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# A NEW COMPUTATION OF THE CODIMENSION SEQUENCE OF THE GRASSMANN ALGEBRA

JOEL LOUWSMA, ADILSON EDUARDO PRESOTO, AND ALAN TARR

ABSTRACT. Krakowski and Regev found a basis of polynomial identities satisfied by the Grassmann algebra over a field of characteristic 0 and described the exact structure of these relations in terms of the symmetric group. Using this, they found an upper bound for the the codimension sequence of the  $T$ -ideal of polynomial identities of the Grassmann algebra. Working with certain matrices, they found the same lower bound, thus determining the codimension sequence exactly. In this paper, we compute the codimension sequence of the Grassmann algebra directly from these matrices, thus obtaining a proof of the codimension result of Krakowski and Regev using only combinatorics and linear algebra. We also obtain a corollary from our proof.

## INTRODUCTION

The Grassmann algebra is an extremely important algebraic structure that arises in linear algebra and geometry. It has applications in many areas of mathematics as well as theoretical physics, and provides methods of understanding many topics in geometry, algebra, and analysis. The notion of Grassmann algebra is a natural generalization of that of commutative ring, and therefore the Grassmann algebra is sometimes called a “supercommutative algebra.” The Grassmann algebra is the main tool in the study of superalgebras ( $\mathbf{Z}_2$ -graded algebras). It was also used by Kemer to obtain important results in PI theory.

Let  $V$  be a vector space with basis  $\{e_1, e_2, \dots\}$ . Then the Grassmann algebra  $E$  of  $V$  has a basis consisting of 1 and all monomials  $e_{i_1}e_{i_2}\dots e_{i_k}$  ( $i_1 < i_2 < \dots < i_k$ ), with multiplication induced by  $e_i e_j = -e_j e_i$ . One may think of the Grassmann algebra as the space of all differential forms on  $V$  together with the usual wedge product (i.e., the direct sum over all natural numbers  $k$  of the space of differential  $k$ -forms on  $V$ ).

Let  $K(X)$  be the free associative algebra generated over the field  $K$  of characteristic 0 by the set  $\{x_1, x_2, \dots\}$  (i.e., the algebra of polynomials

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in the noncommuting variables  $x_1, x_2, \dots$ ). A polynomial  $f \in K(X)$  is called a polynomial identity for an algebra  $A$  if  $f$  vanishes whenever evaluated on  $A$ . For example, if  $A$  is commutative, then  $f(x_1, x_2) = [x_1, x_2] = x_1x_2 - x_2x_1$  is a polynomial identity for  $A$ . An ideal of  $K(X)$  is called a  $T$ -ideal if it is invariant under all endomorphisms of  $K(X)$ , or, equivalently, if it is the ideal of polynomial identities for some algebra  $A$ . One of the results in [4] states that the ideal of polynomial identities of the Grassmann algebra is generated as a  $T$ -ideal by the polynomial  $[[x_1, x_2], x_3]$ .

An important numerical invariant of a  $T$ -ideal  $I$  is its codimension sequence  $\{c_n(I)\}$ . Let  $P_n$  be the  $K$ -vector space of all multilinear polynomials of degree  $n$  in the variables  $x_1, \dots, x_n$ . Then  $\dim(P_n) = n!$ . When the space  $P_n$  is acted on by the symmetric group by permutation of variables,  $P_n \cap I$  is a submodule and  $P_n/(P_n \cap I)$  a quotient module. The codimension sequence of  $I$  is then defined by

$$c_n(I) = \dim(P_n/(P_n \cap I)).$$

For further details about these constructions, we refer the reader to [1].

Let  $I$  be the  $T$ -ideal of polynomial identities of the Grassmann algebra, and let  $\{c_n(I)\}$  be its codimension sequence. Krakowski and Regev used their above result to obtain the upper bound  $c_n(I) \leq 2^{n-1}$ . By finding lower bounds for the ranks of specific matrices, they were also able to prove that  $c_n(I) \geq 2^{n-1}$ , thus showing that  $c_n(I) = 2^{n-1}$ . We introduce these matrices used by Krakowski and Regev and compute their ranks, thus determining the codimension sequence of the Grassmann algebra without using the above result about polynomial identities.

## 1. PRELIMINARIES

Let  $S_n$  be the symmetric group on the set  $\{1, 2, \dots, n\}$ . The image of a permutation  $\sigma \in S_n$  is the ordered set  $(\sigma(1), \sigma(2), \dots, \sigma(n))$ . For  $\sigma, \tau \in S_n$ ,  $\tau \circ \sigma$  means the permutation given by first applying  $\sigma$  and then applying  $\tau$ . We will use the notation  $(i_1, \dots, i_n)$  to denote the permutation  $\begin{pmatrix} 1 & \dots & n \\ i_1 & \dots & i_n \end{pmatrix}$ , i.e., we write only the image of the elements under the permutation. For any permutation  $\sigma$ , define  $s(\sigma)$  to be the sign of  $\sigma$ : 1 if  $\sigma$  is an even permutation and  $-1$  if  $\sigma$  is an odd permutation. One simple way to compute the sign of a permutation is to count the number of inversions, i.e., pairs that appear out of order, with a smaller number after a larger number. If  $\sigma$  has  $p$  inversions then  $s(\sigma) = (-1)^p$ . In the permutation  $(3, 2, 5, 1, 4)$ , there are five inversions:

$$(3, 2), (3, 1), (2, 1), (5, 1), (5, 4).$$

Thus  $s((3, 2, 5, 1, 4)) = (-1)^5 = -1$ .

Given a subset  $\Omega \subseteq \{1, 2, \dots, n\}$ , we define  $\sigma_\Omega$  to be the permutation of  $\Omega$  induced by this ordering of  $\{1, 2, \dots, n\}$ . In other words,  $\sigma_\Omega$  is  $\sigma$  with elements not in  $\Omega$  deleted. For example,

$$(3, 2, 5, 1, 4)_{\{2,4,5\}} = (2, 5, 4).$$

Now let  $\sigma = (i_1, \dots, i_{m-1}, i_m, i_{m+1}, \dots, i_n)$ . Embedding  $S_n$  into  $S_{n+1}$ , we define

$$(\sigma, n+1) = (i_1, \dots, i_n, n+1) \in S_{n+1}.$$

We also define

$$\sigma - i_m = (i_1, \dots, i_{m-1}, i_{m+1}, \dots, i_n) \in S_\Omega,$$

where  $\Omega = \{1, \dots, n\} \setminus \{i_m\}$ , thus projecting from  $S_n$  to  $S_\Omega$ . In our notation,  $\sigma - k$  is  $\sigma$  with  $k$  deleted.

**Definition 1.** For  $n \in \mathbf{N}$ , let  $H_n$  be a  $2^n \times n!$  matrix with rows enumerated by the subsets of  $\{1, \dots, n\}$  and columns enumerated by the elements of  $S_n$ , where the entry in the  $\Omega^{\text{th}}$  row and the  $\sigma^{\text{th}}$  column is  $s(\sigma_\Omega)$ .

It is shown in Lemma 2.1 of [4] that if  $\{c_n(I)\}$  is the codimension sequence of the  $T$ -ideal of polynomial identities of the Grassmann algebra, then  $c_n(I) = \text{rank}(H_n)$ . Thus, in order to compute this codimension sequence  $\{c_n(I)\}$ , we are interested in finding the rank of  $H_n$ . The object of this paper is to prove the following:

**Theorem.** *The rank of  $H_n$  is  $2^{n-1}$ .*

There are many matrices which satisfy the definition of  $H_n$ , since there are many ways to associate the subsets of  $\{1, 2, \dots, n\}$  with the rows of a  $2^n \times n!$  matrix and many ways to associate the elements of  $S_n$  with the columns of a  $2^n \times n!$  matrix. However, we are only interested in computing the rank of these matrices, something which is independent of the way we associate subsets and elements with rows and columns. Nevertheless, it is useful to fix certain specific associations when attempting to compute this rank.

We will denote the matrix resulting from a specific such association by  $H_n^{(n)}$ . The ordering of the rows and columns of  $H_n^{(n)}$  is built inductively from the ordering of the rows and columns of  $H_{n-1}^{(n-1)}$ . We order the rows of  $H_n^{(n)}$  by enumerating the first  $2^{n-1}$  rows by the same subsets in the same order as for the rows of  $H_{n-1}^{(n-1)}$  and enumerating the last  $2^{n-1}$  rows with these same subsets in the same order with the element  $n$  added.

The columns are ordered inductively as well, such that the  $i^{\text{th}}$  section of  $(n-1)!$  permutations (i.e., those labeling the  $(i-1)(n-1)! + 1^{\text{th}}$  through  $i(n-1)!$  columns) in  $H_n^{(n)}$  has  $n$  in the  $(n-i+1)^{\text{th}}$  position, and the other  $n-1$  elements ordered as in the  $(n-1)!$  columns of  $H_{n-1}^{(n-1)}$ . For example, the permutations of four elements are ordered as

follows:

$$\begin{aligned} & (1, 2, 3, 4), (2, 1, 3, 4), (1, 3, 2, 4), (2, 3, 1, 4), (3, 1, 2, 4), (3, 2, 1, 4), \\ & (1, 2, 4, 3), (2, 1, 4, 3), (1, 3, 4, 2), (2, 3, 4, 1), (3, 1, 4, 2), (3, 2, 4, 1), \\ & (1, 4, 2, 3), (2, 4, 1, 3), (1, 4, 3, 2), (2, 4, 3, 1), (3, 4, 1, 2), (3, 4, 2, 1), \\ & (4, 1, 2, 3), (4, 2, 1, 3), (4, 1, 3, 2), (4, 2, 3, 1), (4, 3, 1, 2), (4, 3, 2, 1). \end{aligned}$$

**Example.** We compute  $H_2^{(2)}$  and  $H_3^{(3)}$ :

$$H_2^{(2)} = \begin{array}{c} \emptyset \\ \{1\} \\ \{2\} \\ \{1, 2\} \end{array} \begin{pmatrix} (1, 2) & (2, 1) \\ 1 & 1 \\ 1 & 1 \\ 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$$H_3^{(3)} = \begin{array}{c} \emptyset \\ \{1\} \\ \{2\} \\ \{1, 2\} \\ \{3\} \\ \{1, 3\} \\ \{2, 3\} \\ \{1, 2, 3\} \end{array} \begin{pmatrix} (1, 2, 3) & (2, 1, 3) & (1, 3, 2) & (2, 3, 1) & (3, 1, 2) & (3, 2, 1) \\ 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 & 1 & -1 \\ 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & -1 & -1 & -1 \\ 1 & 1 & -1 & 1 & -1 & -1 \\ 1 & -1 & -1 & 1 & 1 & -1 \end{pmatrix}$$

**Definition 2.** We define  $G_n$  to be a submatrix of  $H_n$  that consists only of those columns enumerated by even permutations.

Note that  $G_n$  consists of exactly those columns of  $H_n$  with a 1 in the row corresponding to  $\{1, \dots, n\}$ .

## 2. SOME LEMMAS

$H_n^{(n)}$  has a particular structure, which we explore in the following two lemmas.

**Lemma 1.**  $H_n^{(n)}$  is of the form

$$\left( \begin{array}{c|ccc|c} H_{n-1}^{(n-1)} & & \cdots & & H_{n-1}^{(n-1)} \\ \hline H_{n-1}^{(n-1)} & H_{n-2}^{(n-2)} & A_1 & \cdots & A_{n-2} \\ \hline & -H_{n-2}^{(n-2)} & & & \end{array} \right),$$

where the  $A_i$  are, at the moment, undetermined  $2^{n-1} \times n(n-2)!$  matrices.

*Proof.* The first  $2^{n-1}$  rows of  $H_n^{(n)}$  are enumerated by all subsets of  $\{1, \dots, n-1\}$ . Also, by construction, the  $i^{\text{th}}$  block of  $(n-1)!$  columns in  $H_n^{(n)}$  consists of all permutations of  $\{1, \dots, n\}$  with  $n$  in the  $(n-i+1)^{\text{th}}$  position. Thus, the top half of the  $i^{\text{th}}$  block of  $(n-1)!$  columns is  $H_{n-1}^{(n-1)}$ , so the top half of  $H_n^{(n)}$  consists of  $n$  copies of  $H_{n-1}^{(n-1)}$ .

In the bottom half of  $H_n^{(n)}$ , the first block of  $(n-1)!$  columns consists of all permutations of  $\{1, \dots, n-1\}$  with  $n$  in the last position. Since  $n$  is the largest element of  $\{1, \dots, n\}$ , mapping it to itself does not create any additional inversions. This means that for all  $\sigma \in S_{n-1}$ ,  $s(\sigma) = s(\sigma, n)$ . Thus, the lower-left submatrix of  $H_n^{(n)}$  is the same as the upper-left submatrix.

Next consider the section of the matrix enumerated by the subsets containing  $n$  but not  $n-1$  and all permutations of the form  $(\sigma, n, n-1)$  for  $\sigma \in S_{n-2}$ . Since these subsets do not contain  $n-1$ , we may ignore  $n-1$  in these permutations, and since  $n$  is then the largest element and in the last position of these permutations, it may also be ignored. This block is therefore equivalent to a matrix with rows enumerated by all subsets of  $\{1, \dots, n-2\}$  and columns enumerated by permutations of  $\{1, \dots, n-2\}$ , which is precisely  $H_{n-2}^{(n-2)}$ .

The submatrix below this, i.e., the one defined by the same columns but with subsets containing both  $n$  and  $n-1$ , is the same except that  $n-1$  is added to every subset. In each of these permutations,  $n-1$  is inverted with exactly one element, namely  $n$ , so adding  $n-1$  to the subsets creates exactly one additional inversion, which switches the sign of each entry. Thus, this block is  $-H_{n-2}^{(n-2)}$ . We have shown that in the bottom half of  $H_n^{(n)}$ , the first  $(n-1)!$  columns are an  $H_{n-1}^{(n-1)}$  block and the next  $(n-2)!$  columns are a block of  $H_{n-2}^{(n-2)}$  and  $-H_{n-2}^{(n-2)}$ . This leaves

$$\begin{aligned} n! - (n-1)! - (n-2)! &= (n-1)(n-1)! - (n-2)! \\ &= ((n-1)^2 - 1)(n-2)! \\ &= (n^2 - 2n)(n-2)! \\ &= (n-2)(n(n-2)!) \end{aligned}$$

columns remaining in the bottom half, which are filled by  $n-2$  matrices of size  $2^{n-1} \times n(n-2)!$ .  $\square$

The rows of  $A_i$  are enumerated by all subsets of  $\{1, \dots, n\}$  which contain  $n$ . We may view the columns of  $H_n^{(n)}$  as  $n$  sections of permutations each with  $n-1$  subsections, such that the  $i^{\text{th}}$  subsection of the  $j^{\text{th}}$  section consists of all  $(n-2)!$  permutations  $\sigma$  with  $n-1$  in the  $(n-i)^{\text{th}}$  position of  $\sigma - n$  and  $n$  in the  $(n-j+1)^{\text{th}}$  position of  $\sigma$ . For

example, the second subsection of the second section of the columns of  $H_4^{(4)}$  consists of all permutations  $\sigma$  of  $\{1, 2, 3, 4\}$  such that 3 is in the second position of  $\sigma - 4$  and 4 is in the third position of  $\sigma$ . In this case, there are two such permutations:  $(1, 3, 4, 2)$  and  $(2, 3, 4, 1)$ .

In this way we see that the first  $(n-2)!$  columns of  $A_i$  are the  $(i+1)^{th}$  subsection of the  $(i+1)^{th}$  section. Therefore in the first  $(n-2)!$  columns of  $A_i$ ,  $n-1$  and  $n$  are next to each other in the  $(n-i-1)^{th}$  position and  $(n-i)^{th}$  position, respectively.

As an example, the reader may view the ordered list of permutations of  $\{1, 2, 3, 4\}$ , given earlier as 4 sections each with 3 subsections, and see that each subsection has  $(4-2)! = 2$  permutations. Consider the second subsection of the second section, which is the first two columns of  $A_1$ , and note that they are indeed the only two permutations for which 3 is in the  $(4-1-1)^{th}$  or second position and 4 is in the  $(4-1)^{th}$  or third position.

By the preceding lemma, we may let  $B_i$  be undetermined  $2^{n-2} \times (n-2)!$  matrices such that  $H_{n-1}^{(n-1)}$  is of the form

$$H_{n-1} = \left( \begin{array}{c|c|c|c} H_{n-2}^{(n-2)} & H_{n-2}^{(n-2)} & \dots & H_{n-2}^{(n-2)} \\ \hline H_{n-2}^{(n-2)} & B_1 & \dots & B_{n-2} \end{array} \right).$$

**Lemma 2.** *In terms of these  $B_i$ , the structure of  $A_i$  is*

$$A_i = \left( \begin{array}{c|c} \frac{B_i}{H_{n-2}^{(n-2)}} & * \end{array} \right).$$

*Proof.* The top half of the first  $(n-2)!$  columns of  $A_i$  has rows enumerated by all  $2^{n-2}$  subsets that contain  $n$  but do not contain  $n-1$  and columns enumerated by all permutations of  $\{1, \dots, n\}$  with  $n-1$  and  $n$  next to each other in the  $(n-i-1)^{th}$  position and  $(n-i)^{th}$  position. So if we delete  $n-1$  from these permutations, they simply become all permutations of  $\{1, \dots, n-2, n\}$  such that  $n$  is in the  $(n-i-1)^{th}$  position. The subsets are all subsets of  $\{1, \dots, n-2, n\}$  containing  $n$ . In this set,  $n$  acts the same as  $n-1$  does in the set  $\{1, \dots, n-1\}$  with respect to the sign function, and therefore this block is identical to  $B_i$ , which consists of all subsets containing  $n-1$  and all permutations with  $n-1$  in the  $(n-i-1)^{th}$  position.

Finally, the bottom half of the first  $(n-2)!$  columns of  $A_i$  is enumerated by all subsets containing both  $n-1$  and  $n$  and the same permutations as above. Recalling that  $n-1$  and  $n$  are adjacent and not inverted in these permutations, we see that they are both involved in the same number of inversions for any permutation restricted to any subset in this block. Indeed, they are the second largest and largest elements in any subset, so if the permutation is  $(\dots, n-1, n, i_1, i_2, \dots, i_m)$ , then

$n-1$  and  $n$  are each in exactly  $m$  inversions. Thus, for all permutations  $\sigma$  and subsets  $\Omega$  in the block in question, we have

$$s(((\sigma_\Omega) - (n-1)) - n) = s(\sigma_\Omega)(-1)^{2m} = s(\sigma_\Omega).$$

In particular, if we simply delete  $n-1$  and  $n$  from all subsets and permutations in this block, we will not change any entries. This leaves rows that are enumerated by all subsets of  $\{1, \dots, n-2\}$  and columns that are enumerated by all permutations of  $\{1, \dots, n-2\}$ , which is exactly  $H_{n-2}^{(n-2)}$ .  $\square$

There are some other orderings of the rows and columns that are useful. We introduce these orderings and use them in the final lemma dealing with the structure of  $H_n$ .

**Definition 3.** Let  $k \in \{1, \dots, n\}$ . Let  $H_n^{(k)}$  denote  $H_n$  with rows and columns of ordered by the same inductive procedure as was used to construct  $H_n^{(n)}$ , but with elements considered in the order  $[1, \dots, \hat{k}, \dots, n, k]$  instead of the usual order.

**Example.**

$$H_2^{(1)} = \begin{matrix} \emptyset \\ \{2\} \\ \{1\} \\ \{2, 1\} \end{matrix} \begin{pmatrix} \binom{2, 1}{2, 1} & \binom{1, 2}{1, 2} \\ 1 & 1 \\ 1 & 1 \\ -1 & 1 \end{pmatrix}$$

**Lemma 3.** The top half of  $H_n^{(k)}$  consists of  $n$  copies of  $H_{n-1}^{(n-1)}$ . In particular the rank of the top half of  $H_n^{(k)}$  is equal to the rank of  $H_{n-1}$ .

*Proof.* None of the subsets corresponding to the top half of  $H_n^{(k)}$  contain  $k$ , so we may delete  $k$  from all permutations when computing this submatrix. Blocks of  $(n-1)!$  columns then run through all permutations of the set  $\Omega = \{1, \dots, k-1, k+1, \dots, n\}$ , while the rows are all of the subsets of  $\Omega$ . The resulting matrices are exactly  $H_{n-1}^{(n-1)}$ , calculated using  $\Omega$  instead of  $\{1, \dots, n-1\}$ . The last statement of the lemma follows since  $\text{rank}([M|M] \cdots |M]) = \text{rank}(M)$  for any matrix  $M$  where  $[M|M] \cdots |M]$  is some number of copies of  $M$  put together in one matrix.  $\square$

When  $k = n$ , this lemma simply restates part of Lemma 1, and notes that this implies that the submatrix consisting of the top  $2^{n-1}$  rows of  $H_n^{(n)}$  has the same rank as  $H_{n-1}$ . For other values of  $k$ , this lemma implies that the submatrix consisting of the rows of  $H_n$  corresponding to subsets not containing  $k$  also has rank equal to the rank of  $H_{n-1}$ . In  $H_n^{(n)}$ , the rows corresponding to subsets not containing  $k$  are the first  $2^{k-1}$  rows of every block of  $2^k$  rows.

**Example.** In  $H_3$ , when  $k = 2$ , this lemma implies that the columns of the first  $2^{k-1} = 2$  rows of each section of  $2^k = 4$  rows of  $H_3^{(3)}$  can be rearranged to give three copies of  $H_2^{(2)}$ . When  $k = 1$ , we obtain that rows 1, 3, 5, and 7 of  $H_3^{(3)}$  together as a submatrix can also be rearranged to give three copies of  $H_2^{(2)}$ .

**Definition 4.** Let  $\sigma = (i_1, \dots, i_n)$ . Define  $d_\sigma : \{1, \dots, n\} \rightarrow \{-1, +1\}$  by  $d_\sigma(k) = (-1)^{\sigma(k)-k} = (-1)^{|\sigma(k)-k|}$ . Thus,  $d_\sigma$  determines whether an element is displaced an even or odd number of places by the permutation  $\sigma$ .

**Definition 5.** Define the permutation  $(\sigma - k)^{(k)}$  to be  $\sigma - k$  with  $k$  put back in its ordinary position. For example,

$$((1, 3, 2, 5, 4) - 3)^{(3)} = (1, 2, 3, 5, 4).$$

**Lemma 4.** Let  $\sigma \in S_n$  with  $n$  odd. Then

$$s(\sigma) = \sum_{k=1}^n (-1)^{k-1} s(\sigma - k).$$

*Proof.* Letting  $k = i_j$ , we have

$$\begin{aligned} s(\sigma - k) \cdot d_\sigma(k) &= s(1, \dots, i_{j-1}, i_{j+1}, \dots, i_n) d_\sigma(k) \\ &= s((\sigma - k)^{(k)}) d_\sigma(k). \end{aligned}$$

This step uses the fact that the sign of any permutation on a set is the same as the sign of a permutation on a larger set that moves the elements of the smaller set in the same way and leaves the others fixed.

Let  $\iota_1 \circ \dots \circ \iota_{|\sigma(k)-k|}$  be the composition of  $|\sigma(k) - k|$  transpositions that move  $k$  from  $k$  to  $\sigma(k)$  in  $\sigma$ . That is, each  $\iota_i$  moves  $k$  one more place from its natural position in  $(\sigma - k)^{(k)}$  to its position  $\sigma(k)$  in  $\sigma$ , so that

$$\iota_1 \circ \dots \circ \iota_{|\sigma(k)-k|} \circ (\sigma - k)^{(k)} = \sigma.$$

Thus

$$\begin{aligned} s(\sigma) &= s(\iota_1 \circ \dots \circ \iota_{|\sigma(k)-k|} \circ (\sigma - k)^{(k)}) \\ &= s(\iota_1) \cdot \dots \cdot s(\iota_{|\sigma(k)-k|}) \cdot s((\sigma - k)^{(k)}) \\ &= (-1)^{|\sigma(k)-k|} s((\sigma - k)^{(k)}) \\ &= d_\sigma(k) s(\sigma - k), \end{aligned}$$

and therefore we have

$$\begin{aligned} \sum_{k=1}^n (-1)^{k-1} s(\sigma - k) &= \sum_{k=1}^n (-1)^{k-1} d_\sigma(k) s(\sigma) \\ &= \left( \sum_{k=1}^n (-1)^{k-1} d_\sigma(k) \right) s(\sigma). \end{aligned}$$

To obtain the desired result, we now need only to show that

$$\sum_{k=1}^n (-1)^{k-1} d_\sigma(k) = 1$$

for all permutations of odd length. Let  $I \in S_n$  be the trivial permutation for odd  $n$ . Clearly  $d_I(k) = (-1)^0 = 1$  for all  $k \in \{1, \dots, n\}$ . Thus

$$\begin{aligned} \sum_{k=1}^n (-1)^{k-1} d_I(k) &= \sum_{k=1}^n (-1)^{k-1} \\ &= 1 - 1 + \dots + 1 \\ &= 1 + 0 + \dots + 0 \\ &= 1. \end{aligned}$$

Now we prove inductively that this relation is true for all permutations on a set of odd size. We assume it is true for some permutation  $\sigma = (i_1, \dots, i_n)$  and show that it is therefore also true for  $\tau \circ \sigma$ , where  $\tau$  is any transposition. Let  $x$  and  $y$  be the two elements transposed by  $\tau$ . Note that  $d_{\tau \circ \sigma} = d_\sigma$  for all elements except  $x$  and  $y$ , so we need only show that the part of the sum involving  $x$  and  $y$  stays the same after applying  $\tau$ , i.e.,

$$(1) \quad (-1)^{x-1} d_{\tau \circ \sigma}(x) + (-1)^{y-1} d_{\tau \circ \sigma}(y) = (-1)^{x-1} d_\sigma(x) + (-1)^{y-1} d_\sigma(y).$$

Since  $x$  and  $y$  are switched under  $\tau$ , they move the same number of places, but in opposite directions. There are two cases to consider:  $d_\tau(x) = d_\tau(y)$  is either 1 or  $-1$ .

(I) Suppose  $x$  and  $y$  both move  $j$  places, with  $j$  even. Then

$$d_{\tau \circ \sigma}(x) = (-1)^j d_\sigma(x) = d_\sigma(x),$$

and similarly for  $y$ . Thus the two terms on the left of (1) are the same as the two on the right, so the relation holds.

(II) Instead suppose that  $x$  and  $y$  move  $j$  places with  $j$  odd. Then both terms on the left side of (1) change sign, so we must show that these terms initially had opposite signs so that both sides of (1) are zero. There are two subcases:

(a) If  $x$  and  $y$  are both odd or both even, say both even, then  $(-1)^{x-1} = (-1)^{y-1}$ . Thus we must show that  $d_\sigma(x) = -d_\sigma(y)$ . In  $I$ ,  $x$  and  $y$  must have been an even number of spaces apart since they are both even numbers, but  $\tau$  moved them an odd number of places, so that in  $\sigma$  they must be an odd number of places apart. The only way this can occur is if one of  $x$  and  $y$  is displaced an odd amount by  $\sigma$  and the other is displaced an even amount, which means exactly that  $d_\sigma(x) = -d_\sigma(y)$ , as desired.

(b) Without loss of generality, say  $x$  is odd and  $y$  is even. Then  $(-1)^{x-1} = -(-1)^{y-1}$ , so we need to show that  $d_\sigma(x) = d_\sigma(y)$ . In  $I$ ,  $x$  and  $y$  are an odd number of places apart, so if they are still an odd

distance apart in  $\sigma$ , they must both have had an odd displacement or both have had an even displacement. This means  $d_\sigma(x) = d_\sigma(y)$ , as required.  $\square$

**Corollary.** *For  $n$  odd, the row of  $H_n$  labeled by  $\{1, \dots, n\}$  is a linear combination of the other rows of  $H_n$ .*

*Proof.* By the above lemma, each entry in the last row of  $H_n^{(n)}$  (the row given by the subset  $\{1, \dots, n\}$ ) is equal to the alternating sum of the entries in the same column in rows labeled by subsets of all but one element. Therefore, the last row is equal to the row labeled by  $\{2, \dots, n\}$ , minus the row labeled by  $\{1, 3, \dots, n\}$ ,  $\dots$ , plus the row labeled by  $\{1, \dots, n-1\}$ .  $\square$

**Example.** *In  $H_3$ , the row labeled by  $\{1, 2, 3\}$  is equal to the row labeled by  $\{2, 3\}$  minus the row labeled by  $\{1, 3\}$  plus the row labeled by  $\{1, 2\}$ .*

### 3. MAIN THEOREM

**Definition 6.** *We say the matrix  $H_n$  possesses the property of half-maximal rank if the first  $2^{n-1}$  rows of  $H_n^{(k)}$  have rank  $2^{n-2}$  for all  $k$ .*

**Theorem.** *The rank of  $H_n$  is  $2^{n-1}$ .*

*Proof.* We prove the theorem by induction. The result is immediately verified for  $H_1$  and  $H_2$ , and it can also be fairly easily checked for  $H_3$ .

Let  $n \in \mathbf{N}$  and assume that  $\text{rank}(H_i) = 2^{i-1}$  for all  $i < n$ . By Lemma 3, the rank of the first  $2^{n-1}$  rows of  $H_n^{(k)}$  is equal to the rank of  $H_{n-1}$ . By induction, the rank of  $H_{n-1}$  is  $2^{n-2}$ , so  $H_n$  possesses the property of half-maximal rank. This means that the submatrix of  $H_n^{(n)}$  consisting of the first half of the rows has half-maximal rank, that the submatrix of  $H_n$  consisting of the first and third quarters of the rows together has half-maximal rank, that submatrix of  $H_n^{(n)}$  consisting of the first, third, fifth, and seventh eighths of the rows together has half-maximal rank, etc. All operations subsequently performed on  $H_n^{(n)}$  preserve these properties, as the reader may verify.

By Lemma 1, we know that the top half of  $H_n^{(n)}$  consists of copies of  $H_{n-1}^{(n-1)}$  and that there is another copy of  $H_{n-1}^{(n-1)}$  at the left side of the bottom half. Therefore, we subtract the top half of the rows of  $H_n^{(n)}$  from the bottom half of the rows, canceling out the  $H_{n-1}^{(n-1)}$  in the lower left corner, and obtain some  $2^{n-1} \times (n-1)(n-1)!$  matrix, which we will call  $R_n$ , in the lower right of the resulting matrix. We then cancel all but the leftmost copy of  $H_{n-1}^{(n-1)}$  in the top half by subtracting the

leftmost copy from the others. We are left with the following matrix:

$$\left( \begin{array}{c|c} H_{n-1}^{(n-1)} & 0 \\ \hline 0 & R_n \end{array} \right).$$

Now we examine the structure of  $R_n$ . By Lemma 1, the first  $(n-2)!$  columns of  $R_n$  are obtained by subtracting the first  $(n-2)!$  columns of a copy of  $H_{n-1}^{(n-1)}$  from a  $2^{n-1} \times (n-2)!$  matrix consisting of a copy of  $H_{n-2}^{(n-2)}$  above a copy of  $-H_{n-2}^{(n-2)}$ . Hence, using the structure of  $H_{n-1}^{(n-1)}$ , we see that the top half of the leftmost  $(n-2)!$  columns of  $R_n$  consists of the block  $H_{n-2}^{(n-2)} - H_{n-2}^{(n-2)} = \mathbf{0}$  and the bottom half of these columns consists of the block  $-2H_{n-2}^{(n-2)}$ .

As for the rest of  $R_n$ , we only need to investigate some parts. Consider the second block of  $(n-2)!$  columns of  $R_n$ , which, again by Lemma 1, is given by subtracting the second block of  $(n-2)!$  columns of  $H_{n-1}^{(n-1)}$  from the first  $(n-2)!$  columns of  $A_1$ . By Lemma 2, we have that the top half of this block of  $(n-2)!$  columns is  $B_1 - H_{n-2}^{(n-2)}$  and the bottom half is  $H_{n-2}^{(n-2)} - B_1$ .

Each  $A_i$  is exactly  $(n-2)!$  columns wider than  $H_{n-1}^{(n-1)}$ , and the first  $2^{n-1}$  rows of  $H_n^{(n)}$  consist of  $n$  copies of  $H_{n-1}^{(n-1)}$ . In general the first  $(n-2)!$  columns of  $A_i$  are aligned with the  $(i+1)^{th}$  block of  $(n-2)!$  columns of the  $(i+1)^{th}$  copy of  $H_{n-1}^{(n-1)}$  in the top half of  $H_n^{(n)}$ . By Lemma 2, the first  $(n-2)!$  columns of  $A_i$  consist of a copy of  $B_i$  above a copy of  $H_{n-2}^{(n-2)}$ , and the  $(i+1)^{th}$  set of  $(n-2)!$  columns of  $H_{n-1}^{(n-1)}$  consists of an  $H_{n-2}^{(n-2)}$  matrix above a copy of  $B_i$ . Thus, for all  $i = 1, \dots, n-2$ , the  $(n-2)!$  columns of  $R_n$  beginning with the  $[(n-2)! + (i-1)(n(n-2)! + 1)]^{th}$  column have precisely  $B_i - H_{n-2}^{(n-2)}$  in the top half and  $H_{n-2}^{(n-2)} - B_i$  in the bottom half.

Reorder the columns of  $R_n$  so that these blocks of  $(n-2)!$  columns are next to each other.  $R_{n-1}$  is defined in the same way as  $R_n$ : the block remaining in the lower right of  $H_{n-1}^{(n-1)}$  after subtracting the top half from the bottom half and cancelling the extra copies of  $H_{n-2}^{(n-2)}$  in the top half. By the definition of  $B_i$ , we may write  $R_{n-1}$  as  $n-2$  blocks of the form  $B_i - H_{n-2}^{(n-2)}$ ,  $i = 1, \dots, n-2$ . Therefore we conclude that our reordered version of  $R_n$  has the following form:

$$\left( \begin{array}{c|c|c} 0 & R_{n-1} & * \\ \hline -2H_{n-2}^{(n-2)} & -R_{n-1} & \end{array} \right).$$

Returning to the current manipulated version of  $H_n^{(n)}$ , we add the third quarter of rows to the fourth quarter of rows and divide the fourth quarter of rows by  $-2$ . By the above, this will cancel the  $R_{n-1}$  and the

$-R_{n-1}$ . This leaves us with  $[ \mathbf{0} \mid R_{n-1} \mid * ]$  in the third quarter of the matrix, with a block of  $\mathbf{0}$  above and below the  $R_{n-1}$ .

We now use an argument that will be utilized multiple times to eliminate the  $*$  to the left of the  $R_{n-1}$  in the matrix  $[ \mathbf{0} \mid R_{n-1} \mid * ]$ . First, we note that this is the third quarter of a modified  $H_n$  which still possesses the property of half-maximal rank. Therefore the first and third quarters together have half-maximal rank, and since the first half is simply  $H_{n-1}$ , which possesses the property of half-maximal rank, the first quarter alone has half-maximal rank, being the first half of  $H_{n-1}$ . Since the  $H_{n-1}$  and the  $[R_{n-1} \mid * ]$  are in different blocks, we conclude that the third quarter of the matrix also has half-maximal rank, which in this case is equal to  $((2^n)/4)/2 = 2^{n-3}$ .

Consider  $R_{n-1}$ . Since we can reduce  $H_{n-1}^{(n-1)}$  to an  $H_{n-2}^{(n-2)}$  in the upper right and an  $R_{n-1}$  in the lower right with zeros everywhere else, and since we know by induction that  $\text{rank}(H_{n-1}) = 2^{n-2}$  and  $\text{rank}(H_{n-2}) = 2^{n-3}$ , we conclude that

$$\text{rank}(R_{n-1}) = \text{rank}(H_{n-1}) - \text{rank}(H_{n-2}) = 2^{n-3}.$$

Thus we have that  $\text{rank}([ \mathbf{0} \mid R_{n-1} \mid * ]) = \text{rank}(R_{n-1})$ , and therefore the columns of  $[*]$  must be linear combinations of the columns of  $R_{n-1}$ .

Using this fact, we cancel the entries to the right of the  $R_{n-1}$  using the columns that contain it. Noting that the entries in these columns are zeros above and below the  $R_{n-1}$ , we are left with the following matrix:

$$\left( \begin{array}{c|ccc} H_{n-1}^{(n-1)} & & & 0 \\ \hline 0 & 0 & R_{n-1} & 0 \\ \hline & H_{n-2}^{(n-2)} & 0 & * \end{array} \right).$$

Recalling that to get to this point we subtracted the top half of  $H_n^{(n)}$  from the bottom half and then added the third quarter to the fourth quarter, we perform these operations on  $H_3^{(3)}$  as an example. First we subtract row one from row five, row two from row six, row three from row seven, and row four from row eight. Then we add the resulting row five to row seven and row six to row eight, obtaining the following:

$$\left( \begin{array}{cccccc} 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 & 1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -2 & -2 & -2 \\ 0 & 0 & -2 & 0 & -2 & -2 \\ 0 & 0 & -2 & 0 & -2 & -2 \end{array} \right).$$

Next we cancel the extra two copies of  $H_2^{(2)}$  in the top half by subtracting column one from columns three and five and column two from columns four and six. Finally, we calculate  $R_2 = \begin{pmatrix} 0 \\ -2 \end{pmatrix}$ , and note that as proved above, the block directly to the right of this can be canceled with column operations. We are now left with

$$\begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -2 & 0 & 0 \\ 0 & 0 & -2 & 0 & -2 & -2 \\ 0 & 0 & -2 & 0 & -2 & -2 \end{pmatrix}.$$

Note that this has  $H_2^{(2)}$  in the upper left,  $-2H_1^{(1)}$  in the bottom quarter next to a zero matrix,  $R_2$  above and to the right of this with zero below it, and some unknown matrix in the lower right. In this case, the  $[*]$  matrix in the lower right easily cancels with  $-2H_1^{(1)}$ , but in general this does not happen.

Now we perform the same operations on the  $H_{n-2}^{(n-2)}$  matrix in the bottom quarter as we did on  $H_n^{(n)}$  to put it in the form of the matrix above. The bottom quarter of the matrix now becomes

$$\left( \begin{array}{c|cc|c} H_{n-3}^{(n-3)} & & & 0 \\ \hline 0 & 0 & R_{n-3} & * \\ \hline & H_{n-4}^{(n-4)} & 0 & \end{array} \right).$$

We repeat the argument used above to cancel the top half and then the third quarter of the  $[*]$  in the above matrix. The top half of the matrix above is also the seventh eighth of the whole  $H_n^{(n)}$ , and we know that the first, third, fifth, and seventh eighths of the matrix together have half-maximal rank. We also know that the first and third quarter of the whole matrix have half-maximal rank since  $H_{n-1}$  possesses the property of half-maximal rank. Thus the fifth and seventh eighths together have half-maximal rank. The fifth eighth is now simply the top half of  $R_{n-1}$ . By the structure of  $H_{n-1}^{(n-1)}$  after it has been reduced to  $H_{n-2}^{(n-2)}$  and  $R_{n-1}$ , the fact that the first and third quarters of  $H_{n-1}^{(n-1)}$  have half-maximal rank, and the fact that the first quarter of  $H_{n-1}^{(n-1)}$  alone has half-maximal rank (since it is the top half of  $H_{n-2}^{(n-2)}$ ), we conclude that the fifth eighth of the entire matrix has half-maximal rank. Therefore, since the nonzero columns of the seventh eighth of the matrix do not overlap with those of any of the other sections mentioned

(first, third and fifth eighths), we conclude that the seventh eighth of the matrix alone has half-maximal rank.

The seventh eighth of the modified  $H_n^{(n)}$  is now  $[ \mathbf{0} \mid H_{n-3}^{(n-3)} \mid * ]$ , and we know by induction that  $H_{n-3}$  has half-maximal rank, so we conclude that we can use column operations to eliminate this  $[*]$  in the seventh eighth.

By the same (only somewhat longer) argument, the rank of the fifteenth sixteenth of the matrix, which is now  $[ \mathbf{0} \mid R_{n-3} \mid * ]$  has half-maximal rank, as does  $R_{n-3}$  alone, so we may cancel this part of the unknown matrix as well.

We have now reduced  $H_n^{(n)}$  to the point where the rank of the first fifteen sixteenths is known by inductive hypothesis to be

$$\begin{aligned} \text{rank}(H_{n-1}) + \text{rank}(R_{n-1}) + \text{rank}(H_{n-3}) + \text{rank}(R_{n-3}) \\ = 2^{n-2} + 2^{n-3} + 2^{n-4} + 2^{n-5}. \end{aligned}$$

Furthermore, in the last sixteenth, which is  $[ \mathbf{0} \mid H_{n-4} \mid * ]$ , we are left with the same situation that we had in the last quarter. We proceed as above, reducing the rank of  $H_n$  to the sum of ranks of known matrices. In each step, we determine the rank of the top three quarters of the matrix and leave the bottom quarter to the next step. This process terminates with either one row or two rows remaining (the only powers of two not divisible by four). Thus, we have two cases to consider: when  $n$  is even and when  $n$  is odd.

(I) Suppose  $n$  is even. At each step we reduce the matrix to its bottom quarter, and eventually are left with the last one row with unknown rank. This row must be of the form  $[ \mathbf{0} \mid H_0 \mid * ]$ , by the nature of our algorithm, and  $H_0 = [1]$ , so the rank of this last row must be 1. Therefore we have

$$\begin{aligned} \text{rank}(H_n) &= \text{rank}(H_{n-1}) + \text{rank}(R_{n-1}) + \text{rank}(H_{n-3}) \\ &\quad + \dots + \text{rank}(H_1) + \text{rank}(R_1) + \text{rank}(H_0) \\ &= 2^{n-2} + 2^{n-3} + \dots + 2^1 + 2^0 + 1 \\ &= 2^{n-1}, \end{aligned}$$

as required.

(II) Suppose  $n$  is odd. Then we are left with two rows of unknown rank, and last two rows have the form  $[ \mathbf{0} \mid H_1^{(1)} \mid * ]$ . Now, we know  $H_1^{(1)} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ , so we can subtract the top row from the bottom row, and obtain  $[ \mathbf{0} \mid * ]$  in the last row of the matrix. Since all the entries above this  $*$  are zeros, we know that if  $[*] \neq \mathbf{0}$ , the last row must be linearly independent from the others, since we never canceled other rows with the last row. But, by the corollary to Lemma 4, the last row of  $H_n$  is a linear combination of the other rows for odd  $n$ , so

we must have that this  $*$  is the zero matrix. Therefore we have

$$\begin{aligned} \text{rank}(H_n) &= \text{rank}(H_{n-1}) + \text{rank}(R_{n-1}) + \text{rank}(H_{n-3}) \\ &\quad + \text{rank}(R_{n-3}) + \dots + \text{rank}(H_2) + \text{rank}(R_2) + 1 \\ &= 2^{n-2} + 2^{n-3} + \dots + 2^1 + 2^0 + 1 \\ &= 2^{n-1}, \end{aligned}$$

as required. □

Consequently, if  $\{c_n(I)\}$  is the codimension sequence of the  $T$ -ideal of polynomial identities of the Grassmann algebra, we conclude by Lemma 2.1 of [4] that  $c_n(I) = 2^{n-1}$ .

**Corollary.** For  $n \geq 2$ ,  $\text{rank}(G_n) \leq 2^{n-1} - 1$ .

*Proof.* First note that in the last step of the above theorem, we showed that the last row is linearly independent from all the others for even  $n$ , and the second to last row is linearly independent from all rows above it for odd  $n$ . Consider  $G_n$  in each case. For even  $n$ , the last row is now a row of 1s, and therefore cancels with any row corresponding to a subset of one element or the empty set. In particular, the last row is now linearly dependent on the others. For odd  $n$ , we know by the corollary to Lemma 4 that the alternating sum of rows enumerated by subsets of all but one element is equal to the row corresponding to the set  $\{1, \dots, n\}$ . Since  $G_n$  consists of a subset of columns of  $H_n$ , it also has the property that if we take this alternating sum of rows, we obtain the row corresponding to  $\{1, \dots, n\}$ , which is a row of 1s. This again cancels with the row corresponding to the empty set, so the row corresponding to  $\{1, \dots, n-1\}$  is dependent on the rows other than itself and the row corresponding to  $\{1, \dots, n\}$ .

In either case, the rows of  $G_n$  corresponding to  $\{1, \dots, n-1\}$  and  $\{1, \dots, n\}$  are linearly dependent on the others, while in  $H_n$  exactly one of these two rows is not dependent on the others. Thus, we have that

$$\text{rank}(G_n) \leq \text{rank}(H_n) - 1 = 2^{n-1} - 1,$$

by our main result. □

This bound is in fact sharp, as it is known by other methods that  $\text{rank}(G_n) = 2^{n-1} - 1$  [3, Theorem 2.2]. This theorem is obtained as the consequence of two lemmas. The first, Lemma 3.1 of [3], gives an upper bound for the rank of  $G_n$ , and uses methods from the theory of group representations. The second, Lemma 4.1 of [3], gives a lower bound for the rank of  $G_n$ , and uses techniques similar to those in [4].

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