of exponential growth, the biomass concentration on glucose would be more than double of that on glycerol. On the other hand, the biomass yield coefficient on glucose was determined by the statistical analysis to be about 0.754 g of biomass dry cell weight produced per g of substrate consumed, which is much lower than the values estimated for glycerol and xylose, which are 1.420 and 1.600 respectively.

To compare the above values to literature, *Candida utilis* was found to grow on glucose with a specific growth rate of 0.45 hr^{-1} and a biomass yield coefficient of 0.51 g/g according to Shuler et a^{38} . These values seem comparable to what was found in this study, considering that the yeast extract contributed to an increase in the biomass yield coefficient. Funk et al. found

conversely that in a batch configuration, their specific growth rate on glucose using *C. tropicalis* was 0.14 hr^{-1} and their biomass yield coefficient was 0.44 g/g. They explained that their values were much lower than they theoretically should have been most likely due to ethanol formation¹. It is possible that ethanol formation occurred in this study, but the concentration was not tested. An HPLC method is recommended to be used to determine the ethanol production with time and account for it in the regression model.

When considering that the growth parameters with glucose are reasonable, the values obtained for glycerol make sense from a theoretical standpoint, but the biomass yield coefficient for xylose does not. Theoretical values of the biomass yield coefficients can be calculated using a relationship presented by Shuler et al., which involves the number of oxygen molecules required for complete reduction of each substrate and a relative estimate for biomass formed for each electron accepted based on using ammonia as the primary source of nitrogen³⁸. This calculation results in biomass yield coefficients of 0.419 g/g for both glucose and xylose and 0.478 g/g for glycerol. First, this contradicts what was observed with xylose being similar to glycerol in terms of the biomass yield coefficient. Secondly, this shows that glycerol should have a higher value, even though the value determined in the statistical analysis is double that of glucose. That could occur due to oxygen limitations, where glycerol would no longer be metabolized, but the carbon sources within the yeast extract would be depleted. Xylose should still be similar to glucose though, since they are both fermentable in anaerobic conditions.

One possibility for why the xylose biomass yield coefficient is much larger than expected is that more ethanol was produced when glucose and glycerol were used as substrates, reducing their apparent coefficient values. However, this is unlikely since the glucose yield coefficient did not deviate from literature and theoretical values nearly as much as xylose. In order to

visualize another potential issue, the biomass yield coefficients were numerically estimated with two different methods for comparison to the model. First, the biomass yield coefficients were determined by comparing the biomass and substrate concentrations between 2 and 10 hours of biotransformation, as shown in Table 6.1.2.1. It was found that the biomass yield coefficient with xylose was still double that obtained with glucose. Secondly, the initial values and final values after 24 hours were used to calculate the biomass yield coefficients, which are presented with the final biomass concentrations in Table 6.1.2.2. Here it can be determined that xylose produced a biomass yield coefficient of 0.563 g/g that was more comparable to glucose, which produced a maximum value of 0.323 g/g . Glycerol resulted in a biomass yield coefficient that was still more than double that of glucose. With these significant differences in the calculated xylose biomass yield coefficient, it is possible that there was a considerable amount of error in the substrate consumption rates. Since there was such a small change in xylose concentration before 10 hours, the uncertainty in the YSI membrane contributes significantly to the results of the statistical model and the first numerical method. The second numerical method may provide a more accurate estimate, but a different analytical method should be devised to verify that. More data should be collected within the 24 hour time period as well in order to make a conclusive decision on the length of the lag period, since it is unknown with just 12 hours of data recorded, and calculate new biomass coefficients based off of the start and end of the exponential phase of growth.

For the substrate mixture trials, the results do not exactly match with what was predicted. Since the yeast should preferentially metabolize the glucose first, the growth rates and the biomass yield coefficients on glucose in the mixtures should be similar to that of pure glucose. However, the trials conducted had much lower specific growth rates at 0.405 hr^{-1} for the

glucose and glycerol mixture and 0.386 hr^{-1} for the glucose and xylose mixture, and also had different yield coefficients at 0.547 for the glucose and glycerol mixture and 1.230 for the glucose and xylose mixture. The difference in these results could be explained by the fact that these trials were most likely not kept at a constant temperature as they should have been. Growth on pure glucose was mainly tested in the winter quarter, where the heating units were turned on to keep the labs warm, while growth on the mixtures was recorded in the spring quarter, before the air conditioning units were gradually turned on. The incubators used had mechanisms for heating but not cooling, so they would sometimes overheat and the temperature would go up to as high at 31.4°C. Efforts were made to move the system to the center of the lab, away from the refrigerators and other equipment, and where the exhaust would not be obstructed. These efforts did not stop the problem from occurring. In consequence, when comparing the raw data for growth on pure glucose and the mixtures, it would appear that the initial biomass concentration was much higher for the mixture trials, when in reality they should have been the same. This probably affected the regression model and caused the data for the mixture trials to have much lower specific growth rates, as opposed to if the initial biomass concentration would have been fixed in the model. The determination of the specific growth rates then affected the determination of the biomass yield coefficients, since the regression model used then included the estimated specific growth rates calculated with the other model.

Some visual evidence that contradicts the statistical regression for the specific growth rate can be seen in Figures 7.1.1 and 7.1.2. Each figure represents the cultures grown in the same trial in the spring quarter. Notice that the starting biomass concentrations and specific growth rates are similar within each plot. The temperatures differed between the trials in Figures 7.1.1 and 7.1.2, so they are not comparable, but the data can be compared within each trial. In

Figure 7.1.1, the flasks containing glucose had similar specific growth rates to the flasks containing glucose and xylose. Then by extension, if the specific growth rates between the cultures grown on glucose and xylose mixtures and glucose and glycerol mixtures are similar in Figure 7.1.2, then the specific growth rate on glucose would likely be comparable to the specific growth rate on the glucose and glycerol mixture too. Since the regression model does not account for temperature or the different quarters in which data was taken, there are some limitations on how the results could be interpreted. With the logic above, it can be concluded that even though the statistical regression model states that growth on glucose and xylose mixture is significantly different (p-value < 0.05) than that of glucose, the results may not be statistically valid as temperature was not consistently maintained and was unaccounted for in the model. When comparing glucose to the other trials though, those results may still be valid as xylose and glycerol were tested together in the winter, where the temperature in the lab was believed to fluctuate less. Under that assumption, it was found that specific growth rate of *C. viswanathii* on glucose was statistically different than the values for glycerol and xylose. No statistical inferences can be made about the biomass yield coefficients though due to the elimination of variability in the model by directly including the specific growth rates calculated with another model in the regression. If the specific growth rates were allowed to vary, then statistical inferences could have been made.

Figure 7.1.1: Growth curves of *C. viswanathii* **studied on 4/18/18 in the spring quarter with glucose or a glucose and xylose mixture for the carbon substrate.**

Figure 7.1.2: Growth curves of *C. viswanathii* **studied on 4/11/18 in the spring quarter with a glucose and glycerol mixture or a glucose and xylose mixture for the carbon substrate.**

Deciding which carbon substrate is optimal for the growth of *C. viswanathii* depends on a number of factors, which revolve around how the process is designed. If the process is to be an extension to a pre-existing ethanol plant, as in the report by Busch et al., then capitals costs are minimal and the number of unit operations can be reduced, allowing the utilities and production costs associated with maintenance and labor to be less expensive than the feedstock cost. More significant contributions to cost reduction could be achieved by using a cheaper carbon substrate or a byproduct of the connecting plant for substrate. One of the reasons why the designed add-on process was deemed significantly profitable was because the glycerol obtained from the transesterification of corn oil was repurposed as the carbon substrate in the fermentation step¹³. In some grassroots plants, like designed by Mobley in the feasibility report for General Electric, the feedstock price can still far outweigh the price of utilities and equipment maintenance, where 64% of the total production cost in this particular case was from chemicals used in the process. Of the chemical expenses, about 37% was from purchasing the methyl myristate feedstock, but there was no description of the composition of the rest of the chemical inventory list¹¹. It can be assumed that the carbon substrate and media still takes up quite a bit of the cost, since the other chemicals used in the process were strong acids, bases, and solvent. However, for processes like this where there is no ability to use a byproduct for a carbon substrate, a more extensive economic analysis should be done to determine if there would be more of a cost advantage to grow up the yeast cultures faster and increase the total throughput of LCDCAs with a more expensive substrate such as glucose, or reduce the feedstock cost by using cheaper glycerol or xylose produced by another facility.

7.2 Analytical Method Improvements and Contributions to Literature

The initial analytical approach in this study was modeled after the work presented in a dissertation by Nina Rimmel from the Technical University of Munich³¹. Her work provided the foundation for the ratios of chemicals used in the extraction and derivatization steps and the starting conditions for the GC analysis. The parameters in her methods and her justifications for using them will be contrasted to the changes that were made in the protocol for this study, summarized in Section 6.2, and the limitations of this improved method will be explained further.

7.2.1 Alterations to the Extraction Protocol

The first method that will be compared is the extraction of the production samples. Extraction is necessary to prevent damaging the low polarity polysiloxane column with the presence of water and to avoid interference from other metabolites present in the aqueous broth. The goal was to remove the majority of the water-soluble impurities present in the supernatant and transfer the components of interest into an organic solvent suitable for gas chromatography in a single step. Extraction into MTBE was chosen since the solvent was compatible with all of the LCDCAs and other low polarity components present in the broth. As another requirement, it also lacks any free hydroxyl groups that could be derivatized by MSTFA in the subsequent step. Rimmel further explains that MTBE is less toxic and volatile that diethyl ether, which was used in other literature resources for a similar extraction with fermentation broth³¹.

The extraction protocol that Rimmel followed involved taking 500 µL broth samples and adding 200 μ L of 1 N HCl and 1000 μ L of MTBE. The microcentrifuge tubes used in this study could not quite contain this amount of liquid, which is why the sample size taken was scaled
down to 400 μ L, with the 1 N HCl and MTBE being scaled down to 160 μ L and 800 μ L accordingly. Rimmel would thoroughly mix the immiscible liquids and then place the tubes on a shaker for 2 hours and then repeat the process again in a multistage extraction³¹. In order to ensure equilibrium is obtained without having to do multiple steps, the tubes are shaken for 3 hours instead in this study. This method reaches a recovery of approximately 71%-72%, which was determined in a study where methyl oleate standards were made in broth before extraction, in MTBE before derivatization, and in MTBE without derivatization to see the relative differences in concentration. The yield determination is explained in Section 6.2, where Figure 6.2.1 illustrates the relative difference in GC peak area for samples undergoing both extraction and derivatization and samples that undergo neither. The last extraction parameter, the vortex shaker setting, was chosen due to it being a compromise between thorough mixing of the samples and less movement of the shaker itself.

7.2.2 Alterations to the Derivatization Protocol

Derivatization was originally modeled after Rimmel's protocol and was later slightly altered after finding new techniques in similar studies. MSTFA was used by both Rimmel and Funk et al., another group of researchers who used a similar analysis protocol, for the derivatization reagent^{1,31}. By converting the carboxylic acid ends to TMS ends, the polarity of the compound is reduced, making it more suitable for separation using the polysiloxane column. TMS derivatives also aid in the characterization of the compounds using mass spectrometry, since they produce fragments that have more unique m/z ratios. MSTFA in particular is one of the most volatile TMS derivatization reagents and can derivatize more volatile components, which helps to determine if there are smaller chain DCAs and FFAs in solution. Some of the

drawbacks of using a derivatization reagent for TMS derivatives include the reagent and the derivatized compounds increased sensitivity to water and excessive heat. Artifact peaks can also appear in gas chromatographs due to the incomplete reaction or breakdown of the TMS derivatives⁵³.

In this study, artifact peaks commonly occurred, and some specific examples can be seen in Figures 6.2.12, 6.2.13, and 6.2.14. Figure 6.2.12 shows what happens if the injection temperature causes breakdown of multiple TMS derivatives. Figure 6.2.13 shows some unknown components that are believed to be breakdown products of the C18 DCAs studied due to their long retention time. Figure 6.12.14 shows the most common artifact occurrence. A small peak appears at the same retention time as the C18:0 DCA, but is not identified by the NIST library to be that component. The suggestions that the similarity search gives are commonly siloxanes or random silyl conglomerates. This peak was also found to appear when pure MSTFA in MTBE was injected into the column. Although there is not a lot of evidence to support this hypothesis, the current leading theory is that this artifact peak is actually C18:0 DCA that was stuck on the column, but just in such a small quantity that the characteristic m/z values do not show up in high enough intensities to warrant a conclusive decision on the identity of the compound in the NIST library. While it is certainly possible that this artifact peak is something different entirely, it is most likely some derivative of the C18:0 DCA due to the fact that the characteristic m/z values do appear occasionally in the mass spectra and the retention times line up closely.

There are also some additional challenges associated with using MSTFA for derivatization. First, the shelf life of MSTFA is only about one month, after which it does not derivatize components with the original yield. Secondly, MSTFA breaks down the rubber stoppers used in

syringes to pull suction on samples, which can cause the amount of MSTFA added to vary significantly when the syringe gets stuck over time. Syringes were replaced after 6-10 uses on average in an attempt to avoid this problem. MSTFA breaks down in air naturally due to the presence of water vapor, so the MSTFA bottles were kept under a nitrogen purge. This may not have been very effective though since air would still get sucked into the bottle after the syringe was removed and before the nitrogen could be added, further reducing the shelf life. Possible alternative derivatization reagents for LCDCAs have been considered, but most literature sources utilize TMS derivatives. It would be possible, however, to investigate whether it would be more efficient to convert the product and FFAs to their ethyl ester derivatives via transesterification prior to analysis on the GC-MS. Methyl esters were being selected for feedstocks in this study, but it is possible to use ethyl esters as well. However, if methyl esters were selectively used for the biotransformation, then derivatizing the acid components into ethyl esters would lead to entirely unique peaks on the GC-MS. The major downside to this argument is that there is currently no research on the boiling points of the ethyl ester equivalent of C18 LCDCAs, at least with what was reviewed previously, so there is a possibility that the boiling point would still be too high for a polysiloxane column like what was used in this study. Another approach would be to remove the derivatization step entirely and use an HPLC column to test purified broth samples such as a method that Rimmel had developed³¹.

Several method changes were explored in order to increase the MSTFA conversion of components in the organic extraction phase and take preventative measures against water contamination. In the original method by Rimmel, $250 \mu L$ of the organic phase were transferred from the final extraction solution to GC autosampler vials. To each extracted sample, 500 µL MTBE was added to further dilute the samples, and then 50 μ L N-methyl-N-

(trimethylsilyl)trifluoroacetamide (MSTFA) was added to derivatize them. Although the MSTFA was known to be in significant excess on a molar basis, with a MSTFA to sample ratio of about 67.5:1 to 135:1, other research articles suggested that larger values of excess could help keep the reaction yield consistent while mitigating the effects seen from various sources of reactant degradation. For example, Basconcillo and McCarry used an excess as large as 500:1 MSTFA to total fatty acid composition to ensure that their method worked⁵⁴. In the results of a study presented in Figures 6.2.4 and 6.2.5, it was found that adding 100 µL MSTFA greatly improved the calibration curves with respect to the internal standards used, which are discussed in more detail later in this section. In order to reduce the amount of MSTFA due to economic reasons, the sample volume was reduced by half, along with the internal standards that were added. This allowed the MSTFA to be in excess with a ratio of 135:1 to 270:1.

Before derivatization began, the addition of pyridine was investigated as an alternative to drying the samples before placing them on the GC, since there was no drying step in the original method. Magnesium sulfate was used a drying method for the samples in the study by Fabritius et al.¹⁹. Alternatively, Sigma-Aldrich suggested using blowing nitrogen gas over the top of the samples⁵⁵. Many other analytical-based literature articles included pyridine in their reaction mixture, including the studies by Basconcillio and McCarry, Judefeind et al., and Cho et al^{35,36,54}. In particular, Cho et al. found that the optimum ratio of MSTFA to pyridine was 9:1 in order to help prevent hydrolysis of samples and still have high-resolution chromatographs³⁵. The ratios of 4:1 and 6:1 were studied in order to see if there was any significant impact on the amount of pyridine added. These ratios were partly chosen due to the fact that the C18:0 DCA standard was dissolved in pyridine and the standard was quite concentrated at 30 g/L. In order to mimic extracting a high concentration of 3 g/L in broth, the ratio of 4:1 was the smallest possible ratio

that could be done so that all of the pyridine added to the control came from the C18:0 DCA stock solution. With these ratios studied, it is clear from Figure 6.2.6 that the calibration curves for C18:0 DCA were not significantly worse for either case. However, even though there appeared to be slightly more sensitivity in the 6:1 samples from the larger slope produced, the 4:1 ratio was chosen to be used throughout the production study so that the stock solution of C18:0 DCA would not have to be remade.

As for the heating time and temperature for the samples, neither Rimmel or Funk et al. had reported heating the samples to obtain a better yield $1,31$. However, Cho et al. found that derivatizing for one hour at 60° C was effective at allowing the reaction to complete³⁵. This study started with derivatizing the LCDCAs and other components at 60°C for three hours, but then explored reducing that time while still keeping a high enough conversion to produce consistent data for calibration curves. It was believed that at some point in the study, the methyl oleate standards were breaking down with the excessive heating, and that there was also a possibility for the oleic acid and DCAs to degrade or turn into their trans isomers. In the experiments conducted by Basconcillio and McCarry, they found that heating their C14:0 fatty acid methyl esters for 15 minutes at 50°C and 60°C resulted in poor recoveries compared to heating the same standards for 15 minutes or longer at 25°C. These results were not due to hydrolysis, as there were minimal changes in the concentration of C14:0 fatty acid TMS derivatives in the samples. They concluded that it is possible that a type of condensation reaction could be occurring at the higher temperatures⁵⁴. Contrary to their argument, Cho et al. and Judefeind et al. found that they were able to derivatize their samples for an hour each at 60°C and 75°C respectively with no noticeable breakdown or creation of new components or artifacts^{35,36}. In order to experiment with the changes in the recovery in this study, the

derivatization time was changed to 20, 40, and 60 min. The component studied was C18:0 DCA rather than methyl oleate though since it was a priority to derivatize as much of the DCA as possible. In Figure 6.2.7, it was found that 20 minutes of derivatization at 60°C would be enough time to produce acceptable calibration curves, which considerably reduced the time needed to prepare samples in the laboratory.

In addition to the reduction in heating time, internal standards and MTBE were not added to dilute the samples prior to derivatization, because it was thought that it would decrease the yield if the sample was not thoroughly heated. Once the derivatization reaction was complete, 463 µL MTBE was added to each sample to further dilute the concentration, because the reported protocol from Rimmel was appropriate for concentrations between 0.25 and 15 mM 31 . The internal standards were added along with the MTBE to achieve a concentration of 0.5 g/L, which was calculated to be 25 μ L of a 2.6 g/L stock solution for each.

7.2.3 Identification of the Unsaturated DCA Product with GC-MS

The original method for analyzing LCDCAs with GC-MS used in the Rose-Hulman Laboratory was created by a former student, Katie Ryan. She attempted to adopt the methods present in Rimmel's dissertation, but found that they were not completely reproducible for the column used for this research. Another major setback was that both Rimmel and Funk et al. used flame ionization detectors (FID), but the column setup for this experiment had mass spectrometry available instead^{1,31}. Using an MS enabled system does have a significant benefit compared to FID systems, where fouling of the detector via silica accumulation can be more easily avoided⁵³. Determining the parameters for mass spectrometry was more difficult due to lack of literature present with a MS protocol. According to the National Institute of Standards

and Technology (NIST) library within their Chemistry WebBook⁵⁶, the TMS derivative of octadecanedioic (C18:0) acid has a characteristic m/z value of 443. Since this is the largest LCDCA of interest, it was assumed that all of the other LCDCAs and FFAs would have characteristic m/z values below 443, so the range of m/z values tested for was 25 to 450. A simple scan mode was utilized instead of selective ion monitoring (SIM) due to lack of knowledge of the m/z values of other components in the fermentation broth.

It was later found in the article by Judefeind et al. that they had obtained a mass spectra for the C18:1 DCA that is produced in this study, shown in Figure 6.2.17 with a characteristic m/z value of 441³⁶. Figure 6.2.16 shows the new peaks that were obtained from a production study, of which the early eluting one is assumed to be the monounsaturated C18 DCA of interest. The adjacent peak is thought to be either the trans isomer of the C18:1 DCA or a translocation of the double bond. A mass spectra of the first peak is shown below the chromatograph to show how it lines up with what Judefeind et al. found. Note that these peaks only started appearing after all of the above changes were made to the extraction and derivatization method.

7.2.4 Implementation of Internal Standards

Another motivation for changing the GC method was that the calibration curves that Katie had made could not be recreated after a few months of testing, and it was assumed that something had changed with the column or how it operated. The original method was used to determine if there were repeatability issues with using the column. It was found that when four different standards of methyl oleate, which had not been extracted or derivatized, were repeatedly sampled on the column, there was always either a high or a low value for the peak integration, as shown in Figure 6.2.2. A single sample of 0.48 g/L of technical methyl oleate

stock was repeatedly sampled overnight to see if the same phenomena occurred again. The results presented in Figure 6.2.3 were quite unusual, where not only did the phenomena occur for the methyl oleate component again, but it occurred for all of the impurities that were present in the technical grade solution as well. After consulting with professors in the chemistry department and the technician, the only logical reasons behind how this phenomenon could occur would be if there was something malfunctioning with the syringe that would cause it to stick at times, or if there was a consistent fluctuation in temperature. To make sure there was no residue built up in the syringe and the injection port for the rest of the production study trials and analytical development, they were both promptly replaced. When removed, the glass liner was observed to have a large black mass stuck in the center, which had never been witnessed with this instrument before. It was thought that it may have been caused by some of the heavy boiling components not being completely volatized when injected, and was assumed to have been partly to blame for the inconsistencies seen in injection volume.

In order to ensure that injection volume would not cause significant variation in the calibration curves and production study results again, it was decided to start using internal standards. The idea behind internal standards is that they are consistently added at the same concentration, so that if the injection volume changes, the peak integration could change with it while keeping the ratio between the internal standard and the other components constant. Calibration curves can then be made with respect to these ratios instead of total peak area. The internal standards used in a study must be similar in structure and boiling point to the components in the solution, so that they would appear with high resolution on the chromatographs in the temperature range that is being tested. For example, the use of ethyl dodecanoate as an IS in the study by Basconcillio and McCarry would not be compatible with

this study, since it would most likely have a much lower boiling point than the other components derived from the fermentation broth due to its much lower chain length (C12). Methyl pentadecanoate (PME, C15:0) and methyl heptadecanoate (HME, C17:0) were chosen for this study because not only are they similar in chain length to the C16 and C18 components that are expected to appear in corn oil, but they also have odd-numbered chain lengths, which do not occur often by natural metabolism in yeast. These components would not be expected to appear in fermentation broth under any circumstances.

7.2.5 Adjustment of GC Injection Volume and Temperature

Even with the use of internal standards, sometimes the chromatographs would not be very clear or would have very minute peaks. Figure 6.2.9 represents a sample that was tested at the original injection temperature of 275°C. The internal standard peaks are barely visible and are not significant enough to integrate. It was thought that maybe the injection temperature was either too high and was breaking down the components or too low and was not vaporizing them to a large extent. For another sample that had experienced the same problem, injection temperatures of 200°C and 300°C were tested along with the original specification. The low bound of 200°C was chosen because of the recommendation from Restek to avoid breakdown of TMS derivatives⁵¹. However, it was found that there was a large solvent front produced that completely obscured all of the other peaks that should have been present. On the other hand, when a higher value such as 300°C was used, the resolution of the peaks increased and the baseline remained flat. It was found that after testing all of the production samples once at 275°C and once at 300°C that although increasing the temperature did not always improve the baseline, it did not seem to break down the derivatives to a significant degree. For example,

Figure 6.2.10 represents the same sample that was tested in Figure 6.2.9, but shows two chromatographs taken with the same injection temperature of 300°C. The first trial did produce larger peaks, but it was not as clear as the second trial, which had a much flatter baseline. A good example of a chromatograph taken with the higher injection temperature is shown in Figure 6.2.11, where the peaks are clearly defined and there is no baseline noise.

With these examples, it should be noted that the internal standards did not always explain the change in the peak areas or the appearance of new peaks with the clearer chromatographs. That leads to the thought that there might be something else inherently wrong with the column. A possibility is that there is an inconsistency in the injection temperature. That would explain how the chromatographs look completely different for the same temperature even if the samples are tested at the same injection temperature. There were many cases were the chromatograph of a sample injected at 275°C would look exactly like the chromatograph when it was injected at 300°C. Also, if injection volume were to blame, the sudden appearance of peaks would not occur, especially when the relative IS peak area did not change. There was not an easy way to check if the injection temperature reported in the system was accurate, especially since the instrument was being used by many people at the time. However, it was less invasive to measure the oven temperature, and the thermocouple reading was found to be within $\pm 3^{\circ}C$ of the indicated temperature during the entire ramp period. It is suggested that the injection temperature be measured during the next scheduled time for routine maintenance of the injection port. If a discrepancy arises, it would be worth the effort to look for a more insulted liner for future studies, especially since the temperature down the length of the liner can vary anywhere from 20 $\rm ^{o}C$ to more than 100 $\rm ^{o}C$ within a study of multiple Agilent split/splitless liners⁵⁷.

Another issue that may have been occurring with the initial GC-MS method was excessive back flash of the samples when injected. This may have been a partial cause of the observed injection volume inconsistencies since a small sample concentrations would have led to much easier volatilization of the solvent in the injection port. Katie had used a large injection volume for her method development because it resulted in larger, more defined peak areas for the components that she tested. However, when a solvent expansion calculator was used on the Restek website, it was found that excessive back flash was occurring. The volume of vapor produced was twice as large as the available volume within the injection liner. In Figure 6.2.8, the calculator was used to determine that the maximum injection volume should be around 2.2 µL to prevent any back flash. To be conservative, the injection volume was rounded down to 2 µL for analyzing the production study samples.

Lastly, when controls for C18:0 DCA were run in the same batch as unknown samples, it was thought that sometimes the LCDCA would get stuck on the column. The original method had no fast boiling ramp at the end to remove high boiling impurities. Instead, it kept the final temperature at 280°C for 5 minutes. Since the column should not be held at higher temperatures for prolonged periods of time, a short ramp of 20°C/minute was added at the end to reach a final temperature of 300°C which was held for 1 minute.

The final calibration curves for methyl oleate, oleic acid, stearic acid, and C18:0 DCA used with this modified method are presented in Figures 6.2.18, 6.2.19, 6.2.20, and 6.2.21. Note that Funk et al. had also focused on making calibration curves for C18 FFAs and the retention times they witnessed were very similar, ± 6 seconds on average, to those obtained with this protocol¹. A complete summary of method changes is presented below in Table 7.2.1.

7.3 Conditions for Relatively Highest LCDCA Yield

Before analyzing the results, the viability of the GC-MS method will be determined using the data presented in Figures $6.3.1 - 6.3.5$. From these figures, which contain data from cultures grown in the same trial, it is apparent that three issues are observed with the method. The first issue is illustrated in Figure 6.3.1, which records the concentrations of major feedstocks and products as reported by GC-MS for a control flask. Note that no methyl oleate or oleic acid was added to the flask; however, C18:0 DCA appears in the samples. The apparent concentration was as high as 9 g/L, which is more than what was recorded for all of the other samples tested across all trials. This raises concerns because there should not have been any DCA product produced in the control. The fact that it is the saturated DCA that appeared and not the proposed unsaturated product also indicates that the sample may have had C18:0 DCA carryover from the standard that was run within the same autosampler batch. A standard containing methyl oleate and C18:0 DCA was run with each batch on the GC-MS to ensure that the method was working. All samples that were tested from 24 hours of biotransformation on that day all had high amounts of C18:0 DCA according to the GC-MS results, further illustrating that carryover most likely occurred due to the standard getting stuck on the column. Eventually, the C18:0 DCA peaks no longer appeared in the chromatographs with the standards that were prepared and derivatized each day, indicating that either the MSTFA had degraded or the column was not fully volatizing the samples. This was the primary reason that the samples were then tested using an HPLC method to obtain estimates for sample concentration and verify that C18:0 DCA peaks were likely carryover from previous standards. The samples in Figures 6.3.2 and 6.3.3 also showed C18:0 DCA peaks in the GC-MS analysis, but when they were tested with HPLC in Figures 6.3.4 and 6.3.5 respectively, there was no DCA standard found for all samples collected over

time. Even with the further analytical method development, the GC-MS still could not be used to accurately determine product concentration.

Another issue is presented in Figure 6.3.2, where there is no continuous decrease in the concentration of methyl oleate feedstock. If the cells were undergoing biotransformation, there should be depletion of the methyl oleate with time, but the GC-MS results give an increase in concentration from 24 to 48 hours. Note that there is no methyl oleate reported initially in all of the figures since the cultures were sampled before the feedstock was added in this particular trial. Comparing Figure 6.3.2 to Figure 6.3.4, which reports the concentrations determined using HPLC, the same trend is observed where a maximum concentration of methyl oleate is reported at 48 hours. With the HPLC method, the concentrations are more than three times those observed with GC-MS and are closer to what the actual initial concentration would have been. Since the same trend is observed with both methods, it can be deduced that this issue is likely not related to the GC-MS errors, but may have something to do with extraction or solubility. Since extraction with methyl oleate was observed to be rather consistent, as illustrated in Figure 6.2.1, it is thought that poor solubility may be the reason for the unexpected trend. Both methyl oleate and oleic acid are basically insoluble in water. The solubility specifically for methyl oleate was estimated to be about 0.0056 mg/L at 25°C, which is significantly less than the concentration being added at 10 g/ L^{58} . For reference, the solubility of oleic acid is about 0.012 mg/L at the same temperature⁵⁹. The same inconsistencies in feedstock consumption were observed in most trials with both methyl oleate and oleic acid. Sometimes, the feedstock would not appear at all in both the GC-MS and HPLC chromatographs until a sample was taken at 120 hours of biotransformation. More research should be conducted to try to improve extraction methods or achieve homogenous feedstock distribution with pH adjustments and increased agitation rates.

Figure 6.3.3 shows an example of the third issues that was occasionally observed. With the sample that was taken at 120 hours of biotransformation, there was a discrepancy in the oleic acid concentration determined with the GC-MS. Four data points are given for each substrate in each sample, where two internal standards were used to determine concentration and each sample was tested twice, once at an injection temperature of 275°C and once at 300°C. For the oleic acid present at 120 hours, there were two reported high values and two reported low values. The two low values were from the run at 275°C and the two high values were form the run at 300°C. With the addition of the internal standards, if a change in injection volume caused there to be an increase in the relative peak area of one of the components, then the area of the internal standard would have increased as well. This observation cannot be caused by a fluctuating injection volume, so another possibility is the change in injection temperature caused more oleic acid to be volatized when inserted into the column. If this was the case, then the same trend should be observed for all of the other samples tested in this manner, but it was not. This indicates that there could be an issue with the column injection temperature fluctuating or not having a consistent gradient within the liner. A thermocouple was used to confirm that the oven temperature output was accurate within $\pm 3^{\circ}$ C; however, the thermocouple was not used during this experiment to test the liner temperature in order to prevent accidental damage to the instrument. It is recommended that a company representative from Shimadzu be contacted to investigate potential issues with the column injection temperature and port.

In the trials presented in Figures $6.3.1 - 6.3.5$, the results correlate to some of the sample characteristics that were observed. For example, Flask R3A in Figure 6.3.3 was found to give a sample that was very cloudy with a white, filmy precipitate after 48 hours. It was at first thought to be a DCA, but the GC-MS had identified that there was a large concentration of oleic acid

present. In order to verify that the precipitate was not DCA, extra samples were taken and the pH was adjusted to below 5.6. If the precipitate was DCA, two layers would have formed, a filmy floating layer and a heavier layer on the bottom³⁴. However, with the pH adjustments, no bottom layer was never produced. The precipitate would stay on the top after being centrifuged, but then slowly diffuse through the rest of the solution. This behavior may be more consistent with that of oleic acid. However, in some other trials where oleic acid was used as the feedstock, a more fluffy precipitate would form on the top, but the C18:1 DCA concentration was found be very small with the GC-MS compared to the oleic acid concentration. In trials using methyl oleate as the feedstock, a precipitate would form on bottom with the biomass pellet, which was thought to be the DCA, but there were often issues with collecting all of the precipitate with the supernatant. In future studies, sample pH should be adjusted to more basic levels after they have been collected to ensure that the DCA product is fully dissolved in solution.

Given the issues presented in this section, the GC-MS method was found to be unreliable, so the analysis of the production samples was done using data gathered from the HPLC. Table 7.3.1 provides a summary of the trends for the consumption of feedstock and production of C18:1 DCA seen in select samples from each set of trials. At least one culture was tested for each of the high and low concentrations of the carbon substrate used in all trials. A minimum of two samples, including the final 120-hour biotransformation sample, were tested for each of the cultures. In the case of the methyl oleate with glucose trials, all of the samples were tested for each culture for the thorough comparison with the GC-MS method.

Table 7.3.1: Observational summary of the data collected using HPLC for select cultures grown with the following trial conditions. All conditions resulted in the production of C18:1 DCA except for the trials involving glucose and methyl oleate. There were no consistent trends for the consumption of the feedstock in any of the trials.

With the results in Table 7.3.1, a couple of qualitative conclusions can be made that may help give direction for future research projects dealing with this particular biotransformation. First, these observations in feedstock consumption trends further justify that solubility issues are most likely occurring and preventing continuous decreases in methyl oleate and oleic acid concentration to be shown with the analytical methods. In all trials except for those one using methyl oleate and glucose, either no feedstock appeared at all in the samples over time for a given culture, or a particular sample would lack a feedstock peak when a large concentration was already reported for another sample taken from the same flask at a different time. As explained previously, there is also still the issue present in some of the methyl oleate and glucose trials where there was an increase in methyl oleate concentration from 24 to 48 hours. In the cultures from this same trial that did not experience this trend, there was only one point at which the methyl oleate appeared in the analysis, which was at 48 hours of biotransformation. This could be indicative of extraction method variability from day to day, but it is more likely that poor solubility is the underlying cause of inconsistency since the extraction method is thought to be rather consistent.

The second qualitative conclusion is that the C18:1 DCA was produced in all trials except for the one utilizing methyl oleate and glucose. Some of the other trials did not experience a consistent increase in product concentration with time, but since this trial did not result in any DCA production at all, it is thought that something about this particular pair of conditions on the biotransformation may be the cause. Multiple hypotheses were considered for what could cause this phenomenon, but none have been proven or disproven yet. Catabolite repression is the first possibility, where the presence of glucose may have inhibited an enzyme present in the biotransformation pathway. However, if that was the case, then no C18:1 DCA should have

been produced in the oleic acid trial that used glucose for the co-substrate. Another theory would be that glucose was inhibiting an esterase that converts the methyl oleate to oleic acid in order to be processed with the ω -oxidation pathway. However, all of the trials using methyl oleate for the feedstock had oleic acid present at some point in the samples that were collected, reaching a concentration estimated to be as high as 1.5 g/L, which is not thought to be due to carryover or cross-contamination with the HPLC method. Little information is known about the specific enzyme used to convert the methyl esters to their respective fatty acids in *C. viswanathii*, so more research could be done to investigate any inhibitory effects from added substrate. Finally, Funk et al. proposed that an issue seen with *C. tropicalis* using glucose as the carbon source and oleic acid as the feedstock that involved the formation of lipid bodies within the cells¹. Instead of quickly processing the accumulated oleic acid with ω -oxidation, the cells were storing it and not metabolizing it once a certain concentration was achieved. If the esterases were working to metabolize the methyl esters quickly in the yeast, then there is a possibility that the oleic concentration triggered the formation of these lipid bodies in the cells grown in this experiment since the glucose was added in a semi-batch manner. However, when oleic acid was used as the feedstock, the DCA product was formed which may contradict the lipid body theory. There is a possibility though that the concentration of oleic acid added was high enough that the cells could not store it all as lipid bodies and were forced to process some of it in order to survive. High concentrations of oleic acid and methyl oleate could also potentially disrupt the cell membranes. In summary, more research should be conducted to determine if any of these hypotheses are valid by observing yeast cell physiology during production with microscopy and running more trials to determine an average amount of oleic acid formed from the addition of 10 g/L of methyl oleate.

8. CONCLUSIONS AND FUTURE WORK

With regards to the growth studies of *C. viswanathii* on various carbon substrates, it was found that growth on glucose resulted in a significantly higher specific growth rate of 0.482 hr-1 on average compared to growth on xylose and glycerol. It appears that the specific growth rate on a mixture that contains a ratio of 2:1 glucose to xylose or glycerol exhibits a similar growth rate to that on pure glucose, although this is not supported by the current statistical model, which does not account for temperature as a variable. This indicates that the yeast cells do preferentially metabolize glucose first, and that it could be an economic advantage to use such a mixture if an optimal growth rate is desired to run through fermentation batches faster. However, with regards to the biomass yield coefficient, glucose had the lowest value of about 0.754 on average, indicating that it could be costly to feed the yeast. Xylose and glycerol had relatively high biomass yield coefficients of around 1.420 and 1.600 respectively using the statistical model, which could not be used to determine if any of the values were statistically different in the current model formulation. By calculating the biomass yield coefficients using initial and final biomass and substrate concentrations, it could be deduced that the value for xylose may not be as large as reported and is more comparable to glucose than glycerol. The significant error observed in the model most likely is from the high uncertainty of the xylose concentrations measured by the YSI bioanalyzer. If the goal of the process is to save money by reducing the feedstock costs, using xylose from cellulosic hydrolysates or glycerol byproduct from biodiesel production would have more of an advantage as compared to glucose. It must be noted though that all of these results are only repeatable if the same composition of OPT1 medium is used throughout the growth and production phases. More work could be done to replicate these trials

with a new shaker that ensures constant temperature, and repeat the trials but with a different media such as YPD or a defined medium.

For the GC-MS analytical method, quite a few improvements were made but only a few were significant. To summarize those that are important for future researchers taking on this project, first internal standards were implemented to improve the accuracy of the calibration curves. There are many probable causes for the repeatability issues witnessed with this GC-MS system, but the use of internal standards at least helps take into account any random changes in injection volume. Next, the amount of MSTFA added was changed so that it was in excess of about 270:1 compared to the acids in the sample. Pyridine was determined to be a necessary addition to the derivatization mixture since it helps to interact with water and keep it from hydrolyzing the TMS derivatives, so it was added at a MSTFA to pyridine ratio of 4:1. Increasing the ratio to 6:1 slightly improved the sensitivity, and it is suggested that more studies be conducted to see if there is an optimal ratio and whether it lines up with the discussed literature value³⁵. Heating the samples during derivatization was found to be acceptable at shorter time durations such as 20 minutes at 60°C, but not as efficient with longer durations such as 40-60 minutes. Additional studies could be conducted to determine whether the methyl oleate component actually breaks down after a certain amount of time at 60°C, as noted by Basconcillio and McCarry⁵⁴. Finally, the GC parameters were changed so that the injection temperature was increased to 300 $^{\circ}$ C and the injection volume was decreased to 2 μ L to improve the volatilization of the samples and reduce excessive back flash respectively.

Repeatability issues and DCA standard carryover were still observed with the GC-MS method once the production study samples were analyzed, so an alternative method using HPLC was used to test some of the samples from each trial for the analysis. Although a quantitative

assessment could not be done, some qualitative conclusions could still be drawn. The first is that there were some solubility issues occurring with both feedstocks, methyl oleate and oleic acid. That should be expected since both components are relatively insoluble in water, but no prior studies discussed these limitations and how they could affect the final extracted concentration that is measured. Secondly, the DCA product was made in all of the trials except the one involving methyl oleate and glucose. A hypothesis that might explain what happened involves catabolite repression of either the ω-oxidation pathway or the esterase used to convert the methyl oleate to oleic acid. However, DCA was produced in the study with glucose and oleic acid as feedstock, and oleic acid was found to be formed in all of the trials involving methyl oleate, so this hypothesis may be unlikely. Another thought is that lipid bodies could be forming with the excess oleic acid that was produced, but more research should be conducted using microscopy to view cell physiology during the biotransformation.

Lastly, it is highly recommended that HPLC be used to further process samples taken for this study in the future, especially since it results in more precise calibration curves and can run samples in whole broth, eliminating the need for an extraction and derivatization step to reduce variability in the analysis. If GC-MS is still considered for analysis, the method would most likely be more repeatable if MSTFA can be replaced with a derivatization reagent that does not break down as easily. One idea presented involved the transesterification of FFAs and DCAs into ethyl esters prior to analysis, which would still reduce the boiling points and make the components less susceptible to hydrolysis. Studies should be done to determine the respective boiling points of the ethyl ester DCA derivatives to ensure that they are compatible with the temperature limitations on the GC column.

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APPENDICES

APPENDIX A

First, the first four components were mixed together in a glass flask and autoclaved at 121°C for 20 minutes using a standard liquids cycle. Only letting the mixture cool slightly, the flask was carefully shaken by hand or with a magnetic stir plate while the glucose was added. Lastly, 30 mL of warm media was pipetted into 9 cm diameter petri dishes before being stored in the refrigerator at 4-8°C to solidify.

Component	Amount Added (per L total volume)
Yeast Extract	5 _g
Ammonium Sulfate	8 _g
Sodium Chloride	0.1 _g
Potassium Phosphate, Dibasic	1 _g
Potassium Phosphate, Monobasic	2g
Water	825.33 mL
Magnesium Sulfate (1 M)	4 mL
Calcium Chloride (1 M)	1 mL
Trace Element Solution	3 mL
Glucose (1 M) or Other Substrate	166.67 mL

Table A.2: Recipe for OPT1 media used in growth and production studies.

The first six components were mixed together and autoclaved at 121°C for 20 minutes using a standard liquids cycle. A batch was usually made with 577.73 mL of water, so that the final volume, if the rest of the components were added, would be 700 mL. To each separate flask, 24.76 mL of the base media was added along with 120 μ L of MgSO₄, 30 μ L of CaCl₂, 90 µL of trace element solution, and 5 mL of carbon substrate to result in a final volume of 30 mL. The carbon substrate used was either 180 g/L (1 M) glucose, 180 g/L glycerol, 150 g/L xylose, or a mixture thereof. To review the recipe for the trace element solution, see Table GG.2.

Component	Amount Added (per L total volume)
Calcium Chloride	0.5 g
Zinc Sulfate $(x 7 H2O)$	0.18 _g
Magnesium Sulfate $(x 1 H2O)$	0.1 _g
EDTA, Disodium Salt	10.05 g
Ferric Chloride	8.35 g
Copper Sulfate $(x 5 H2O)$	0.16 g
Cobalt Chloride $(x 6 H2O)$	0.18 _g

Table A.3: Recipe for the trace element solution used in the growth and production studies.

All components were mixed together in the appropriate amount of water and then filter sterilized using a 22 µm filter with a vacuum pump. The solution was then stored in the refrigerator at 4°C.

APPENDIX B

R code used for the determination of the specific growth rates:

Read in raw data

The <- read.csv("Thesis.csv")

Create indicator variables

The <- mutate(The, Glucose=(Substrate=="Glucose"))

The <- mutate(The, Glycerol=(Substrate=="Glycerol"))

The <- mutate(The, Xylose=(Substrate=="Xylose"))

The <- mutate(The, GluGly=(Substrate=="GluGly"))

The \le - mutate(The, GluXy=(Substrate=="GluXy"))

Designate the form of the nonlinear equation with indicator variables

form. The \leq -Biomass \sim (Xo + alpha1*GluGly + alpha2*GluXy)*exp((mu1*Glucose + mu2*Glycerol + mu3*Xylose + mu4*GluGly + mu5*GluXy)*Time)

Insert starting values based off of estimates in Excel

start <- c(0.5, 0.1, 0.1, 0.5, 0.4, 0.45, 0.5, 0.5)

names(start) <- c("Xo", "alpha1", "alpha2", "mu1", "mu2", "mu3", "mu4", "mu5")

Fit the nonlinear equation

fit.bio <- nls(form.The, data=The, start=start) tidy(fit.bio)

Overlay the model over the raw data by using a predict response function

Bio.pred \leq expand.grid(Time = seq(from=min(The\$Time), to=max(The\$Time), length.out=1000), Substrate=unique(The\$Substrate)) $Bio. pred < -mutate(Bio. pred, Glucose = (Substrate == "Glucose"))$ $Bio.pred < -mutate(Bio.pred, Glycerol = (Substrate == "Glycerol"))$ $Bio.pred < -mutate(Bio.pred, Xylose = (Substrate == "Xylose"))$ $Bio.pred < -mutate(Bio.pred, GluGly = (Substrate == "GluGly"))$ Bio.pred <- mutate(Bio.pred, $GluXy = (Substrate == "GluXy"))$ Bio.pred <- PredictResponse(fit.bio, Bio.pred)

Plot the predict response function and raw data

 $ggplot() + geom_point(data=The, mapping = aes(x=Time, y=Biomass, colour=Substrate)) +$ geom line(data=Bio.pred, mapping=aes(x=Time, y=PredictedValue, colour=Substrate)) + scale_colour_brewer(type="qual",palette="Dark2") + labs(x="Time, hrs", y="Biomass, g/L ", $colour="$ + theme bw(16) + theme(legend.position="bottom")

Test the hypothesis: H₀: $\mu_1 = \mu_2 = \mu_3 = \mu_4 = \mu_5$ and H₁: At least one differs

K1 <- matrix($c(0, 0, 0, 1, -1, 0, 0, 0,$ 0, 0, 0, 0, 1, -1, 0, 0, 0, 0, 0, 0, 0, 1, -1, 0, 0, 0, 0, 0, 0, 0, 1, -1), nrow=4, ncol=8, byrow=TRUE)

 $ml < c(0,0,0,0)$

ParamTest(coef(fit.bio), Sigma=vcov(fit.bio), m=m1, K=K1)

Test the hypothesis: H₀: $\mu_1 = \mu_5$ and H₁: $\mu_1 \neq \mu_5$

 $K2 \leq \text{matrix}(c(0, 0, 0, 1, 0, 0, 0, -1), \text{now=1}, \text{ncol=8}, \text{byrow=TRUE})$ $m2 < -c(0)$

ParamTest(coef(fit.bio), Sigma=vcov(fit.bio), m=m2, K=K2)

Test the hypothesis: H₀: $\mu_1 = \mu_4$ and H₁: $\mu_1 \neq \mu_4$

K3 <- matrix($c(0, 0, 0, 1, 0, 0, -1, 0)$, nrow=1, ncol=8, byrow=TRUE) $m3 < c(0)$

ParamTest(coef(fit.bio), Sigma=vcov(fit.bio), m=m3, K=K3)

Test the hypothesis: H₀: $\mu_1 = \mu_3$ and H₁: $\mu_1 \neq \mu_3$ $K4 \leftarrow \text{matrix}(c(0, 0, 0, 1, 0, -1, 0, 0), \text{now=1}, \text{ncol=8}, \text{byrow=TRUE})$ $m4 < c(0)$ ParamTest(coef(fit.bio), Sigma=vcov(fit.bio), m=m4, K=K4)

Test the hypothesis: H₀: $\mu_1 = \mu_2$ and H₁: $\mu_1 \neq \mu_2$ K5 <- matrix(c(0, 0, 0, 1, -1, 0, 0, 0), nrow=1, ncol=8, byrow=TRUE) $m5 < -c(0)$ ParamTest(coef(fit.bio), Sigma=vcov(fit.bio), m=m5, K=K5)

R code used for the determination of the biomass yield coefficients:

Read in data with trials that lacked any recorded substrate concentrations removed

The5 <- read.csv("Thesis_Omitted.csv")

Create indicator variables

The5 <- mutate(The5, Glucose=(Substrate=="Glucose"))

The5 <- mutate(The5, Glycerol=(Substrate=="Glycerol"))

The5 <- mutate(The5, Xylose=(Substrate=="Xylose"))

The5 <- mutate(The5, GluGly=(Substrate=="GluGly"))

The $5 \leq$ - mutate(The 5 , GluXy=(Substrate = $"GluXy")$)

Designate the form of the nonlinear equation with indicator variables and specific growth rates from the previous model fit

form.The5 <- DerivConc ~ -(0.04880199*Glucose*0.48236354/Y1 + 0.04880199*Glycerol*0.41691198/Y2 + 0.04880199*Xylose*0.45968785/Y3 + $(0.04880199 +$ 0.10511665 ^{*}GluGly^{*}0.40506974/Y4 + (0.04880199 +

0.10209963)*GluXy*0.38631411/Y5)*exp((0.48236354*Glucose + 0.41691198*Glycerol + $0.45968785*Xylose + 0.40506974*GluGly + 0.38631411*GluXy)*Time)$

Insert starting values based off of a median of values estimated in Excel

start $5 < c(1,1,1,1,1)$ names(start5) <- c("Y1","Y2","Y3","Y4","Y5")

Fit the nonlinear equation

fit.bio5 \leq - nls(form.The5, data=The5, start=start5) tidy(fit.bio5)

Overlay the model over the raw data by using a predict response function

 $Bio.pred5 < -$ expand.grid(Time = seq(from=min(The $Time$), to=max(The $Time$), length.out=1000), Substrate=unique(The5\$Substrate))

 $Bio.pred5 < -mutate(Bio.pred5, Glucose = (Substrate == "Glucose"))$

Bio.pred5 <- mutate(Bio.pred5, Glycerol = (Substrate=="Glycerol"))

 $Bio.pred5 < -mutate(Bio.pred5, Xylose = (Substrate == "Xylose"))$

 $Bio.pred5 < -mutate(Bio.pred5, GluGly = (Substrate == "GluGly"))$

Bio.pred5 \leq - mutate(Bio.pred5, GluXy = (Substrate=="GluXy"))

Bio.pred5 <- PredictResponse(fit.bio5, Bio.pred5)

Plot the predict response function and raw data

 $ggplot() + geom_point(data=The5, mapping = aes(x=Time, y=-DerivConc, colour=Substrate)) +$ geom_line(data=Bio.pred5, mapping=aes(x=Time, y=-PredictedValue, colour=Substrate)) + scale_colour_brewer(type="qual",palette="Dark2") + labs(x="Time, hrs", y="Substrate Consumption Rate, $g/L*hr''$, $colour="$) + theme_bw(16) + theme(legend.position="bottom")

Test the hypothesis: H₀: $Y_{X/S_1} = Y_{X/S_5}$ and H₁: $Y_{X/S_1} \neq Y_{X/S_5}$

 $K6 \leq \text{matrix}(c(1, 0, 0, 0, -1), \text{now=1}, \text{ncol=5}, \text{byrow=TRUE})$

 $m6 < -c(0)$

ParamTest(coef(fit.bio5), Sigma=vcov(fit.bio5), m=m6, K=K6)

Test the hypothesis: H₀: $Y_{X/S_1} = Y_{X/S_4}$ and H₁: $Y_{X/S_1} \neq Y_{X/S_4}$ $K7 < -$ matrix(c(1, 0, 0, -1, 0), nrow=1, ncol=5, byrow=TRUE) $m7 < c(0)$

ParamTest(coef(fit.bio5), Sigma=vcov(fit.bio5), m=m7, K=K7)

Test the hypothesis: H₀: $Y_{X/S_1} = Y_{X/S_3}$ and H₁: $Y_{X/S_1} \neq Y_{X/S_3}$

K8 <- matrix(c(1, 0, -1, 0, 0), nrow=1, ncol=5, byrow=TRUE) $m8 < c(0)$

ParamTest(coef(fit.bio5), Sigma=vcov(fit.bio5), m=m8, K=K8)

Test the hypothesis: H₀: $Y_{X/S_1} = Y_{X/S_2}$ and H₁: $Y_{X/S_1} \neq Y_{X/S_2}$ K9 <- matrix(c(1, -1, 0, 0, 0), nrow=1, ncol=5, byrow=TRUE) $m9 < c(0)$

ParamTest(coef(fit.bio5), Sigma=vcov(fit.bio5), m=m9, K=K9)
APPENDIX C

Table C.1: List of the chemicals used in the aforementioned experiments, excluding those needed to operate the YSI 2900 Bioanalyzer.

APPENDIX D

Table D.1: List of retention times for major components of LCDCA production fermentation broth with the GC-MS method described in Sections 5.4.2 and 7.2. All reported acids are actually TMS derivatives.

APPENDIX E

Figure E.1: Calibration curve relating optical density (OD600) to dry cell weight concentration.